Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019) **Executive Branch Personnel Public Financial Disclosure Report:** Periodic Transaction Report (OGE Form 278-T) Filer's Information Miller, Scott Ambassador, Department of State Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Miller, Scott [electronically signed on 02/01/2022 by Miller, Scott in Integrity.gov] Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below). /s/ Green, Marcella, Certifying Official [electronically signed on 03/30/2022 by Green, Marcella in Integrity.gov]

Other review conducted by

/s/ Green, Marcella, Ethics Official [electronically signed on 03/30/2022 by Green, Marcella in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 04/14/2022 by Granahan, Megan in Integrity.gov]

Transactions

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|---|------|------------|--|--------------------|
| 1 | RBC BEARINGS INC UNSOLICITED (ROLL) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 2 | TD SYNNEX CORP UNSOLICITED (SNX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 3 | EVEREST RE GROUP LTD BERMUDA (RE) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 4 | GLOBANT S A UNSOLICITED (GLOB) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 5 | 360 DIGITECH INC ADR UNSOLICITED (QFIN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 6 | ALLISON TRANSMISSION HLDGS INC (ALSN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 7 | VERISK ANALYTICS INC UNSOLICITED (VRSK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 8 | CITRIX SYSTEMS INC UNSOLICITED (CTXS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 9 | UMICORE GROUP UNSPONSERD ADR (UMICY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 10 | JOHNSON & JOHNSON COM UNSOLICITED (JNJ) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 11 | FISERV INC UNSOLICITED (FISV) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 12 | ALLIANZ SE ADR UNSOLICITED (ALIZY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 13 | STATE STREET CORP UNSOLICITED (STT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 14 | HENRY JACK & ASSOC INC UNSOLICITED (JKHY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 15 | YUM! BRANDS INC UNSOLICITED (YUM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 16 | MOTOROLA SOLUTIONS INC UNSOLICITED (MSI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 17 | EATON CORP PLC UNSOLICITED (ETN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 18 | AUTODESK INC UNSOLICITED (ADSK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 19 | VERTEX PHARMACEUTICAL INC (VRTX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|------|------------|--|--------------------|
| 20 | BOSTON SCIENTIFIC CORP UNSOLICITED (BSX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 21 | PALO ALTO NETWORKS INC UNSOLICITED (PANW) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| _22 | BALL CORP UNSOLICITED (BLL) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 23 | NORTHROP GRUMMAN CORP UNSOLICITED (NOC) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 24 | LINDE PLC EUR UNSOLICITED (LIN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 25 | CME GROUP INC UNSOLICITED (CME) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 26 | UBS GROUP AG CHF UNSOLICITED (UBS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 27 | INFOSYS LTD SPON ADR UNSOLICITED (INFY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 28 | AMETEK INC (NEW) UNSOLICITED (AME) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 29 | VALERO ENERGY CORP NEW UNSOLICITED (VLO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 30 | TELEDYNE TECHNOLOGIES INC (TDY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 31 | BRISTOL MYERS SQUIBB CO UNSOLICITED (BMY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 32 | PRICE T ROWE GROUP INC UNSOLICITED (TROW) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 33 | NXP SEMICONDUCTORS N V COM EUR (NXPI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 34 | MOODYS CORP UNSOLICITED (MCO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 35 | SHINHAN FINANCIAL HLDG CO SPON ADR (SHG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 36 | STRYKER CORP UNSOLICITED (SYK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 37 | INTERCONTINENTALEXCHANGE GROUP (ICE) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|--|------|------------|--|--------------------|
| 38 | ROPER TECHNOLOGIES INC UNSOLICITED (ROP) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 39 | MICRON TECHNOLOGY INC UNSOLICITED (MU) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 40 | MARSH & MCLENNAN COS INC UNSOLICITED (MMC) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 41 | MONDELEZ INTL INC UNSOLICITED (MDLZ) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 42 | ENPHASE ENERGY INC UNSOLICITED (ENPH) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 43 | FIDELITY NATL INFORMATION SVCS (FIS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 44 | EDWARDS LIFESCIENCES CORP (EW) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 45 | AON PLC CL A UNSOLICITED (AON) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 46 | IDEX CORP UNSOLICITED (IEX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 47 | ADVANCED MICRO DEVICES INC (AMD) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 48 | LOCKHEED MARTIN CORP UNSOLICITED (LMT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 49 | TAIWAN SEMICONDUCTOR MFG CO LTD ADR (TSM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 50 | ING GROEP N V NL SPON ADR (ING) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 51 | VIPSHOP HLDGS LTD SPON ADR (VIPS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 52 | DUKE ENERGY CORP NEW UNSOLICITED (DUK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 53 | INTUIT UNSOLICITED (INTU) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 54 | ASTRAZENECA PLC SPON ADR UNSOLICITED (AZN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 55 | SKYWORKS SOLUTIONS INC UNSOLICITED (SWKS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|---|------|------------|--|--------------------|
| 56 | ALUMINUM CORP OF CHINA LTD SPON ADR (ACH) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 57 | ANTHEM INC UNSOLICITED (ANTM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 58 | LAM RESEARCH CORP UNSOLICITED (LRCX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 59 | CELANESE CORP NEW UNSOLICITED (CE) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 60 | NORTONLIFELOCK INC UNSOLICITED (NLOK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 61 | NEXTERA ENERGY INC COM UNSOLICITED (NEE) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 62 | STARBUCKS CORP UNSOLICITED (SBUX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 63 | BAXTER INTL INC UNSOLICITED (BAX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 64 | INTUITIVE SURGICAL INC UNSOLICITED (ISRG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 65 | DEXCOM INC UNSOLICITED (DXCM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 66 | MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR (MUFG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 67 | NOAH HLDGS LTD SPON ADR UNSOLICITED (NOAH) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 68 | COMCAST CORP NEW CL A UNSOLICITED (CMCSA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 69 | GOLDMAN SACHS GROUP INC UNSOLICITED (GS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 70 | LOGITECH INTL SA REG NAMEN-AKT CHF (LOGI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 71 | JABIL INC UNSOLICITED (JBL) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 72 | HONEYWELL INTL INC UNSOLICITED (HON) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 73 | AFLAC INC UNSOLICITED (AFL) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|----|--|------|------------|--|--------------------|
| 74 | ZOOM VIDEO COMMUNICATIONS INC CL A (ZM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 75 | TEXAS INSTRUMENTS UNSOLICITED (TXN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 76 | MSCI INC UNSOLICITED (MSCI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 77 | NETFLIX INC UNSOLICITED (NFLX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 78 | MEDTRONIC PLC UNSOLICITED (MDT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 79 | CADENCE DESIGN SYSTEM UNSOLICITED (CDNS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 80 | HP INC UNSOLICITED (HPQ) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 81 | HCA HEALTHCARE, INC UNSOLICITED (HCA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 82 | DANAHER CORP UNSOLICITED (DHR) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 83 | DAVITA INC UNSOLICITED (DVA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 84 | ARKEMA S.A. SPON ADR UNSOLICITED (ARKAY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 85 | OMRON CORP SPON ADR UNSOLICITED (OMRNY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 86 | ATLAS COPCO AB NEW REPSTG COM SER A SPON (ATLKY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 87 | LENOVO GROUP LTD SPON ADR (LNVGY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 88 | WIPRO LTD SPON ADR UNSOLICITED (WIT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 89 | CGI INC CAD UNSOLICITED (GIB) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 90 | FUJITSU LTD ADR NEW JAPAN ADR (FJTSY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 91 | ADOBE INC. (DELAWARE) UNSOLICITED (ADBE) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 92 | ABBOTT LABS UNSOLICITED (ABT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|--|------|------------|--|--------------------|
| 93 | MORGAN STANLEY UNSOLICITED (MS) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 94 | PAYPAL HOLDINGS INC UNSOLICITED (PYPL) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 95 | QUALCOMM INC UNSOLICITED (QCOM) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 96 | ENN ENERGY HOLDINGS LTD SPON ADR (XNGSY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 97 | ASML HLDG NV SPON ADR UNSOLICITED (ASML) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 98 | MERCK & CO INC COM UNSOLICITED (MRK) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 99 | AMGEN INC UNSOLICITED (AMGN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 100 | LILLY ELI & CO UNSOLICITED (LLY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 101 | VERIZON COMMUNICATIONS INC (VZ) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 102 | ACCENTURE PLC IRELAND CL A (ACN) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 103 | METLIFE INC UNSOLICITED (MET) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 104 | SUMITOMO MITSUI FINANCIAL SPON ADR (SMFG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 105 | COSTCO WHOLESALE CORP UNSOLICITED (COST) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 106 | PROCTER & GAMBLE CO UNSOLICITED (PG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 107 | COCA COLA CO COM UNSOLICITED (KO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 108 | THOMSON REUTERS CORP CAD UNSOLICITED (TRI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 109 | SWISSCOM AG SPON ADR UNSOLICITED (SCMWY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 110 | INTEL CORP UNSOLICITED (INTC) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|--|------|------------|--|--------------------|
| 111 | APPLIED MATERIALS INC UNSOLICITED (AMAT) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 112 | CNO FINL GROUP INC COM UNSOLICITED (CNO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 113 | THERMO FISHER SCIENTIFIC INC (TMO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 114 | BANK OF AMER CORP UNSOLICITED (BAC) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 115 | CISCO SYSTEMS INC UNSOLICITED (CSCO) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 116 | HOYA CORP SPON ADR UNSOLICITED (HOCPY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 117 | S&P GLOBAL INC UNSOLICITED (SPGI) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 118 | WOORI FINANCIAL GROUP INC SPON ADR (WF) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 119 | YUM CHINA HLDGS INC UNSOLICITED (YUMC) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 120 | SYNAPTICS INC UNSOLICITED (SYNA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 121 | IMPALA PLATINUM HLDG LTD SPON ADR (IMPUY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 122 | MASTERCARD INC CL A UNSOLICITED (MA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 123 | DR REDDY`S LABS LTD ADR UNSOLICITED (RDY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 124 | PEPSICO INC UNSOLICITED (PEP) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 125 | POSCO SPON ADR UNSOLICITED (PKX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 126 | KB FINL GROUP INC SPON ADR (KB) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 127 | UNITEDHEALTH GROUP INC UNSOLICITED (UNH) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 128 | SUN HUNG KAI PROPS LTD SPON ADR (SUHJY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 129 | VISA INC CL A UNSOLICITED (V) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|---|------|------------|--|---------------------|
| 130 | MITSUI & CO LTD ADR JAPAN ADR (MITSY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 131 | SCHNEIDER ELEC SE UNSPONSORED ADR (SBGSY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 132 | AGC INC UNSPONSORED ADR UNSOLICITED (ASGLY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 133 | WOLTERS KLUWER NV SPONSORED ADR (WTKWY) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 134 | BHP GROUP LTD SPON ADR UNSOLICITED (BHP) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 135 | ORIX CORP SPON ADR UNSOLICITED (IX) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 136 | ALPHABET INC CL C UNSOLICITED (GOOG) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 137 | META PLATFORMS INC CL A UNSOLICITED (FB) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 138 | NVIDIA CORP UNSOLICITED (NVDA) | Sale | 01/28/2022 | No | \$1,001 - \$15,000 |
| 139 | ALPHABET INC CL A UNSOLICITED (GOOGL) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 140 | ROCHE HLDG LTD SPONS ADR SWITZ ADR (RHHBY) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 141 | TESLA INC UNSOLICITED (TSLA) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 142 | AMAZON.COM INC UNSOLICITED (AMZN) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 143 | BANK OF CHINA ADR UNSOLICITED (BACHY) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 144 | BNP PARIBAS SA ADR UNSOLICITED (BNPQY) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 145 | UNTD MICROELECTRONICS CORP NEW SPON ADR (UMC) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 146 | NOVO NORDISK ADR DENMARK ADR (NVO) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |
| 147 | MICROSOFT CORP UNSOLICITED (MSFT) | Sale | 01/28/2022 | No | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | NOTIFICATION RECEIVED OVER 30 DAYS AGO | AMOUNT |
|-----|------------------------------|------|------------|--|-------------------------|
| 148 | APPLE INC UNSOLICITED (AAPL) | Sale | 01/28/2022 | No | \$50,001 - \$100,000 |

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person. department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's

efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.