Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

#### **Executive Branch Personnel**

## **Public Financial Disclosure Report:**

Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Cisneros, Gilbert R [electronically signed on 02/14/2023 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Raab, Dean, Certifying Official [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov] /s/ Raab, Dean, Screener [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 04/14/2023 by Granahan, Megan in Integrity.gov]

## Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	RENTOKIL INTL SPNSRD ADR	Purchase	01/03/2023	No	\$15,001 - \$50,000
2	ISHARES CHINA LARGECAP	Purchase	01/04/2023	No	\$1,001 - \$15,000
3	ILLUMINA INC	Purchase	01/06/2023	No	\$1,001 - \$15,000
4	ALPHABET INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
5	BIO RAD LABS CL A	Purchase	01/09/2023	No	\$1,001 - \$15,000
6	BIOGEN INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
7	DIGITAL RLTY TR INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
8	WALT DISNEY CO	Purchase	01/09/2023	No	\$1,001 - \$15,000
9	DOMINION ENERGY INC	Purchase	01/09/2023	No	\$15,001 - \$50,000
10	FIDELITY NATL INFO SVCS	Purchase	01/09/2023	No	\$1,001 - \$15,000
11	FISERV INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
12	GE HEALTHCARE	Purchase	01/09/2023	No	\$1,001 - \$15,000
13	ILLUMINA INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
14	INVITATION HOMES INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
15	PG&E CORP	Purchase	01/09/2023	No	\$1,001 - \$15,000
16	PUBLIC STORAGE	Purchase	01/09/2023	No	\$1,001 - \$15,000
17	SALESFORCE INC	Purchase	01/09/2023	No	\$15,001 - \$50,000
18	T-MOBILE US INC	Purchase	01/09/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	TAKE TWO INTER SOFTWARE	Purchase	01/09/2023	No	\$1,001 - \$15,000
20	TYLER TECHS INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
21	VERTEX PHARMCTLS INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
22	VIATRIS INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
23	ZOETIS INC	Purchase	01/09/2023	No	\$1,001 - \$15,000
24	ILLUMINA INC	Purchase	01/10/2023	No	\$1,001 - \$15,000
25	RESONA HOLDINGS INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
26	ACTIVISION BLIZZARD INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
27	ALPHABET INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
28	AUTOMATIC DATA PROC	Purchase	01/11/2023	No	\$1,001 - \$15,000
29	BECTON DICKINSON CO	Purchase	01/11/2023	No	\$1,001 - \$15,000
30	ENPHASE ENERGY INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
31	LABORATORY CORP AMER HLDGS	Purchase	01/11/2023	No	\$1,001 - \$15,000
32	QUEST DIAGNOSTICS INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
33	VERTEX PHARMCTLS INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
34	VICI PPTYS INC	Purchase	01/11/2023	No	\$1,001 - \$15,000
35	VISA INC CL A	Purchase	01/11/2023	No	\$1,001 - \$15,000
36	ILLUMINA INC	Purchase	01/12/2023	No	\$1,001 - \$15,000
37	TFI INTERNATIONAL INC	Purchase	01/18/2023	No	\$1,001 - \$15,000
38	ALLEGRO MICROSYSTEMS INC	Purchase	01/24/2023	No	\$1,001 - \$15,000
39	ARCUTIS BIOTHERAPEUTICS	Purchase	01/24/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
40	BIOCRYST PHARMACEUTICALS	Purchase	01/24/2023	No	\$1,001 - \$15,000
41	BOOT BARN HOLDINGS INC	Purchase	01/24/2023	No	\$1,001 - \$15,000
42	NOVANTA INC	Purchase	01/24/2023	No	\$1,001 - \$15,000
43	PRIMORIS SERVICES CORP	Purchase	01/24/2023	No	\$1,001 - \$15,000
44	KLA CORP	Sale	01/06/2023	No	\$1,001 - \$15,000
45	VISTEON CORP	Sale	01/06/2023	No	\$1,001 - \$15,000
46	ANSYSINC	Sale	01/09/2023	No	\$1,001 - \$15,000
47	ADVNCD MICRO DEVICES INC	Sale	01/09/2023	No	\$1,001 - \$15,000
48	ARCHER DANIELS MIDLAND	Sale	01/09/2023	No	\$1,001 - \$15,000
49	CITIGROUP INC	Sale	01/09/2023	No	\$1,001 - \$15,000
50	CVS HEALTH CORP	Sale	01/09/2023	No	\$1,001 - \$15,000
51	ELECTRONIC ARTS INC	Sale	01/09/2023	No	\$1,001 - \$15,000
52	EPAM SYSTEMS INC	Sale	01/09/2023	No	\$1,001 - \$15,000
53	ETSY INC	Sale	01/09/2023	No	\$1,001 - \$15,000
54	FASTENAL COMPANY	Sale	01/09/2023	No	\$1,001 - \$15,000
55	GEN DIGITAL INC	Sale	01/09/2023	No	\$1,001 - \$15,000
56	HORMEL FOODS CORP	Sale	01/09/2023	No	\$1,001 - \$15,000
57	INTEL CORP	Sale	01/09/2023	No	\$1,001 - \$15,000
58	LENNAR CORP	Sale	01/09/2023	No	\$1,001 - \$15,000
59	MOLINA HEALTHCARE INC	Sale	01/09/2023	No	\$1,001 - \$15,000
60	OCCIDENTAL PETE CORP	Sale	01/09/2023	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
61	PAYCOM SOFTWARE INC		Sale	01/09/2023	No	\$1,001 - \$15,000
62	PIONEER NATURAL RES CO		Sale	01/09/2023	No	\$1,001 - \$15,000
63	QUALCOMM INC		Sale	01/09/2023	No	\$1,001 - \$15,000
64	SERVICENOW INC		Sale	01/09/2023	No	\$1,001 - \$15,000
65	TESLA INC		Sale	01/09/2023	No	\$1,001 - \$15,000
66	WELLS FARGO & CO		Sale	01/09/2023	No	\$1,001 - \$15,000
67	3M COMPANY		Sale	01/09/2023	No	\$1,001 - \$15,000
68	CIGNA CORP		Sale	01/11/2023	No	\$15,001 - \$50,000
69	INTEL CORP		Sale	01/11/2023	No	\$1,001 - \$15,000
70	INTUIT INC		Sale	01/11/2023	No	\$1,001 - \$15,000
71	INTUITIVE SURGICAL INC		Sale	01/11/2023	No	\$1,001 - \$15,000
72	SERVICENOW INC		Sale	01/11/2023	No	\$1,001 - \$15,000
73	TESLA INC		Sale	01/11/2023	No	\$1,001 - \$15,000
74	HUNT J B TRANS SVCS INC		Sale	01/18/2023	No	\$15,001 - \$50,000
75	LAM RESEARCH CORP		Sale	01/18/2023	No	\$1,001 - \$15,000
76	SEALED AIR CORP		Sale	01/18/2023	No	\$1,001 - \$15,000
77	MACOM TECHNOLOGY		Sale	01/24/2023	No	\$1,001 - \$15,000
78	SYNEOS HEALTH INC		Sale	01/24/2023	No	\$1,001 - \$15,000
79	SPDR S&P MidCap 400 ETF (MDY)		Sale	01/04/2023	No	\$250,001 - \$500,000
80	Put Amazon.com, Inc. (AMZN)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
81	Put The Walt Disney Co. (DIS)	See Endnote		01/18/2023	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
82	Put Apple, Inc. (AAPL)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
83	Put NVIDIA Corp. (NVDA)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
84	Put Alphabet, Inc. (GOOG)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
85	Put Tesla, Inc. (TSLA)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
86	Put Applied Materials, Inc. (AMAT)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
87	Put Advanced Micro Devices, Inc. (AMD)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
88	Put JPMorgan Chase & Co. (JPM)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
89	Put lululemon athletica, Inc. (LULU)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
90	Put Netflix, Inc. (NFLX)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
91	Put NIKE, Inc. (NKE)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
92	Put Starbucks Corp. (SBUX)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
93	Put Goldman Sachs Group Inc (GS)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
94	Put EOG Resources, Inc. (EOG)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
95	Put Pioneer Natural Resources Co. (PXD)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
96	Put Tesla, Inc. (TSLA)	See Endnote		01/18/2023	No	\$1,001 - \$15,000
97	Terminix Global Holdings, Inc. (TMX)	See Endnote	Exchange	10/14/2022	No	\$15,001 - \$50,000

# Endnotes

PART	#	ENDNOTE
Transactions	80	opened written put option position, strike price \$80, exp. 2/17/2023
Transactions	81	opened written put option position, strike price \$90, exp. 2/17/2023

PART	#	ENDNOTE
Transactions	82	opened written put option position, strike price \$120, exp. 2/17/2023
Transactions	83	opened written put option position, strike price \$145, exp. 2/17/2023
Transactions	84	opened written put option position, strike price \$80, exp. 2/17/2023
Transactions	85	opened written put option position, strike price \$95, exp. 2/17/2023
Transactions	86	opened written put option position, strike price \$90, exp. 2/17/2023
Transactions	87	opened written put option position, strike price \$60, exp. 2/17/2023
Transactions	88	opened written put option position, strike price \$130, exp. 2/17/2023
Transactions	89	opened written put option position, strike price \$280, exp. 2/17/2023
Transactions	90	opened written put option position, strike price \$260, exp. 2/17/2023
Transactions	91	opened written put option position, strike price \$115, exp. 2/17/2023
Transactions	92	opened written put option position, strike price \$97.50, exp. 2/17/2023
Transactions	93	opened written put option position, strike price \$315, exp. 2/17/2023
Transactions	94	opened written put option position, strike price \$115, exp. 2/17/2023
Transactions	95	opened written put option position, strike price \$210, exp. 2/17/2023
Transactions	96	opened written put option position, strike price \$180, exp. 2/17/2023
Transactions	97	Exchange not disclosed on brokerage statement until January 2023

### **Summary of Contents**

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections: (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.