

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Markell, Jack A

U.S. Representative to Organization for Economic Cooperation and Development w/rank of Ambassador, Department of State

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

White House Coordinator, Operation Allies Welcome (9/2021 - 1/2022)

Ambassador to OECD, State Department (1/2022 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Markell, Jack A [electronically signed on 01/19/2023 by Markell, Jack A in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Green, Marcella, Certifying Official [electronically signed on 02/24/2023 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 02/24/2023 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/17/2023 by Granahan, Megan in Integrity.gov]

Data Revised 02/24/2023

Data Revised 02/22/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust	Wilmington, Delaware	Trust	Trustee	7/1997	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rollover IRA	No			
1.1	First American Gov't. Obligations CL Z	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Brown Advisory Total Return Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.3	Exact Sciences Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.4	MiMedx Group, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.5	Brown Adv. Small Cap Growth CI Inv	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Brown Advisory Small-Cap Fundamental Value Fund Institutional Class Shares (BAUUX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.7	Brown Adv. Flexible Equity	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.8	Brown Advisory Sustainable Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Brown Adv. Global Leaders	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.10	Brown Advisory Investors 2018-TG PIP XI, LLLP	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.11	Brown Adv. Private Equity Partners V (TE), LLLP	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.12	Tilia Fund I	No	\$100,001 - \$250,000		None (or less than \$201)
1.12.1	Re-frig It (cold storage and warehousing)	N/A			
1.12.2	Certified Laboratories (analytical chemistry)	N/A			
1.12.3	Universal Pure (high pressure food processing)	N/A			
1.12.4	PPG (contract food manufacturing)	N/A			
1.12.5	Ellison Bakery (bakery solutions)	N/A			
1.13	Aviator Fund V	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
1.14	Line intentionally left blank				
1.15	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.16	U.S. Treasury Bill	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
1.17	Line intentionally left blank				
1.18	Artisan International Value Fund Institutional Shares (APHKX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2	Roth IRA	No			
2.1	First American Gov. Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2	Brown Adv. Global Leaders Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Jack Markell Consulting LLC i401k	No			
3.1	US Bank (#1) Cash	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	Brown Advisory Total Return Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.3	Brown Advisory Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Brown Advisory Flexible Equity	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.5	Brown Advisory Sustainable Growth	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.6	Cardinal Small Cap Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	Artisan International Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	Brown Advisory Global Leaders	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.9	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4	Graham Holdings	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
5	FS Credit Real Estate Income Trust shares	See Endnote	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Nanocan stock options vested, Nanocan is focused on treating cancer. (value not readily ascertainable). Number of shares is 16,625 at an exercise price of \$.09 per share. Expiration date of July 2030.	See Endnote	N/A		None (or less than \$201)
7	One Concern Restricted Stock Units, 8,125 vested shares. One Concern helps its customers prepare for and mitigate unpredictable disasters. Most recent price per share is \$2.03		N/A	\$15,001 - \$50,000	None (or less than \$201)
8	Everside Health equity plan consisting of vested Value Units which provide increasing equity ownership as the original equity holders earn profits. Everside Health is a direct primary care provider operating health clinics in a majority of states.		N/A	\$100,001 - \$250,000	None (or less than \$201)
9	VEPF Supermoose Holdings; fully vested shares (value not easily ascertainable) for Superion (now called Central Squares Technologies) as a result of prior board service. Central Square Technologies provides public software to local governments in North America		N/A	\$250,001 - \$500,000	Capital Gains None (or less than \$201)
10	Jack Markell Consulting LLC (management consulting)		N/A		
10.1	U.S. bank (cash)		N/A	\$15,001 - \$50,000	None (or less than \$201)
11	State of Delaware, defined benefit plan; already collecting; value not easily ascertainable		N/A		pension payments \$38,400
12	Nanocan stock options vested, Nanocan is focused on treating cancer. (value not readily ascertainable). Number of shares is 4,750 at an exercise price of \$.09 per share and expiration date of July 2030.		N/A		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	One Concern Restricted Stock Units, 6,875 unvested shares. One Concern helps its customers prepare for and mitigate unpredictable disasters. Most recent price per share is \$2.03	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Everside Health equity plan consisting of unvested Value Units which provide increasing equity ownership as the original equity holders earn profits. (Value not readily ascertainable – company provided a value of \$4.00 per value unit as of 6/1/21.) Everside Health is a direct primary care provider operating health clinics in a majority of states.	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Jack Markell i401K	Wilmington, Delaware	I will continue to participate in this defined contribution plan, and the plan sponsor will continue to make contributions.	9/2017
2	State of Delaware Health Insurance	Dover, Delaware	As a retiree from the state of Delaware with 18 years of service, I am entitled to lifelong insurance.	1/2009
3	State of Delaware	Dover, DE	I will continue to participate in this defined benefit plan.	3/2017
4	Jack Markell Consulting LLC	Wilmington, Delaware	Upon confirmation, I will place my consulting business in an inactive status. I am not owed any fees for services.	4/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			
1.1	Brown Advisory Global Leaders Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Inherited IRA	No		cash distribution	\$357
2.1	First American Gov. Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)
2.2	Brown Advisory Global Leaders	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	SEP/Traditional IRA	No			
3.1	First American Government Obligations	Yes	None (or less than \$1,001)		None (or less than \$201)
3.2	American Balanced Fund Class F2 Shares (AMBFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	American Bond Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	American Cap Inc Builder F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	American Cap Wrld Gr & Inc	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	American Europacific GRW F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	American GW FD of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	American Fundamental INV	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.9	American New Perspective F2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Black Rock Global Allocation I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	American Fds Fundamental	Yes	None (or less than \$1,001)		None (or less than \$201)
3.12	American Fds Cap World G/I	Yes	None (or less than \$1,001)		None (or less than \$201)
3.13	American Funds Bond Fund of America	Yes	None (or less than \$1,001)		None (or less than \$201)
3.14	American Fds Bal Fd F2	Yes	None (or less than \$1,001)		None (or less than \$201)
3.15	American Fds Cap Inc Bldr	Yes	None (or less than \$1,001)		None (or less than \$201)
3.16	Black Rock Global Allocation Fd	Yes	None (or less than \$1,001)		None (or less than \$201)
4	Carla Markell Sole Proprietor i401K	No			
4.1	US bank (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Brown Advisory Total Return Fund Institutional Class Shares (BAFTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.3	Brown Advisory Small Cap Growth CI Inv	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Brown Adv. Flexible Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.5	Brown Advisory Sustainable Growth CI Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.6	Brown Advisory Small Cap Fundamental Value	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Brown Advisory - Beutel Goodman Large-Cap Value Fund Institutional Class Shares (BVALX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.8	Artisan International Value Fund Investor Shares (ARTKX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Brown Advisory Global Leaders Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.10	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	First American Gov't. Obligations CI Z	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	First American Gov't. Obligations Income	Yes	None (or less than \$1,001)		None (or less than \$201)
3	Brown Advisory Tax Exempt Bond FundCI Inst	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4	Brown Advisory Small Cap Growth CI Inv	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Vanguard Dividend Growth	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6	Brown Advisory Sustainable Growth CI Inst	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Brown Advisory Small Cap Fundamental Value CI I	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Brown Advisory Global Leaders Fund	Yes	\$100,001 - \$250,000		\$201 - \$1,000
9	DFA Emerging Markets Sustainability Core 1 Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10	First American Government Obligations CI Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	Brown Advisory Tax Exempt Bond CI Inst	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
12	Brown Advisory Global Leaders CI Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Brown Advisory Real Estate Partners II (QP), LLLP	Yes	\$50,001 - \$100,000		None (or less than \$201)
14	Brown Advisory Private Equity Partners VIII (QP), LLLP	Yes	\$50,001 - \$100,000		None (or less than \$201)
15	US Bank (#2 Cash)	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
16	Blackrock National Muni Opportunity A	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
17	Fannie Mae Pfd. stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Freddie Mac Series Z stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	T-Mobile	N/A	None (or less than \$1,001)		None (or less than \$201)
20	Black Rock National Muni A	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
21	Morgan Stanley PBNA Preferred Savings	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	Variable Annuity TA Retirement Plus	Yes	\$50,001 - \$100,000		
22.1	Blackrock Advantage Large Cap VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
22.2	Blackrock Large Cap Focus Growth VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
22.3	Blackrock Advantage Small Cap Mid Cap VI	Yes	\$15,001 - \$50,000		None (or less than \$201)
22.4	Blackrock S&P 500 Index VI Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	FS Credit Real Estate Income Trust	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
24	Davis Global Fund Class A	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	Graham Holdings	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
26	Fidelity Government Money Market Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Direxion Daily S&P 500 Bear 1X Shares (SPDN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Proshares UltraPro Short QQQ (SQQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Play2Pay - enabling mobile phone users to pay service provider bills by laying games	N/A	\$50,001 - \$100,000		None (or less than \$201)
30	Reciprocity Health - designing patient engagement campaign to improve health	N/A	\$15,001 - \$50,000		None (or less than \$201)
31	Chuck - building a consumer entertainment app for sports fans	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Poplar Ventures is a venture capital firm based in Louisville that invests in early-stage, growth-stage, cloud-based software companies.	No	\$15,001 - \$50,000		None (or less than \$201)
32.1	SkuVault, cloud-based inventory man	N/A			
32.2	PERQ Software LLC, digital marketing	N/A			
32.3	Podchaser is a provider of social database and discovery solutions for the podcasting industry.	N/A			
32.4	Switcher, Inc., livestream video solutions	N/A			
32.5	VividCharts	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
33	Centerville Road, Wilmington, Delaware - office building for eye doctor and lawyer owned by Centerville Property Associates of which I am a 20% owner;	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
34	Woolen Way - student housing in Newark, Delaware owned by Woolen Way Associates of which I am a 4.0% owner;	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
35	Creekview - restaurant and office space in Newark, Delaware owned by Creek View Road Associates of which I am a 20% owner	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
36	Choate Street - student housing in Newark, Delaware; owned by Choate Street Associates (I am a 4.42% owner)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
37	White Clay/Hillside - student housing in Newark, Delaware owned by White Clay Hillside Associates of which I am a 4.42% owner	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
38	Center Street - student housing in Newark, Delaware owned by Lofts at Center Street Associates of which I am a 10.5% owner	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
39	Horseshoe - student housing in Newark, Delaware owned by Horseshoe LLC of which I am a 15% owner	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
40	Hotel Main Street , Newark, Delaware - not yet built but in process along with student housing; ; I am a very small minority owner	N/A	\$100,001 - \$250,000		None (or less than \$201)
41	Chapel Street Project - to be determined real estate project in Newark, DE; I am a very small minority owner.	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	Delaware College Investment Plan - Fidelity DE Aggressive Growth Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
43	Delaware College Investment Plan - Fidelity DE Moderate Growth Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
44	Delaware College Investment Plan - Fidelity DE Conservative Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
45	Met Life Whole Life - CM	N/A	\$100,001 - \$250,000		None (or less than \$201)
46	Met Life Whole Life - JM	N/A	\$250,001 - \$500,000		None (or less than \$201)
47	New Street Associates - student housing in Newark, Delaware owned by New Street Associates of which I am a 12.5% owner	N/A	\$100,001 - \$250,000		\$15,001 - \$50,000
48	Everside Health	N/A	\$100,001 - \$250,000		None (or less than \$201)
49	Undeveloped land, St. John, US Virgin Island	N/A	\$50,001 - \$100,000		None (or less than \$201)
50	Symbiont.io	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)
51	Lord Abbett Ultra Short Bond Fund Class A Shares (LUBAX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
52	US Bank (#3) Cash	N/A	\$50,001 - \$100,000		\$201 - \$1,000
53	The Home Depot, Inc. (HD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	McDonald's Corp. (MCD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
55	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	Darling Ingredients, Inc. (DAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
57	The Coca-Cola Co. (KO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	Procter & Gamble Co. (PG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	EOG Resources, Inc. (EOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
61	Phillips 66 (PSX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	American Express Co. (AXP)	N/A	None (or less than \$1,001)		None (or less than \$201)
63	Truist Financial	N/A	\$1,001 - \$15,000		None (or less than \$201)
64	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
65	Capital One Financial Corp. (COF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
66	JPMorgan Chase & Co. (JPM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
67	Markel Corp. (MKL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	Marsh & McLennan Cos., Inc. (MMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	Morgan Stanley (MS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
70	U.S. Bancorp (USB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
71	Wells Fargo & Co.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
72	The Bank of New York Mellon Corporation (BK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
73	Discover Financial Services (DFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
74	Loews Corp. (L)	N/A	None (or less than \$1,001)		None (or less than \$201)
75	Chubb Ltd. (CB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
76	Quest Diagnostics, Inc. (DGX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
77	UnitedHealth Group, Inc. (UNH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
78	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
79	Honeywell International, Inc. (HON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
80	Republic Services, Inc. (RSG)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
81	Union Pacific Corp. (UNP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
82	United Parcel Service, Inc. (UPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
83	Raytheon Co. (RTN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
84	Owens Corning (OC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
85	Teck Resources	N/A	\$1,001 - \$15,000		None (or less than \$201)
86	Linde Plc (LIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
87	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
88	American Electric Power Co., Inc. (AEP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
89	NextEra Energy, Inc. (NEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
90	US Treasury Bill	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
91	MBNA Preferred Savings QC	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
92	Light and Wonder (LNW)	N/A	\$250,001 - \$500,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT	
1	investment by Poplar Ventres	See Endnote	Purchase	01/03/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
2	Scientific Games Corp. (SGMS)		Sale	01/05/2022	\$50,001 - \$100,000
3	Scientific Games Corp. (SGMS)		Sale	01/03/2022	\$50,001 - \$100,000
4	Scientific Games Corp. (SGMS)		Sale	01/04/2022	\$50,001 - \$100,000
5	Scientific Games Corp. (SGMS)		Sale	01/06/2022	\$15,001 - \$50,000
6	Scientific Games Corp. (SGMS)		Sale	01/07/2022	\$15,001 - \$50,000
7	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/01/2022	\$15,001 - \$50,000
8	Anterix, Inc. (ATEX)	See Endnote	Sale	01/03/2022	\$1,001 - \$15,000
9	Anterix, Inc. (ATEX)	See Endnote	Sale	02/04/2022	\$15,001 - \$50,000
10	Anterix, Inc. (ATEX)	See Endnote	Sale	02/07/2022	\$1,001 - \$15,000
11	Anterix, Inc. (ATEX)	See Endnote	Sale	02/09/2022	\$1,001 - \$15,000
12	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/04/2022	\$15,001 - \$50,000
13	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/07/2022	\$15,001 - \$50,000
14	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/09/2022	\$1,001 - \$15,000
15	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/10/2022	\$1,001 - \$15,000
16	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/11/2022	\$1,001 - \$15,000
17	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/14/2022	\$15,001 - \$50,000
18	Anterix, Inc. (ATEX)	See Endnote	Sale	02/15/2022	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
19	Scientific Games Corp. (SGMS)	See Endnote	Sale	02/15/2022	\$15,001 - \$50,000
20	Vividcharts, Inc.	See Endnote	Purchase	01/20/2022	\$1,001 - \$15,000
21	Anterix, Inc. (ATEX)	See Endnote	Sale	02/25/2022	\$15,001 - \$50,000
22	Anterix, Inc. (ATEX)	See Endnote	Sale	03/01/2022	\$15,001 - \$50,000
23	Anterix, Inc. (ATEX)	See Endnote	Sale	03/04/2022	\$15,001 - \$50,000
24	Scientific Games Corp. (SGMS)	See Endnote	Sale	03/03/2022	\$50,001 - \$100,000
25	Anterix, Inc. (ATEX)	See Endnote	Sale	03/07/2022	\$1,001 - \$15,000
26	Anterix, Inc. (ATEX)	See Endnote	Sale	03/10/2022	\$1,001 - \$15,000
27	Scientific Games Corp. (SGMS)		Sale	03/10/2022	\$1,001 - \$15,000
28	Anterix, Inc. (ATEX)	See Endnote	Sale	03/16/2022	\$15,001 - \$50,000
29	Anterix, Inc. (ATEX)	See Endnote	Sale	03/22/2022	\$1,001 - \$15,000
30	Anterix, Inc. (ATEX)	See Endnote	Sale	03/25/2022	\$1,001 - \$15,000
31	Anterix, Inc. (ATEX)	See Endnote	Sale	03/29/2022	\$15,001 - \$50,000
32	Anterix, Inc. (ATEX)	See Endnote	Sale	04/05/2022	\$1,001 - \$15,000
33	Anterix, Inc. (ATEX)	See Endnote	Sale	04/07/2022	\$1,001 - \$15,000
34	Anterix, Inc. (ATEX)	See Endnote	Sale	04/19/2022	\$1,001 - \$15,000
35	Marvin and Palmer	See Endnote	Sale	03/31/2022	\$250,001 - \$500,000
36	eyen		Purchase	05/09/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
37	Anterix, Inc. (ATEX)	See Endnote	Purchase	06/01/2022	\$50,001 - \$100,000
38	Bed Bath & Beyond, Inc. (BBBY)		Purchase	08/04/2022	\$1,001 - \$15,000
39	BBH Limited Duration Fund Class I Shares (BBBIX)		Sale	08/12/2022	\$1,001 - \$15,000
40	BBH Limited Duration Fund Class I Shares (BBBIX)		Sale	08/15/2022	\$1,001 - \$15,000
41	BBH Limited Duration Fund Class I Shares (BBBIX)		Sale	08/16/2022	\$1,001 - \$15,000
42	Graham Holdings Co. (GHC)		Sale	10/04/2022	\$1,001 - \$15,000
43	Light & Wonder Inc. Common Stock (LNW)	See Endnote	Purchase	10/18/2022	\$100,001 - \$250,000
44	Light & Wonder Inc. Common Stock (LNW)		Sale	10/20/2022	\$1,001 - \$15,000
45	Light & Wonder Inc. Common Stock (LNW)		Sale	10/21/2022	\$1,001 - \$15,000
46	Light & Wonder Inc. Common Stock (LNW)		Sale	10/24/2022	\$1,001 - \$15,000
47	Light & Wonder Inc. Common Stock (LNW)		Sale	10/25/2022	\$1,001 - \$15,000
48	Light & Wonder Inc. Common Stock (LNW)		Sale	10/26/2022	\$1,001 - \$15,000
49	Light & Wonder Inc. Common Stock (LNW)		Sale	10/28/2022	\$1,001 - \$15,000
50	Light & Wonder Inc. Common Stock (LNW)		Sale	11/01/2022	\$1,001 - \$15,000
51	Light & Wonder Inc. Common Stock (LNW)		Sale	11/10/2022	\$1,001 - \$15,000
52	Light & Wonder Inc. Common Stock (LNW)		Sale	11/14/2022	\$1,001 - \$15,000
53	Light & Wonder Inc. Common Stock (LNW)		Sale	11/15/2022	\$1,001 - \$15,000
54	Light & Wonder Inc. Common Stock (LNW)		Sale	11/22/2022	\$1,001 - \$15,000
55	Ambev SA (ABEV)		Sale	12/23/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
56	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)		Purchase	05/07/2022	\$15,001 - \$50,000
57	Artisan International Value Fund Institutional Shares (APHKX)		Purchase	12/20/2022	\$50,001 - \$100,000
58	Lazard Global Listed Infrastructure Portfolio Institutional Shares	See Endnote	Purchase		\$1,001 - \$15,000
59	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)		Purchase	05/27/2022	\$1,001 - \$15,000
60	Artisan International Value Fund Investor Shares		Purchase	12/20/2022	\$1,001 - \$15,000
61	Brown Advisory -Emerging Markets Select Fund Institutional Class Shares (BAFQX)		Purchase	05/27/2022	\$1,001 - \$15,000
62	Blackrock Strategic Municipal Opportunities Fund		Sale	12/28/2022	\$250,001 - \$500,000
63	Blackrock NAational Muni Opportunity Fund		Purchase	12/28/2022	\$250,001 - \$500,000
64	Vanguard GNMA Fund		Sale	06/23/2022	\$50,001 - \$100,000
65	Matthews Asia Innovators Fund		Sale	05/27/2022	\$50,001 - \$100,000
66	iShares MSCI All Country Asia ex-Japan Fund		Sale	05/27/2022	\$15,001 - \$50,000
67	Vanguard Short Term Tax Exempt Fund		Sale	06/23/2022	\$50,001 - \$100,000
68	iShares MSCI All Country ASia ex-Japan		Sale	05/27/2022	\$15,001 - \$50,000
69	Matherews Asia Innovators Fund		Sale	05/27/2022	\$15,001 - \$50,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Tilia Fund I		capital call	\$15,001 - \$50,000	2017	NA	on demand
2	Brown Advisory Private Equity Partners V (TE), LLLP		capital call	\$15,001 - \$50,000	2017	n/a	on demand
3	Brown Advisory Investors 2018-TG PIP XI, LLLP		capital call	\$15,001 - \$50,000	2018	n/a	on demand
4	Brown Advisory Real Estate Partners II (QP), LLLP		capital call	\$50,001 - \$100,000	2019	N/A	on demand
5	Brown Advisory Private Equity Partners VIII (QP), LLLP		capital call	\$50,001 - \$100,000	2019	N/A	on demand
6	Brown Advisory Investors 2020-AVF V LLLP	See Endnote	capital call	\$50,001 - \$100,000	2021	N/A	on demand
7	Poplar Ventures		capital call	\$50,001 - \$100,000	2019	N/A	on demand

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1.13	I have a capital commitment to the Aviator Fund V of \$100,000.

PART	#	ENDNOTE
2.	5	Some shares received as director fees.
2.	6	In keeping with company policy, all options have now been vested.
6.	32.5	Vivid Charts is a provider of data visualization and reporting solutions for enterprise customers.
6.	50	Assets report in entries 51 through 91 were inherited during the reporting period.
7.	1	I hold an investment in Poplar Ventures, which makes investments in other companies. In this case, Poplar Ventures invested in VividCharts, Inc., which provides data visualization and reporting solutions for enterprise customers who use core business software technology platforms.
7.	7	The sale occurred simultaneous with the exercise of stock options.
7.	8	This sale occurred simultaneous to an exercise of stock options.
7.	9	This represents the sale upon exercise of Anterix options.
7.	10	This represents the sale upon exercise of Anterix options.
7.	11	This represents the sale upon exercise of Anterix options.
7.	12	This represents the sale upon exercise of options.
7.	13	This represents the sale upon exercise of options.
7.	14	This represents the sale upon exercise of options.
7.	15	This represents the sale upon exercise of options.
7.	16	This represents the sale upon exercise of options.
7.	17	This represents a sale upon exercise of an option.
7.	18	This represents the sale of shares upon the exercise of options.
7.	19	This represents the sale of shares upon the exercise of options.
7.	20	This was an investment made by Poplar Ventures, in which I hold an interest. I did not make this investment directly.
7.	21	This represents a sale upon exercise of an option.
7.	22	This represents a sale upon exercise of options.

PART	#	ENDNOTE
7.	23	This represents a sale upon exercise of an option.
7.	24	This represents the sale upon exercise of an option. In addition, I retained a portion of the shares exercised.
7.	25	This represents a sale upon exercise of an option.
7.	26	This represents a sale upon exercise of an option
7.	28	This represents a sale upon exercise of an option.
7.	29	This represents a sale upon exercise of an option.
7.	30	This represents a sale upon exercise of an option.
7.	31	This represents a sale upon exercise of an option.
7.	32	This represents a sale upon exercise of an option.
7.	33	This represents a sale upon exercise of an option.
7.	34	This represents a sale upon exercise of an option.
7.	35	This represents a sale as required under my Ethics Agreement.
7.	37	This represents an exercise of an option
7.	43	This represents a purchase upon exercise of an option. I simultaneously sold shares in the same dollar range in order to pay for the exercise and taxes.
7.	58	Multiple purchases over the reporting period
8.	6	The capital call is an unfunded commitment.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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