

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Berger, William**

U.S. Marshal, Middle District of Florida, Department of Justice - U.S. Marshals Service

Report Year: 2020

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Berger, William [electronically signed on 04/15/2020 by Berger, William in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Auerbach, Gerald, Certifying Official [electronically signed on 05/27/2020 by Auerbach, Gerald in Integrity.gov]**

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Other review conducted by

**/s/ Marcovici, Robert, Ethics Official [electronically signed on 05/27/2020 by Marcovici, Robert in Integrity.gov]**

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U.S. Office of Government Ethics Certification

Data Revised 05/27/2020

Data Revised 05/01/2020

Data Revised 04/30/2020

Data Revised 04/16/2020

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Miami Fire and Police Retirement Trust defined benefit (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$2,000
2	Palm Bay Police and Firefighter Pension Fund (defined benefit - value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$1,600
3	North Miami Beach Police Pension (defined benefit - value not readily ascertainable)	See Endnote	N/A	Retirement Payments(monthly)	\$5,900
4	ICMA RHS Premier Services Program (457 account through Palm Bay Police)	See Endnote			
4.1	Vantagepoint Milestone 2015	Yes	\$15,001 - \$50,000		\$201 - \$1,000

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## 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Miami Fire and Police Retirement Trust	Miami , Florida	I will continue to participate in this defined benefit plan.	1/1974
2	North Miami Beach Police Pension	North Miami Beach, Florida	I will continue to participate in this defined benefit plan..	11/1989

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#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Palm Bay Police and Firefighters Pension Fund	Palm Bay, Florida	I will continue to participate in this defined benefit plan.	4/2004
4	ICMA RC account through the city of Palm Bay	Palm Bay, Florida	I will continue to participate in this defined contribution plan. The plan sponsor has not made further contributions since my separation.	4/2004

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SeaWorld Parks&Entertainment LLC	N/A		salary	

#### 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Intel Corp		\$1,001 - \$15,000		None (or less than \$201)
2	Altria Group Inc		\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3	Cisco systems inc.com		\$1,001 - \$15,000		None (or less than \$201)
4	Philip Morris Int'l		\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	BHP Billiton LTD ADR		\$1,001 - \$15,000		None (or less than \$201)
6	Boeing Co		\$1,001 - \$15,000		None (or less than \$201)
7	Merrill-Lynch Bank Deposit Program (cash account)		\$1,001 - \$15,000		None (or less than \$201)
8	New England Life Insurance Co (Annuity-MetLife)	See Endnote			
8.1	American Funds Growth-Income Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.2	Bailie Gifford INT Services	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3	Frontier Mid Cap Growth	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.4	Clarion Global Real Estate Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.5	MetLife Fixed Account	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
8.6	PIMCO Total Return B	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.7	iShares Russell 2000 Value Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.8	SSga Growth IncomeETF Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	MetLife Performance Premier B Class Qualified IRA				
9.1	American Funds Global Capitalization Fund	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	American Funds Growth-Income Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.3	Fixed Interest Account	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
9.4	Harris Oakmark International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.5	JPMorgan Core Bond Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.6	Metlife Mid Cap Stock Index Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.7	Metlife Stock Index Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.8	MFS Research International Portfolio	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.9	PIMCO Total Return Portfolio	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.10	T. ROWE Price Large Cap Growth Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
9.11	Western Asset Management US Government Port	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.12	WMC Core Equity Opportunities Portfolio	See Endnote	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.13	Clarion Global Real Estate Portfolio	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10	Lincoln American Legacy C. Shares (variable annuity)				
10.1	American Blue Chip Income and Growth Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	American Growth Fund		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.3	American Growth and Income		Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.4	American New World Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.5	American Global Small Cap		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.6	American International Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.7	Global Growth -INC			\$1,001 - \$15,000		None (or less than \$201)
10.8	AmGlobal Growth		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.9	AM Bond Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	AM Global Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.11	Gov't /AAA sec		Yes	\$1,001 - \$15,000		None (or less than \$201)
10.12	High Income Bond			\$1,001 - \$15,000		None (or less than \$201)
10.13	BH/Wellington Core Equity Portfolio	See Endnote	Yes	\$100,001 - \$250,000		\$201 - \$1,000
11	Genworth Financial Inc Commonwealth extra (variable annuity)					
11.1	ClearBridge Variable Equity Income Part I	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.2	Alger Sm cap growth Part C1 1-2		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3	Fidelity VIP Eq-inc Port -int CI	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.4	Fidelity VIP Contra Part-Init C1	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.5	Janus Aspen Series Int shs	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.6	GE Invest Funds S&P 500 Index Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.7	GE Invest Funds RI est sec Fd C1 1	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.8	Goldman sachs VIT Money MKT SER SH	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.9	GE Invest Funds Sm cap Eqty FD C	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.10	Templeton Foreign VIP FND C1 1 SHS	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12	Merrill Lynch mutual Funds /closed end funds			Dividends	
12.1	Blackrock Eqty Dividend	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.2	Deutsche Global/DWSRREEF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
12.3	Dreyfus Appreciation FD	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	Franklin Rising Dividends Adv	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
12.5	IVY Global Natural	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.6	John Hancock Classic	Yes	None (or less than \$1,001)		\$201 - \$1,000
12.7	Mainstay Large Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.8	MFS Research Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.9	Neuberger German High Income Bond		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.10	Oppenheimer Rising Dividends Y		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.11	PIMCO Total Return P		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.12	TCW TOTAL RETURN Bond Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.13	THE OAKMARK Intl Fund		Yes	\$1,001 - \$15,000		None (or less than \$201)
12.14	Vanguard Information		Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Space Coast Credit Union	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	Florida Pre paid College Plan for dependent child			\$1,001 - \$15,000	Interest	\$201 - \$1,000
15	Florida Pre Paid College Plan for minor grandchild	See Endnote		\$1,001 - \$15,000	Interest	\$201 - \$1,000
16	American Euro Pacific Growth Fund CL F2		Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Lockheed Martin		N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
18	Microsoft Corp		N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Merck & Co Inc		N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Pfizer Inc		N/A	\$1,001 - \$15,000		None (or less than \$201)
21	MFS Value FD CL 1		Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	United Police CU (cash account)	See Endnote	N/A	\$100,001 - \$250,000		None (or less than \$201)
23	IBM	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
24	AT&T		N/A	None (or less than \$1,001)		\$201 - \$1,000
25	Farm Credit Bank Callable Bonds		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
26	Federal Home Loan MTG Corp Callable Notes		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
27	Midland National (universal life insurance)	See Endnote		\$100,001 - \$250,000		None (or less than \$201)
28	American Equity qualified IRA (fixed index annuity)			\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
29	MFS Value Fund CI 1		Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Delaware Small Cap Core		Yes	\$1,001 - \$15,000		None (or less than \$201)
31	Vanguard FTSE Emerging Markets ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Amazon.com Inc (AMZN)		N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Oakmark International Fund CI Adv.		Yes	\$1,001 - \$15,000		None (or less than \$201)
34	Adobe Inc.		N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Vanguard Communications Services ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
36	Vanguard Financials ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Cisco Systems, Inc. - Common Stock (CSCO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Alphabet Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	Coca-Cola	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	Emerson Electric Co (EMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Mainstay Large Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	clearbridge small cap growth fund	Sale	06/18/2019	\$1,001 - \$15,000
2	John Hancock classic value fund	Sale	06/18/2019	\$1,001 - \$15,000
3	victory small company oppor fd	Sale	06/18/2019	\$1,001 - \$15,000
4	cohen&steers intrnational realty fd	Sale	06/18/2019	\$1,001 - \$15,000
5	lazard emerging equity ptfl	Sale	06/18/2019	\$1,001 - \$15,000
6	MFS value fd cl 1	Purchase	06/19/2019	\$1,001 - \$15,000
7	Delaware sm cap core	Purchase	06/19/2019	\$1,001 - \$15,000
8	Vanguard ftse emerging markets ETF	Purchase	06/20/2019	\$1,001 - \$15,000
9	Amazon.com Inc (AMZN)	Purchase	07/25/1919	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Oakmark Intl FD CL ADV	Purchase	11/01/2019	\$1,001 - \$15,000
11	Adobe inc SHS	Purchase	11/01/2019	\$1,001 - \$15,000
12	Vanguard Communicatio Services ETF	Purchase	11/01/2019	\$1,001 - \$15,000
13	Vanguard Financials ETF	Purchase	11/01/2019	\$1,001 - \$15,000
14	Cisco Systems INC COM	Purchase	11/01/2019	\$1,001 - \$15,000
15	Alphabet Inc SHS CL A	Purchase	11/01/2019	\$1,001 - \$15,000
16	Coca Cola Com	Purchase	11/01/2019	\$1,001 - \$15,000
17	Centurylink INC SHS	Sale	11/01/2019	\$1,001 - \$15,000
18	Emerson Electric Co (EMR)	Purchase	11/01/2019	\$1,001 - \$15,000
19	Ford Motor Co (F)	Sale	11/01/2019	\$1,001 - \$15,000
20	Sector SPDR Energy	Sale	11/01/2019	\$1,001 - \$15,000
21	DWS RREEF Global Infrastruct FD CL INSTL	Sale	11/01/2019	\$1,001 - \$15,000
22	Mainstay Large Cap Growth Fund CL1	Purchase	11/04/2019	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
2.	3	cola increase
2.	4	Health care reimbursement investment
6.	8	Annuity consisting of various funds listed immediately after this listing
6.	9.12	Formerly Davis Venture.
6.	10.13	Formerly WMC Core Equity Opportunities Portfolio.
6.	11.1	Filer inadvertently left off earlier forms.
6.	13	increase due to selling of personal residence in Palm Bay
6.	15	Filer inadvertently left off prior forms.
6.	22	Transferred assets from WE Credit Union to United Police FCU. No longer have an account with We Credit Union.
6.	23	Purchased 07/14/2016 reported on 278T on 07/25/2016
6.	27	Universal life insurance policy purchased by liquidating previous life insurance policies - State Farm, Provident insurance policies, and two prior USAA policies - one term, and one universal. The USAA universal life insurance policy was inadvertently left off prior 278s.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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