Annual Report 2022 for Calendar Year 2021 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Freund, Jeffrey R

Director-OLMS, Department of Labor

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Freund, Jeffrey R [electronically signed on 05/09/2022 by Freund, Jeffrey R in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Myers, Vanessa J, Certifying Official [electronically signed on 08/04/2022 by Myers, Vanessa J in Integrity.gov]

Other review conducted by

/s/ Myers, Vanessa J, Ethics Official [electronically signed on 08/04/2022 by Myers, Vanessa J in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/28/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Bredhoff & Kaiser, PLLC	Washington, District of Columbia	Law Firm	Senior Counsel	1/2017	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Bredhoff & Kaiser PLLC, 2021 Deferred Compensation	N/A		Cash Payment	\$60,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	George Washington University	N/A		Salary	
2	TIAA-CREF Bond Market R3	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	TIAA-CREF Money Market R3	Yes	Over \$1,000,000		None (or less than \$201)
4	TIAA Real Estate	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	TIAA Traditional	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

6. Other Assets and Income

DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Millies Spring Valley	N/A		Interest	\$201 - \$1,000
Invesco Dynamic Semiconductor ETF		\$1,001 - \$15,000		
Invesco Dynamic Building & Construction ETF		\$1,001 - \$15,000		
MFS International Diversificaiton Fund	No	\$100,001 - \$250,000		\$2,501 - \$5,000
Guggenheim Limited Duration Fund	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
UBS Select Investor Prime Fund	No	None (or less than \$1,001)		
Barclays Bank T-Gears SPC Market Linked CD	No	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
JP Morgan Chase Financial TAR-SS SX5E Market Linked CDE	No	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
	Millies Spring Valley Invesco Dynamic Semiconductor ETF Invesco Dynamic Building & Construction ETF MFS International Diversificaiton Fund Guggenheim Limited Duration Fund UBS Select Investor Prime Fund Barclays Bank T-Gears SPC Market Linked CD JP Morgan Chase Financial TAR-SS SX5E	Millies Spring ValleyN/AInvesco Dynamic Semiconductor ETFInvesco Dynamic Building & Construction ETFInvesco Dynamic Building & Construction ETFMFS International Diversificaiton FundMFS International Diversificaiton FundNoGuggenheim Limited Duration FundNoUBS Select Investor Prime FundNoBarclays Bank T-Gears SPC Market Linked CDNoJP Morgan Chase Financial TAR-SS SX5ENo	Millies Spring ValleyN/AInvesco Dynamic Semiconductor ETF\$1,001 - \$15,000Invesco Dynamic Building & Construction ETF\$1,001 - \$15,000MFS International Diversificaiton FundNo\$100,001 - \$250,000Guggenheim Limited Duration FundNo\$1,001 - \$15,000UBS Select Investor Prime FundNoNone (or less than \$1,001)Barclays Bank T-Gears SPC Market Linked CDNo\$15,001 - \$50,000JP Morgan Chase Financial TAR-SS SX5ENo\$15,001 -	Millies Spring ValleyN/AInterestInvesco Dynamic Semiconductor ETF\$1,001 - \$15,000Invesco Dynamic Building & Construction ETF\$1,001 - \$15,000MFS International Diversificaiton FundNo\$100,001 - \$250,000Guggenheim Limited Duration FundNo\$1,001 - \$15,000UBS Select Investor Prime FundNoNone (or less than \$1,001)Barclays Bank T-Gears SPC Market Linked CDNo\$15,001 - \$250,000JP Morgan Chase Financial TAR-SS SX5ENo\$15,001 - \$200,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Credit Suisse MLN SPX Market Linked CD	No	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000
10	ACAP Strategic Fund	No	\$15,001 - \$50,000		None (or less than \$201)
11	Ares High Income Credit Opport	No	\$250,001 - \$500,000	Interest Capital Gains Rent or Royalties	\$5,001 - \$15,000
12	Neuberger Crossroads Private Market Fund V	No	\$50,001 - \$100,000	Capital Gains Dividends	\$201 - \$1,000
13	Lincoln National Life Level Advantage B	No	\$100,001 - \$250,000		None (or less than \$201)
14	Citigroup Global Markets TAR-SS SPX Marked	No	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
15	Invesco S&P MidCap 400 Pure Growth ETF (RFG)	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
16	PIMCO Short-Term Fund Class A Shares (PSHAX)	No	\$50,001 - \$100,000	Dividends Interest	\$201 - \$1,000
17	Carlyle Global Infrastructure Opportunity Fund	No	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500
18	Lord Abbett Ultra Short Bond Fund Class F Shares (LUBFX)	No	\$50,001 - \$100,000	Interest Dividends	\$201 - \$1,000
19	U.S. Bank Checking Account #1	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
20	U.S. Bank Checking Account #2	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
21	U.S. Bank Savings Account #1	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
22	Nineteen77 Global Merger Arbitrage Ltd	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Inclusive Capital Partners Spring Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
24	Invesco S&P 500 Pure Value ETF (RPV)	No	\$100,001 - \$250,000		\$2,501 - \$5,000
25	Invesco S&P 500 Equal Weight ETF (RSP)	No	\$100,001 - \$250,000		\$2,501 - \$5,000
26	Invesco Dynamic Oil & Gas Services ETF (PXJ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
27	Invesco DWA Basic Materials Momentum ETF (PYZ)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
29	Invesco DWA Energy Momentum ETF (PXI)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
30	Invesco KBW High Dividend Yield Financial ETF (KBWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
31	Morgan Stanley Institutional Fund Trust International Opportunity Portfolio Class I Shares (MIOIX)	No	\$15,001 - \$50,000		\$201 - \$1,000
32	Blackstone Private Credit Fund (BCRED)	N/A	\$50,001 - \$100,000		\$2,501 - \$5,000
33	Proshares Ultra 20+ Year Treasury (UBT)	N/A	\$100,001 - \$250,000		None (or less than \$201)
34	U.S. Checking Account (WF) #3	N/A	\$50,001 - \$100,000		None (or less than \$201)
35	U.S. Checking Account (WF) #4	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	U.S. Savings Account (WF) #2	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Purchase	06/11/2021	\$1,001 - \$15,000
2	Invesco Dynamic Media ETF (PBS)	Purchase	06/11/2021	\$1,001 - \$15,000
3	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	08/13/2021	\$15,001 - \$50,000
4	Invesco Global Clean Energy ETF (PBD)	Purchase	04/13/2021	\$1,001 - \$15,000
5	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Purchase	04/13/2021	\$1,001 - \$15,000
6	T Rowe Price Spectrum Fund, Inc - Spectrum International Fund (PSILX)	Purchase	04/13/2021	\$1,001 - \$15,000
7	Invesco DWA Energy Momentum ETF (PXI)	Purchase	04/13/2021	\$1,001 - \$15,000
8	Invesco Dynamic Oil & Gas Services ETF (PXJ)	Purchase	04/13/2021	\$1,001 - \$15,000
9	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Purchase	04/13/2021	\$1,001 - \$15,000
10	Invesco Dynamic Building & Construction ETF (PKB)	Purchase	04/13/2021	\$1,001 - \$15,000
11	Invesco DWA Technology Momentum ETF (PTF)	Sale	04/13/2021	\$1,001 - \$15,000
12	Invesco Dynamic Software ETF (PSJ)	Sale	04/13/2021	\$1,001 - \$15,000
13	Invesco WilderHill Clean Energy ETF (PBW)	Sale	04/13/2021	\$1,001 - \$15,000
14	Invesco DWA Healthcare Momentum ETF (PTH)	Sale	04/13/2021	\$1,001 - \$15,000
15	Invesco DWA Energy Momentum ETF (PXI)	Purchase	05/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
16	Invesco DWA Basic Materials Momentum ETF (PYZ)	Purchase	05/11/2021	\$1,001 - \$15,000
17	Invesco Dynamic Building & Construction ETF (PKB)	Purchase	05/11/2021	\$1,001 - \$15,000
18	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Purchase	05/11/2021	\$1,001 - \$15,000
19	Invesco Dynamic Semiconductors ETF (PSI)	Purchase	05/11/2021	\$1,001 - \$15,000
20	Invesco Dynamic Oil & Gas Services ETF (PXJ)	Sale	05/11/2021	\$1,001 - \$15,000
21	Invesco Global Clean Energy ETF (PBD)	Purchase	05/11/2021	\$1,001 - \$15,000
22	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Purchase	05/11/2021	\$1,001 - \$15,000
23	Invesco Global Clean Energy ETF (PBD)	Purchase	06/11/2021	\$1,001 - \$15,000
24	Carlyle Global Infrastructure Fund	Purchase	07/08/2021	\$15,001 - \$50,000
25	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Purchase	06/11/2021	\$1,001 - \$15,000
26	Invesco DWA Energy Momentum ETF (PXI)	Purchase	06/11/2021	\$1,001 - \$15,000
27	Invesco Dynamic Building & Construction ETF (PKB)	Purchase	06/11/2021	\$1,001 - \$15,000
28	Invesco DWA Basic Materials Momentum ETF (PYZ)	Purchase	06/11/2021	\$1,001 - \$15,000
29	Invesco Dynamic Semiconductors ETF (PSI)	Sale	06/11/2021	\$1,001 - \$15,000
30	Invesco KBW High Dividend Yield Financial ETF (KBWD)	Purchase	08/24/2021	\$1,001 - \$15,000
31	Invesco DWA Energy Momentum ETF (PXI)	Sale	08/24/2021	\$1,001 - \$15,000
32	Thornburg Limited Term Income Fund Class I Shares (THIIX)	Sale	08/24/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
33	Lord Abbett Ultra Short Bond Fund Class F Shares (LUBFX)	Sale	08/24/2021	\$1,001 - \$15,000
34	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	Sale	08/24/2021	\$100,001 - \$250,000
35	Invesco S&P 500 Pure Growth ETF (RPG)	Purchase	08/13/2021	\$100,001 - \$250,000
36	Invesco Global Clean Energy ETF (PBD)	Purchase	08/13/2021	\$1,001 - \$15,000
37	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Purchase	08/13/2021	\$1,001 - \$15,000
38	Invesco DWA Energy Momentum ETF (PXI)	Purchase	08/13/2021	\$1,001 - \$15,000
39	Invesco Dynamic Media ETF (PBS)	Purchase	08/13/2021	\$1,001 - \$15,000
40	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Purchase	08/13/2021	\$1,001 - \$15,000
41	Invesco Dynamic Building & Construction ETF (PKB)	Purchase	08/13/2021	\$1,001 - \$15,000
42	Invesco DWA Basic Materials Momentum ETF (PYZ)	Purchase	08/13/2021	\$1,001 - \$15,000
43	Morgan Stanley Institutional Fund Trust International Opportunity Portfolio Class I Shares (MIOIX)	Purchase	08/13/2021	\$15,001 - \$50,000
44	Invesco S&P 500 Pure Value ETF (RPV)	Sale	08/13/2021	\$50,001 - \$100,000
45	Thornburg Limited Term Income Fund Class I Shares (THIIX)	Sale	08/13/2021	\$50,001 - \$100,000
46	Invesco Global Clean Energy ETF (PBD)	Sale	10/08/2021	\$15,001 - \$50,000
47	Invesco Dynamic Semiconductors ETF (PSI)	Purchase	10/13/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	MFS International Diversification Fund Class I Shares (MDIJX)	Purchase	10/28/2021	\$1,001 - \$15,000
49	Morgan Stanley Institutional Fund Trust International Opportunity Portfolio Class I Shares (MIOIX)	Sale	10/28/2021	\$1,001 - \$15,000
50	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	10/28/2021	\$100,001 - \$250,000
51	Invesco Dynamic Building & Construction ETF (PKB)	Purchase	10/29/2021	\$1,001 - \$15,000
52	Invesco Dynamic Media ETF (PBS)	Purchase	10/29/2021	\$1,001 - \$15,000
53	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Purchase	10/29/2021	\$1,001 - \$15,000
54	Invesco Dynamic Semiconductors ETF (PSI)	Purchase	10/29/2021	\$1,001 - \$15,000
55	Invesco Dynamic Energy Exploration & Production ETF (PXE)	Purchase	10/29/2021	\$1,001 - \$15,000
56	Invesco KBW High Dividend Yield Financial ETF (KBWD)	Purchase	10/29/2021	\$1,001 - \$15,000
57	Invesco S&P 500 Pure Growth ETF (RPG)	Purchase	10/29/2021	\$15,001 - \$50,000
58	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	10/29/2021	\$15,001 - \$50,000
59	Invesco DWA Basic Materials Momentum ETF (PYZ)	Purchase	10/29/2021	\$1,001 - \$15,000
60	Invesco S&P 500 Equal Weight ETF (RSP)	Sale	11/12/2021	\$100,001 - \$250,000
61	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	11/12/2021	\$100,001 - \$250,000
62	Invesco S&P 500 Pure Growth ETF (RPG)	Purchase	11/12/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	Guggenheim Limited Duration Fund Institutional Class Shares (GILHX)	Sale	12/21/2021	\$50,001 - \$100,000
64	PGIM High Yield Fund Class Z Shares (PHYZX)	Sale	12/28/2021	\$100,001 - \$250,000
65	AB Income Fund Advisor Class Shares (ACGYX)	Sale	12/28/2021	\$1,001 - \$15,000
66	Invesco QQQ Trust, Series 1 (QQQ)	Sale	12/29/2021	\$1,001 - \$15,000
67	inclusive capital partners spring fund	Purchase	12/31/2021	\$100,001 - \$250,000
68	PIMCO Short-Term Fund Class A Shares (PSHAX)	Sale	09/29/2021	\$15,001 - \$50,000
69	Blackstone Private Credit Fund	Purchase	10/26/2021	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub, L, 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).