

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lipstadt, Deborah

Special Envoy to Monitor and Combat Anti-Semitism with the Rank of Ambassador, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lipstadt, Deborah [electronically signed on 07/07/2021 by Lipstadt, Deborah in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 09/23/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 09/24/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Emory University	Atlanta, Georgia	University/College	Professor	1/1993	Present
2	Defiant Requiem	Washington, D.C., District of Columbia	Non-Profit	Member of Board	1/2020	Present
3	Jewish Forward Advisory Committee	New York, New York	Non-Profit	Member, Advisory Board	1/2015	Present
4	American Jewish Joint Distribution Committee	New York, New York	Non-Profit	Member Board of Directors	1/2011	Present
5	Sami Rohr Prize Committee	New York, New York	Non-Profit	Judge, Non-Fiction Book Selection Committee	1/2008	Present
6	Family Trust #1	New York, New York	Trust	Trustee	1/1998	Present
7	Covenant Foundation	New York, New York	Non-Profit	Board Member	12/2014	Present
8	Charitable Trust #1 (benefiting Amit Women's Organization of America)	New York, New York	Trust	Trustee	5/1997	Present
9	United States Holocaust Memorial Museum	Washington, District of Columbia	Museum	Research Fellowship	9/2019	5/2020
10	Museum of Jewish Heritage	New York, New York	Museum	Consultant	9/2020	Present
11	Florentine Films	New York, New York	Production Company	Consultant	10/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Lecture Cong. Beth El Houston - 01/13/2020	N/A		Honorarium	\$20,000
2	Lectures (3) Palm Beach Jewish Federation - 01/14-15/2020	N/A		Honorarium	\$35,000
3	Lecture Tampa Jewish Federation - 01/26/2020	N/A		Honorarium	\$22,000
4	Lecture Miami Jewish Federation - 01/27/2020	N/A		Honorarium	\$20,000
5	Lecture Wash. Hebrew Congregation - 02/12/2020	N/A		Honorarium	\$10,000
6	Consultation Mus. Jewish Heritage	N/A		Consultation fee	\$3,000
7	Lecture UC Berkeley Hillel - 02/13/2020	N/A		Honorarium	\$20,000
8	Lecture Temple Emanuel Dallas - 02/21/2020	N/A		Honorarium	\$20,000
9	Lecture Sarasota Jewish Federation - 02/25/2020	N/A		Honorarium	\$15,000
10	Lecture American University - 02/19/2020	N/A		Honorarium	\$10,000
11	Lecture Vanderbilt U./Nashville Federation - 03/31/2020	N/A		Honorarium	\$25,000
12	Lecture Agudas Achim Cong, Columbus - 04/02/2020	N/A		Honorarium	\$5,000
13	Lecture Washington Lee Univ. - 10/21/2020	N/A		Honorarium	\$7,500
14	Lecture Kent State U. - 11/09/20	N/A		Honorarium	\$7,500
15	Lecture National Council of Jewish Women - 11/13/20	N/A		Honorarium	\$7,500
16	Lecture Religious Action Center - 12/10/20	N/A		Honorarium	\$1,800

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	"Beyond Belief," Simon Schuster 2020 (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than \$201)
18	"Eichmann Trial" Penguin Random House 2020 (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
19	"Antisemitism Here and Now" Authors Registry 2020 (Value not readily ascertainable)	N/A		Rent or Royalties	\$1,001 - \$2,500
20	Holocaust: An American Understanding," Rutgers Press 2020 (Value not readily ascertainable)	N/A		Rent or Royalties Interest	None (or less than \$201)
21	Lecture Milwaukee Jewish Federation - 11/20/2019	N/A		Honorarium	\$15,000
22	Lecture American Jew. Comm - 11/21/2019	N/A		Honorarium	\$15,000
23	Lecture Combined Jewish Philanthropies, Boston - 11/24/2019	N/A		Honorarium	\$7,500
24	Emory University	N/A		Salary	\$355,837
25	Writing fee Jewish Quarterly	N/A		Writing fee	\$5,000
26	Lecture Jewish National Fund - 6/04/2020	N/A		Honorarium	\$15,000
27	Lecture Univ. of North Georgia - 02/21/2021	N/A		Honorarium	\$7,000
28	Lecture Hillel International - 02/21/2021	N/A		Honorarium	\$2,000
29	Lecture Univ. of Florida Gainesville - 3/07/2021	N/A		Honorarium	\$7,500
30	Lecture San Fran. State Univ. (anticipated payment) - 3/09/2021	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	Lecture Young Israel Scarsdale - 03/21/2021	N/A		Honorarium	\$6,000
32	Lecture SUNY New Paltz - 04/07/2021	N/A		Honorarium	\$7,500
33	Lecture San Francisco Jewish Family and Child Services - 04/07/2021	N/A		Honorarium	\$7,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	Consultation Florentine Films	N/A		Consulting fee	\$2,400
35	Lecture Alums for Campus Fairness - 4/28/2021	N/A		Honorarium	\$7,500
36	Lecture Chicago Jewish high school - 06/02/2021	N/A		Honorarium	\$2,500
37	Lecture Jews United for Democracy - 06/09/2021	N/A		Honorarium	\$1,000
38	Lecture Cleveland Jewish Federation - 06/24/2021	N/A		Honorarium	\$7,500
39	Lecture Jewish Federation Birmingham - 03/04/2020	N/A		Honorarium	\$20,000
40	Lecture Jewish Federation Broward County - 3/05/2020	N/A		Honorarium	\$25,000
41	United States Holocaust Memorial Museum (9/19-5/20)	N/A		Research Fellowship	\$56,000
42	Antisemitism Here and Now, Random House paid via David Black Literary Agency (Value not readily ascertainable)	N/A		Rent or Royalties	\$5,001 - \$15,000
43	University of Washington Retirement Plan	No			
43.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
43.2	CREF STOCK R3	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
44	Emory University 401(k)	No			
44.1	Vanguard Total Bond Market Index Fund Investor Shares (VBMFX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
44.2	Fidelity Contrafund Class K Shares (FCNKX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44.3	CREF Stock R3 (QCSTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
44.4	TIAA Traditional	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Lecture, Los Angeles Jewish Federation - Sept. 1 & 2, 2021	N/A	\$15,001 - \$50,000		None (or less than \$201)
46	Jewish Book Council judge Sami Rohr Prize	N/A		Judging fees for Sami Rohr prize	\$500
47	University of California Retirement Plan (defined contribution plan)	No			
47.1	UC Dom EQ Index	Yes	\$50,001 - \$100,000		None (or less than \$201)
47.2	UC Intl Eq Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
48	University of California Retirement Plan (defined benefit plan)	N/A		Retirement payments (monthly)	\$770
49	Golda Meir biography (anticipated book advance from Yale University press)	N/A	\$50,001 - \$100,000		None (or less than \$201)
50	Book Contract Yale University Press (Golda Meir biography) (future royalties - value not ascertainable)	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Emory University	Atlanta, Georgia	I will take an unpaid three-year leave of absence from my faculty position. Emory will suspend payments to the defined contribution plan during my leave of absence.	7/2021

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	University of California Retirement Plan	Berkeley, California	I will continue to receive my retirement income from my University of California Defined Benefit Plan. The plan sponsor no longer makes contributions to the defined contribution portion of this plan.	3/1979
3	University of Washington	Seattle, Washington	The plan sponsor no longer makes contributions to this plan	7/1975

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Cong. Beth-El	Houston, Texas	Speaking Engagement
2	Palm Beach Jewish Federation	Palm Beach, Florida	Speaking Engagements
3	Tampa Jewish Federation	Tampa, Florida	Speaking Engagement
4	Miami Jewish Federation	Miami, Florida	Speaking Engagement
5	Washington Hebrew Cong.	Washington, DC, District of Columbia	Speaking Engagement
6	Univ. of California, Berkeley	Berkeley, California	Speaking Engagement
7	Temple Emanu-el	Dallas, Texas	Speaking Engagement
8	Sarasota Jewish Federation	Sarasota, Florida	Speaking Engagement
9	American Unversity	Washington DC, District of Columbia	Speaking Engagement
10	Vanderbilt University/Nashville Jewish Federation	Nashville, Tennessee	Speaking Engagement
11	Washington Lee University	Lexington, Virginia	Speaking Engagement

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
12	Kent State University	Kent, Ohio	Speaking Engagement
13	National Council of Jewish Women	Cleveland, Ohio	Speaking Engagement
14	Denver Jewish Federation	Denver, Colorado	Speaking Engagement
15	Toronto Holocaust Center	Toronto, Outside U.S.	Speaking Engagement
16	University of Texas, Austin	Austin, Texas	Speaking Engagement
17	Covenant Foundation	Chicago, Illinois	Speaking Engagement
18	Temple Emanuel Streiker Center	New York, New York	Speaking Engagement
19	United States Holocaust Memorial Museum	Washington, District of Columbia	Speaking Engagement/ Research Fellowship
20	SF JCC	San Francisco, California	Speaking Engagement
21	Jewish United Fund	Chicago, Illinois	Speaking Engagement
22	Duke Univ	Raleigh, North Carolina	Speaking Engagement
23	Rutgers Univ. Hillel	New Brunswick, New Jersey	Speaking Engagement
24	Assoc. of Jewish Communal Workers	Atlanta, Georgia	Speaking Engagement
25	Univ. of Nevada Law School	Las Vegas, Nevada	Speaking Engagement
26	Temple de Hirsch Sinai	Seattle, Washington	Speaking Engagement
27	Minneapolis Jewish Federation	Minneapolis, Minnesota	Speaking Engagement

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
28	Montreal jewish Federation	Montreal, Outside U.S.	Speaking Engagement
29	West Hartford Jewish	West Hartford, Connecticut	Speaking Engagement
30	Rockland County Holocaust Center	Rockland County, New York	Speaking Engagement
31	Taube Foundation	San Francisco, California	Speaking Engagement
32	Hartman Institute	Jerusalem, Outside U.S.	Speaking Engagement
33	Kansas City Jewish Federation	Kansas City, Kansas	Speaking Engagement
34	Tufts University	Medford, Massachusetts	Speaking Engagement
35	Youngstown Jewish Federation	Youngstown, Ohio	Speaking Engagement
36	Jewish National Fund	New York, New York	Speaking Engagement
37	Pittsburgh Jewish Federation	Pittsburgh, Pennsylvania	Speaking Engagement
38	Richmond Jewish Federation	Richmond, Virginia	Speaking Engagement
39	Anti-Defamation League	New York, New York	Speaking Engagement
40	American Jewish Committee	New York, New York	Speaking Engagement
41	Combined Jewish Philanthropies	Boston, Massachusetts	Speaking Engagement
42	Emory University	Atlanta, Georgia	Teaching/ research

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
43	University of North Georgia	Cummings, Georgia	Speaking Engagement
44	Univ. of Florida	Gainsville, Florida	Speaking Engagement
45	Young Israel of Scarsdale	Scarsdale, New York	Speaking Engagement
46	SUNY New Paltz	New Paltz, New York	Speaking Engagement
47	Alumni for Campus Fairness	Los Angeles, California	Speaking Engagement
48	Cleveland Jewish Federation	Cleveland, Ohio	Speaking Engagement
49	Cardozo Law School	New York, New York	Speaking Engagement
50	Birmingham Jewish Federation	Birmingham, Alabama	Speaking Engagement
51	Jewish Federation of Broward County	Fort Lauderdale, Florida	Speaking Engagement
52	Milwaukee Jewish Federation	Milwaukee, Wisconsin	Speaking engagement
53	San Francisco Jewish Family & Child Services	San Francisco, California	Speaking Engagement

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (cash)	N/A	\$250,001 - \$500,000	Interest	None (or less than \$201)
2	BK OF AMERICA CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	DIAMOND HILL LARGE CAP FUND CLASS I (DHLRX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
4	JPMORGAN CHASE & CO COM	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5	PFIZER INC COM	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6	VANGUARD MID-CAP VALUE INDEX FUND	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7	VANGUARD S&P 500 ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Morgan Stanley Private Bank NA (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
10	AT&T	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	Bank Nova Scotia B C NT IkD DJI 22	N/A	\$50,001 - \$100,000		None (or less than \$201)
12	Barclays Bk PLC NIT DJI 23	N/A	\$50,001 - \$100,000		None (or less than \$201)
13	First Trust GBL Tactical STG F	Yes	\$50,001 - \$100,000		None (or less than \$201)
14	Franklin Intl Growth FD A	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
15	First TR Instl PFD SECS ETF`	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	Franklin Low Dur Total RET A	Yes	\$15,001 - \$50,000		\$201 - \$1,000
17	Franklin Growth Opport A	Yes	\$1,001 - \$15,000		\$201 - \$1,000
18	Franklin Rising Dividends A	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
19	Franklin Fed INTM TRM TXFR	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
20	Templeton Foreign Fund A	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Goldman Sachs US EQ Inight A	Yes	\$100,001 - \$250,000		\$201 - \$1,000
22	Valuemark II (Variable Annuity)	No			
22.1	AZL Government Money Market Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
23	DOUBLELINE TOTAL RETURN BOND FUND	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
24	CROSSINGBRIDGE LOW DUR HI YLD INSTL	Yes	\$15,001 - \$50,000		\$201 - \$1,000
25	VARIANT ALTERNATIVE INCOME FD INSTL CL	Yes	\$50,001 - \$100,000		None (or less than \$201)
26	RIVERPARK SHORT TERM HIGH YIELD INSTL	Yes	\$100,001 - \$250,000		None (or less than \$201)
27	SHENKMAN CAPITAL SHORT DUR HI INC INST	Yes	\$15,001 - \$50,000		None (or less than \$201)
28	VOYA SECURITIZED CREDIT I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
29	VANGUARD EMERGING MKTS STOCK IDX ADM	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	VANGUARD 500 INDEX ADMIRAL	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
31	VANGUARD DEVELOPED MARKETS INDEX ADMIRAL	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
32	VANGUARD SMALL CAP INDEX ADM	Yes	\$50,001 - \$100,000		\$201 - \$1,000
33	VANGUARD FTSE ALL-WLD EX-US SMCP IDX ADM	Yes	\$15,001 - \$50,000		\$201 - \$1,000
34	Abbott Laboratories	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	AbbVie, Inc. (ABBV)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
36	Air Products and Chemicals, Inc. (APD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
37	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Automatic Data Processing, Inc. (ADP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	Best Buy	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Broadcom, Inc. (AVGO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
42	Chevron Corp. (CVX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
43	Cisco Systems, Inc. (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
44	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Crown Castle International Corp. (CCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46	Eversource Energy	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	Home Depot	N/A	\$1,001 - \$15,000		None (or less than \$201)
48	Honeywell Intl. inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	Illinois Tool Works, Inc. (ITW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	Eli Lilly & Co. (LLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Lockheed Martin Corp. (LMT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
53	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	Merck & Co., Inc. (MRK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
55	Mondelez Intl Inc	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
56	PNC FINL	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	Paychex, Inc. (PAYX)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
58	PepsiCo, Inc. (PEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	Proctor & Gamble	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
61	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	Realty Income Corp. (O)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	Sempra	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
64	Starbucks Corp. Washington	N/A	\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000
65	Texas Instruments	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
66	Truist Finl Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
67	U.S. Bancorp Com New	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	WEC Energy Group, Inc. (WEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
70	Eaton Corp PLC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
71	PIMCO Income I2	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
72	TRANSAMERICA SHT TERM BOND 1	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
73	Guardian Life Insurance, whole life	N/A	\$100,001 - \$250,000		None (or less than \$201)
74	The Standard Life Insurance, Whole Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
75	Family Trust #1	No			
75.1	ATLANTA GA WTR & WASTEWTR REV 5% 11/01/2023	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
75.2	ATLANTA GA WTR & WASTEWTR REV 5% 11/01/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.3	CLARKE CNTY GA HOSP AUTH REV C 5% 01/01/2025	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
75.4	DAWSON CNTY GA SCH DIST 5% 08/01/2021	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
75.5	DE KALB CNTY GA WTR & SEW REV 5.25% 10/01/2032	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.6	DEKALB CNTY GA PUB SAFETY & JU 5% 12/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.7	FAIRBURN GA 5.75% 12/01/2024	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
75.8	FORSYTH CNTY GA SCH DIST 5% 02/01/2025	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.9	FORSYTH CNTY GA WTR & SEW AUTH 5% 04/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.10	GEORGIA ST HSG & FIN AUTH REV 2.35% 12/01/2022	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
75.11	GWINNETT CNTY GA SCH DIST 5% 02/01/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
75.12	JEFFERSON GA PUB BLDG AUTH REV 4% 03/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.13	Columbus GA W/S (bond)05/01/30	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
75.14	Atlanta GA STDNT HS (bond) 09/01/32	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.15	Cherokee CNTY GA SC (bond) 02/01/26	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.16	Forsyth CNTY GA W/S (bond) 04/01/26	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
75.17	BARROW CNTY GA SD (bond) 4% 02/01/2028	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.18	COLUMBUS GA W/S (bond) 05/01/26	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.19	DEKALB CNTY GA W (bond) 10/01/32	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
75.20	AMGEN INC.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
75.21	Johnson & Johnson (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
75.22	MARATHON PETE CORP.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
75.23	NextEra Energy, Inc. (NEE)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
75.24	SPDR GOLD SHARES ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
75.25	FRANKLIN NY TAX FREE INCOME FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
75.26	CULLEN HIGH DIVIDEND EQUITY FUND	Yes	\$50,001 - \$100,000		\$201 - \$1,000
75.27	DF DENT MIDCAP GROWTH FUND	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
75.28	Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
75.29	HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO	Yes	\$15,001 - \$50,000		\$201 - \$1,000
75.30	Touchstone Large Company Growth Fund Institutional Class Shares (DSMLX)	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
75.31	Tributary Small Company Fund Institutional Plus Class Shares (FOSBX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
75.32	WCM Focused International Growth Fund Institutional Class Shares (WCMIX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
75.33	Alger Weatherbie Specialized Growth Fund Class Z Shares (ASMZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
75.34	Georgia St. 5%22 7/01/21 (bond)	N/A	\$15,001 - \$50,000		None (or less than \$201)
76	COLLEGE AMERICA VIRGINIA 529 PLAN	No			
76.1	American Funds College 2033 Fund Class 529-A Shares (CTLAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
77	College America Virginia 529 Plan	No			
77.1	American 529 College Fund 2036 A	Yes	\$50,001 - \$100,000		None (or less than \$201)
77.2	American 529 College Fund 2036 C	Yes	\$1,001 - \$15,000		None (or less than \$201)
78	Viatis Inc. (VTRS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
79	Georgia St 5.25% 07/01/21 (bond)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
80	DF Dent MidCap Growth Fund Institutional Class Shares (DFMGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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