## GOVERNMENT ETHICS

## **CERTIFICATE OF DIVESTITURE**

<b>CERTIFICATE NO:</b>
DATE OF ISSUANCE:

**ELIGIBLE PERSON:** 

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an "eligible person" at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol General Counsel

Attachment

**ATTACHMENT** 

**CERTIFICATE NO.: OGE-2021-236** 

**ELIGIBLE PERSON: Paloma Adams-Allen and Travis Allen** 

[spouse of Paloma Adams-Allen] Agency for International Development]

	Share	
Description of Property	Quantity	Owner(s)
ADOBE INC (ADBE)	17	Paloma Adams-Allen,
		and Travis Allen
AECOM (ACM)	150	Paloma Adams-Allen,
,		and Travis Allen
ALPHABET INC-CL C	21	Paloma Adams-Allen,
(GOOG)		and Travis Allen
AMAZON.COM INC (AMZN)	11	Paloma Adams-Allen,
,		and Travis Allen
APPLE INC (AAPL)	266	Paloma Adams-Allen,
,		and Travis Allen
AUTOMATIC DATA	3	Paloma Adams-Allen,
PROCESSING		and Travis Allen
BANK OF AMERICA CORP	602	Paloma Adams-Allen,
(BAC)		and Travis Allen
BOOZ ALLEN HAMILTON	52	Paloma Adams-Allen,
HOLDINGS (BAH)		and Travis Allen
CITRIX SYSTEMS INC	70	Paloma Adams-Allen,
(CTXS)		and Travis Allen
CME GROUP INC (CME)	37	Paloma Adams-Allen,
,		and Travis Allen
COMCAST CORP (CMCSA)	317	Paloma Adams-Allen,
,		and Travis Allen
COSTCO WHOLESALE	19	Paloma Adams-Allen,
CORP (COST)		and Travis Allen
EATON CORP PLC (ETN)	70	Paloma Adams-Allen,
,		and Travis Allen
HOME DEPOT INC (HD)	61	Paloma Adams-Allen,
, , ,		and Travis Allen
JOHNSON & JOHNSON (JNJ)	37	Paloma Adams-Allen,
		and Travis Allen
MEDTRONIC PLC (MDT)	125	Paloma Adams-Allen,
, ,		and Travis Allen
MERCK & CO. INC. (MRK)	18	Paloma Adams-Allen,
, ,		and Travis Allen
MICROSOFT CORP (MSFT)	249	Paloma Adams-Allen,
, ,		and Travis Allen
ORACLE CORP (ORCL)	147	Paloma Adams-Allen,
		and Travis Allen
PFIZER INC (PFE)	32	Paloma Adams-Allen,
		and Travis Allen
PROCTER & GAMBLE CO	107	Paloma Adams-Allen,
(PG)		and Travis Allen
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STARBUCKS CORP (SBUX)	20	Paloma Adams-Allen,
		and Travis Allen
T-MOBILE US INC (TMUS)	79	Paloma Adams-Allen,
		and Travis Allen
WALMART INC (WMT)	94	Paloma Adams-Allen,
		and Travis Allen
WELLS FARGO & CO (WFC)	323	Paloma Adams-Allen,
		and Travis Allen