Annual Report 2020 for Calendar Year 2019 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2019)

### **Executive Branch Personnel**

## Public Financial Disclosure Report (OGE Form 278e)

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Pryor, Judith

Member, Board of Directors, Export-Import Bank

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Pryor, Judith [electronically signed on 05/08/2020 by Pryor, Judith in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Terry, Lisa, Certifying Official [electronically signed on 06/30/2020 by Terry, Lisa in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/09/2020 by Granahan, Megan in Integrity.gov]

Data Revised 06/30/2020

Data Revised 06/30/2020

Data Revised 06/30/2020

### 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Bowling Green State University Foundation	Bowling Green, Ohio	foundation	Director	2/2016	5/2019

# 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	DFA US Large Company	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2	iShares iBonds Dec 2022 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	iShares iBonds Dec 2019 Corporate	Yes	None (or less than \$1,001)		None (or less than \$201)
4	iShares iBonds Dec 2020 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	iShares iBonds Dec 2021 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	DFA US Small Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	DFA US Large Cap Growth Instl	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8	DFA International Small Company I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	DFA US Micro Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	DFA US Large Cap Value	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE INCOM	ME TYPE INCOME AMOUNT
11	DFA International Core Equity	Yes	\$1,001 - \$15,000	\$201 - \$1,000
12	U.S. Investment Account (Cash)	N/A	\$1,001 - \$15,000	None (or less than \$201)
13	Lockheed Martin, defined benefit plan (Value not readily ascertainable. Eligible for retirement benefit at age 65, payable at \$370.73 per month)	N/A		None (or less than \$201)
14	iShares iBonds Dec 2023 Corporate	Yes	\$1,001 - \$15,000	None (or less than \$201)

# 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	_	CITY, STATE	STATUS AND TERMS	DATE
1	Lockheed Martin Corp.	See Endnote	Bethesda, Maryland	I will continue to participate in this defined benefit plan. I am eligible for this retirement benefit at age 65, payable at \$370.73 per month.	4/1989

# 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

# 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Microsoft Corporation, Inc.	N/A		salary, bonus	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Microsoft Stock Restricted Stock Grants	N/A	\$250,001 - \$500,000	Dividends Capital Gains	None (or less than \$201)
3	U.S. Investment Account (Cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4	iShares iBonds Dec 2022 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5	iShares iBonds Dec 2019 Corporate	Yes	None (or less than \$1,001)		None (or less than \$201)
6	iShares iBonds Dec 2020 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	iShares iBonds Dec 2021 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	DFA US Large Company	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	DFA US Small Cap	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
10	DFA US Large Cap Growth Instl	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11	DFA US Micro Cap	Yes	\$50,001 - \$100,000		\$201 - \$1,000
12	DFA US Large Cap Value	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
13	DFA International Core Equity	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
14	DFA International Small Company	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15	Microsoft 401(k) Plan	No			
15.1	Fidelity Contra Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.2	Blackrock BTC Life Path 2030 Target Date Fund	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15.3	Vanguard Short-Term Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.4	Microsoft Corp Stock (Held in 401(k)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$201 - \$1,000
16	Microsoft Employee Stock Purchase Plan (ESPP)	N/A			None (or less than \$201)
16.1	Cash	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
16.2	Microsoft Corporation - Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
17	iShares iBonds Dec 2023 Corporate	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential Rental Unit - (Part of Filer's Residence)- Washington, DC	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
2	Universal Life Insurance with Protective Life Insurance Company - Variable		N/A			
2.1	US investment Account (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Universal Life Insurance with Protective Life Insurance Company - Variable		No			
3.1	US investment Account (Cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. Bank (Cash Accounts)		N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Investment Accounts - Spouse		No			
5.1	Vanguard Total Stock Market ETF		Yes	\$50,001 - \$100,000		\$201 - \$1,000
5.2	Vanguard Small Cap Value ETF		Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.3	Vanguard Small Cap Growth ETF		Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.4	Tesla Motors, Inc Common Stock	See Endnote	N/A	None (or less than \$1,001)		None (or less than \$201)
5.5	Vanguard FTSE Developed Markets ETF		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Vanguard FTSE All-Wld ex-US SmCp ldx ETF		Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.7	U.S. Investment Account (Cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
5.8	Vanguard Growth ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Vanguard Value ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	DFA US Small Cap		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	DFA US Micro Cap		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	DFA US Large Company		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	DFA Global Equity I		Yes	\$1,001 - \$15,000		None (or less than \$201)
5.14	DFA US Large Cap Value I		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Investment Account - Joint	No			
6.1	U.S. Investment Account (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.2	DFA EMERGING MARKETS CORE EQUITY I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	DFA GLOBAL REAL ESTATE SECURITIES PORTFOLIO I	Yes	None (or less than \$1,001)		None (or less than \$201)
6.4	DFA INFLATION PROTECTED SECURITIES PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	DFA INTERNATIONAL CORE EQUITY PORTFOLIO I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.6	DFA INVESTMENT GRADE PORTFOLIO I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	DFA SHORT TERM GOVERNMENT PORTFOLIO	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	DFA U.S. CORE EQUITY 2 PORTFOLIO I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.9	DFA U.S. SMALL CAP VALUE PORTFOLIO I	Yes	None (or less than \$1,001)		None (or less than \$201)
6.10	Vanguard FTSE All-Wld ex-US SmCp ldx ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.11	Vanguard FTSE Developed Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Vanguard Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.13	Vanguard Small Cap Growth ETF	Yes	None (or less than \$1,001)		None (or less than \$201)
6.14	Vanguard Small Cap Value ETF	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.15	Vanguard Value ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.16	iShares iBonds Dec 2019 Corporate	Yes	None (or less than \$1,001)		None (or less than \$201)
6.17	iShares iBonds Dec 2020 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.18	iShares iBonds Dec 2021 Corporate	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.19	DFA US Small Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.20	DFA US Micro Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.21	DFUSX Large Company	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.22	iShares iBonds Dec 2023 Co	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.23	DFA US Large Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.24	DFA Large Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.25	DFA International Small Company	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Breach Rx Private Placement	No	\$15,001 - \$50,000		None (or less than \$201)

# 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Tesla Motors, Inc Common Stock	Sale	05/14/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE AMOUNT	
2	Tesla Motors, Inc Common Stock	Sale	05/14/2019	\$1,001 - \$15,000
3	DFA US Large Cap Value I	Purchase	05/14/2019	\$1,001 - \$15,000
4	DFA US Small Cap I	Purchase	03/25/2019	\$1,001 - \$15,000
5	DFA US Micro Cap I	Purchase	02/01/2019	\$1,001 - \$15,000
6	DFA Global Equity I	Purchase	02/01/2019	\$1,001 - \$15,000
7	DFA US Micro Cap I	Purchase	05/14/2019	\$1,001 - \$15,000
8	DFA US Micro Cap I	Purchase	03/25/2019	\$1,001 - \$15,000
9	DFA US Micro Cap I	Purchase	02/01/2019	\$1,001 - \$15,000
10	iShares iBonds Dec 2023 Term Corp	Purchase	01/31/2019	\$15,001 - \$50,000
11	DFA US Small Cap I	Purchase	01/31/2019	\$1,001 - \$15,000
12	DFA International Small Company I	Purchase	01/31/2019	\$1,001 - \$15,000
13	SPDR® Blmbg Barclays 1-3 Mth T-Bill	Purchase	04/12/2019	\$15,001 - \$50,000
14	iShares iBonds Dec 2019 Term Corp	Purchase	03/25/2019	\$1,001 - \$15,000
15	iShares iBonds Dec 2020 Term Corp	Purchase	03/25/2019	\$1,001 - \$15,000
16	iShares iBonds Dec 2022 Term Corp	Purchase	03/25/2019	\$1,001 - \$15,000
_17	iShares iBonds Dec 2021 Term Corp	Purchase	03/25/2019	\$1,001 - \$15,000
18	iShares iBonds Dec 2023 Term Corp	Purchase	03/25/2019	\$1,001 - \$15,000
19	'DFA International Core Equity I	Purchase	03/25/2019	\$1,001 - \$15,000
20	DFA US Large Cap Value I	Purchase	03/25/2019	\$1,001 - \$15,000
21	DFA US Large Company I	Purchase	03/25/2019	\$1,001 - \$15,000
22	DFA US Micro Cap I	Purchase	03/25/2019	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
23	DFA US Large Cap Growth Instl	Purchase	03/25/2019	\$1,001 - \$15,000	
24	DFA International Small Company I	Purchase	03/25/2019	\$1,001 - \$15,000	
25	DFA US Small Cap I	Purchase	03/25/2019	9 \$1,001 - \$15,000	
26	iShares iBonds Dec 2023 Term Corp	Purchase	01/04/2019	\$1,001 - \$15,000	
27	iShares iBonds Dec 2021 Term Corp	Purchase	01/04/2019	\$1,001 - \$15,000	
28	iShares iBonds Dec 2020 Term Corp	Purchase	01/04/2019	\$1,001 - \$15,000	
29	iShares iBonds Dec 2022 Term Corp	Purchase	01/04/2019	\$1,001 - \$15,000	
30	iShares iBonds Dec 2019 Term Corp	Purchase	01/04/2019	\$1,001 - \$15,000	
31	DFA US Small Cap I	Purchase	01/04/2019	\$15,001 - \$50,000	
32	DFA US Large Cap Value I	Purchase	01/04/2019	\$1,001 - \$15,000	
33	DFA US Micro Cap I	Purchase	01/04/2019	\$1,001 - \$15,000	
34	DFA US Large Cap Growth Instl	Purchase	01/04/2019	\$1,001 - \$15,000	
35	iShares iBonds Dec 2019 Term Corp	Sale	12/19/2019	\$1,001 - \$15,000	
36	SPDR® Blmbg Barclays 1-3 Mth T-Bill	Sale	06/17/2019	\$15,001 - \$50,000	
37	Vanguard Total Stock Market Index Admiral Shares	Sale	01/04/2019	\$15,001 - \$50,000	
38	Vanguard Small-Cap Growth	Sale	01/04/2019	\$15,001 - \$50,000	
39	Vanguard FTSE All-Wld ex-US SmCp	Sale	01/04/2019	\$1,001 - \$15,000	
40	Vanguard FTSE Developed Markets	Sale	01/04/2019	\$1,001 - \$15,000	
41	Vanguard Small-Cap Value Index Admiral	Sale	01/04/2019	\$15,001 - \$50,000	
42	DFA Global Real Estate Securities Port	Sale	01/04/2019	\$1,001 - \$15,000	

#	DESCRIPTION	TYPE	DATE	AMOUNT	
43	DFA US Small Cap Value I	Sale	01/04/2019	\$1,001 - \$15,000	
44	DFA US Core Equity 2 I	Sale	01/04/2019	\$1,001 - \$15,000	
45	iShares iBonds Dec 2023 Term Corp	Purchase	02/01/2019	\$1,001 - \$15,000	
46	DFA US Small Cap I	Purchase	02/01/2019	\$1,001 - \$15,000	
47	iShares iBonds Dec 2019 Term Corp	Sale	12/19/2019	\$1,001 - \$15,000	
48	Breach Rx Private Placement	Purchase	12/09/2019	\$15,001 - \$50,000	

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	CENLAR - 1st Mortgage	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2014	3.625	30 year
2	BofA - HELOC	Mortgage on Personal Residence	\$50,001 - \$100,000	2015	Prime Plus 0.75	HELOC

# 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
3.	1	Formerly DBA Comsat Corporation
6.	1	Residential Rental Unit (part of filer's residence), Washington, DC. The total value of the property was provided.
6.	5.4	Sold as agreed.

### **Summary of Contents**

#### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

#### 2. Filer's Employment Assets & Income and Retirement Accounts

#### Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

#### 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

#### 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

#### **Privacy Act Statement**

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

#### **Public Burden Information**

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).