

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Donfried, Karen E

Assistant Secretary of State for European and Eurasian Affairs, Department of State

Report Year: 2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Donfried, Karen E [electronically signed on 03/23/2022 by Donfried, Karen E in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Yorke, Vanessa A, Certifying Official [electronically signed on 06/14/2022 by Yorke, Vanessa A in Integrity.gov]

---

Other review conducted by

---

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/11/2022 by Granahan, Megan in Integrity.gov]

---

Data Revised 06/14/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	German Marshall Fund of the United States	Washington, District of Columbia	Non-Profit	President	4/2014	9/2021	
2	The American Ditchley Foundation	New York, New York	Non-Profit	Member, Board of Trustees	4/2020	4/2021	
3	American Friends of the Munich Security Conference	Washington, District of Columbia	Non-Profit	Member, Board of Trustees	9/2020	9/2021	
4	University of Leuven	Leuven, Belgium	University/College	Member, Management Committee, America Europe Fund	9/2020	5/2021	
5	Donfried Family House Trust	Takoma Park, Maryland	Trust	Co-Trustee	12/2007	Present	
6	Donfried Family Trust # 2	See Endnote	Takoma Park, Maryland	Trust	Co-Trustee	12/2007	Present
7	Revocable Trust #1	Takoma Park, Maryland	Trust	Trustee	12/2007	Present	
8	Revocable Trust # 2	Takoma Park, Maryland	Trust	Trustee	12/2007	Present	

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	German Marshall Fund of the United States	N/A		Salary and bonus	\$423,159
2	Philadelphia Committee on Foreign Relations - - 02/04/21	N/A		Honorarium	\$1,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	German Marshall Fund of the United States	Washington, District of Columbia	I continued to participate in the Fund's defined contribution plan until October 2021 but no further contributions were made after my separation. In October 2021, I rolled out all of my funds from the defined contribution plan so I am no longer participating.	10/2001
2	German Marshall Fund of the United States	Washington, District of Columbia	I did not receive any benefits or severance payments upon my separation although I was entitled to receive unpaid salary based on work I performed for the Fund prior to my separation.	10/2001

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Robbins, Russell, Englert, Orseck, & Untereiner LLP (law firm)	N/A		Wages paid for work by the hour	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Lawrence Robbins	N/A		consulting fees, Payment in 2021 from a former partner at Robbins Russell for referral and consulting work on a case	
3	Robbins, Russell, Englert, Orseck and Untereiner, LLP 401k Plan	No			
3.1	John Hancock Variable Insurance Trust -- 500 Index (JFIVX)	Yes	Over \$1,000,000		None (or less than \$201)
4	Robbins, Russell, Englert, Orseck & Untereiner LLP supplemental defined benefit plan (value not readily ascertainable) .	N/A			None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Fidelity Govt Money Market Fund (SPAXX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	Asana Inc. (ASAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Axos Financial, Inc. (AX)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	Bill.com Holdings, Inc. (BILL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
7	Boston Omaha Corporation (BOMN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Celsius Holdings (CELH)	N/A	\$50,001 - \$100,000		
9	Chewy, Inc. (CHWY)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
10	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11	Shift4 Payments (FOUR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	W.W. Grainger, Inc. (GWW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	IDEXX Laboratories, Inc. (IDXX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
15	Kinsale Capital Group, Inc. (KNSL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16	Lumentum Holdings, Inc. (LITE)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
17	LeMaitre Vascular, Inc. (LMAT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
18	McCormick & Co., Inc. (MKC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19	NIKE, Inc. (NKE)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	NVR Inc. (NVR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
21	Progyny (PGNY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
22	RH (RH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
23	Revolve Group (RVLV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
24	Silvergate Cap Corp (SI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
25	SKECHERS USA, Inc. (SKX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26	Ultra Clean Holdings, Inc. (UCTT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
27	Walker & Dunlop, Inc. (WD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
28	Wingstop, Inc. (WING)	N/A	\$15,001 - \$50,000		None (or less than \$201)
29	Waste Management, Inc. (WM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
30	Williams-Sonoma, Inc. (WSM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
31	Zoominfo Technologies (ZI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
32	Domino's Pizza, Inc. (DPZ)	N/A	\$15,001 - \$50,000		
33	SolarEdge Technologies, Inc. (SEDG)	N/A	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	Starbucks Corp. (SBUX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
35	The Bank NT Butterfield and Son, Ltd. (NTB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
36	TrueShares Structured Outcome (September) ETF (SEPZ)	Yes	\$15,001 - \$50,000		
37	Vanguard Russell 3000 Index Fund ETF Shares (VTHR)	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
38	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
39	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$100,001 - \$250,000		
40	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
41	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
42	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
43	iShares Core Dividend Growth ETF (DGRO)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
44	Amgen Inc. (AMGN)		None (or less than \$1,001)	Dividends	\$201 - \$1,000
45	Accenture Plc (ACN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
46	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
47	AbbVie, Inc. (ABBV)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	Air Products and Chemicals, Inc. (APD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
49	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
50	Baxter International, Inc. (BAX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
51	Brookfield Asset Management Inc. (BAM)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
52	JP Morgan (JPM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
53	L3Harris Technologies, Inc. (LHX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
54	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
55	Momo, Inc. (MOMO)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
56	Quest Diagnostics, Inc. (DGX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
57	Taiwan Semiconductor Manufacturing (TSM)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
58	Adobe, Inc. (ADBE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
59	Autodesk, Inc. (ADSK)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
60	Advanced Micro Devices, Inc. (AMD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
61	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	Agora Inc. (API)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
63	Appian Corporation (APPN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
64	Digital Turbine, Inc. (APPS)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
65	Array Technologies Inc. (ARRY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
66	Avid Technology, Inc. (AVID)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
67	BridgeBio Pharma, Inc. (BBIO)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
68	Blackberry Ltd (BB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
69	Beigene Ltd. (BGNE)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
70	BioLife Solutions, Inc. (BLFS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
71	Blend Labs Inc. (BLND)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
72	Bionano Genomics Inc. (BNGO)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
73	Baozun Inc. (BZUN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
74	Churchill Capital Corp (CCIV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
75	Chegg, Inc. (CHGG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
76	Ciena Corp. (CIEN)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
77	Clover Health Investments Corp. (CLOV)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
78	Coupa Software, Inc. (COUP)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
79	Cerence Inc. (CRNC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
80	Curiositystream Inc. (CURI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
81	Datadog Inc. (DDOG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
82	Dollar General Corp. (DG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
83	Danaher Corp. (DHR)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
84	Dicerna Pharmaceuticals, Inc. (DRNA)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
85	DexCom, Inc. (DXCM)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
86	Electronic Arts, Inc. (EA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
87	Editas Medicine, Inc. (EDIT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
88	EPAM Systems, Inc. (EPAM)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
89	eXp World Holdings, Inc. (EXPI)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
90	Fulgent Genetics Inc (FLGT)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
91	Fastly, Inc. (FSLY)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
92	Fubotv Inc. (FUBO)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
93	Futu Holdings Ltd (FUTU)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
94	Fiverr Intl Ltd. (FVRR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
95	Guardant Health, Inc. (GH)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
96	Globus Medical, Inc. (GMED)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
97	Green Brick Partners, Inc. (GRBK)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
98	Gravity Co Ltd (GRVY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
99	Health Catalyst, Inc. (HCAT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
100	At Home Group, Inc. (HOME)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
101	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
102	HealthEquity, Inc. (HQY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
103	Hyreca Inc. (HYRE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
104	iRythm Technologies Inc. (IRTC)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
105	LHC Group, Inc. (LHCG)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
106	Lemonade Inc. (LMND)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
107	Logitech Intl (LOGI)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
108	lululemon athletica, Inc. (LULU)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
109	Mastercard, Inc. (MA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
110	Medallia Inc. (MDLA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
111	Medtronic Plc (MDT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
112	Mitek Systems, Inc. (MITK)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
113	MP Materials Corp. (MP)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
114	Nasdaq, Inc. (NDAQ)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
115	Inari Med Inc. (NARI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
116	National Research Corp. (NRC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
117	Intellia Therapeutics, Inc. (NTLA)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
118	Novocure Ltd (NVCR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
119	Universal Display Corp. (OLED)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
120	Ollie's Bargain Outlet Holdings, Inc. (OLLI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
121	Outset Medical Inc. (OM)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
122	Opera Ltd (OPRA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
123	Ozon Holdings (OZON)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
124	Pagseguro Digital Ltd (PAGS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
125	Pavmed Inc. (PAVM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
126	Paychex, Inc. (PAYX)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
127	Pfizer Inc. (PFE)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
128	Pennymac Financial Systems (PFSI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
129	Anaplan, Inc. (PLAN)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
130	Porch Group Inc. (PRCH)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
131	QUALCOMM, Inc. (QCOM) - PTC Inc.(PTC)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
132	PTC, Inc. (PTC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
133	Roblox Corp. (RBLX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
134	Repligen Corp. (RGEN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
135	RiceBran Technologies (RIBT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
136	Rocket Cos (RKT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
137	Revolve Group Inc (RVLV)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
138	Cassava Sciences, Inc. (SAVA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
139	Shawcor Ltd (SAWLF)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
140	SciPlay Corp. (SCPL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
141	Schrodinger Inc. (SDGR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
142	Selecta Biosciences, Inc. (SELB)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
143	Sirius XM Holdings, Inc. (SIRI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
144	Silvergate Cap Corp (SI)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
145	The Beauty Health Co (SKIN)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
146	Skillz Inc. (SKLZ)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
147	Snowflake Inc. (SNOW)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
148	Sofi Technologies Inc. (SOFI)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
149	Stamps.com, Inc. (STMP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
150	Sysco Corp. (SYY)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
151	Teledoc Health (TDOC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
152	Atlassian (TEAM)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
153	Up Financial Holding Inc. (TIGR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
154	T Rowe Price Groups (TROW)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
155	Taiwan Semiconductor (TSM)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
156	Tattooed Chef Inc. (TTCF)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
157	Twitter, Inc. (TWTR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
158	10X Genomics Inc (TXG)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
159	Ubi Soft Entertainment	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
160	Upstart Holdings Inc. (UPST)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
161	10X Capital Venture Acquisition Corp. (VCVC)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
162	Vipshop Holdings (VIPS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
163	Vivos Therapeutics Inc. (VVOS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
164	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
165	Workday, Inc. (WDAY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
166	WEX, Inc. (WEX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
167	Wix.com Inc. (WIX)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
168	Walmart, Inc. (WMT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
169	Yandex (YNDX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
170	Zedge, Inc. (ZDGE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
171	Zendesk, Inc. (ZEN)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
172	Zynga, Inc. (ZNGA)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
173	Zynex, Inc. (ZYXI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
174	Traditional IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
174.1	Fidelity Govt Money Market Fund (SPAXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
175	Roth IRA	No			
175.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
176	Rollover IRA	No			
176.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
176.2	Trueshares Structured Outcome (December) ETF (DECZ)	Yes	\$250,001 - \$500,000		None (or less than \$201)
177	Spouse Traditional IRA	No			
177.1	Fidelity Government Money Market Fund (SPAXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
178	Spouse Roth IRA	No			
178.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
179	Spouse Rollover IRA	No			
179.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
179.2	Trueshares Structured Outcome (December) ETF (DECZ)	Yes	\$250,001 - \$500,000		None (or less than \$201)
179.3	Fidelity Contrafund (FCNTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.4	Fidelity Low-Priced Stock Fund (FLPSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.5	Fidelity Blue Chip Growth Fund (FBGRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
179.6	Tweedy, BrowneGlobal Value Fund (TBGVX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.7	iShares Core Dividend Growth ETF (DGRO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.8	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.9	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
179.10	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
179.11	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.12	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
179.13	SPDR Portfolio TIPS ETF (SPIP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.14	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$15,001 - \$50,000		None (or less than \$201)
179.15	Vanguard Total International Bond Index Fund ETF Shares (BNDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
180	Motley Fool Venture Fund	See Endnote	Yes	\$250,001 - \$500,000	\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Intellia Therapeutics, Inc. (NTLA)	Sale	11/05/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Sprout Social (SPT)	Sale	11/05/2021	\$15,001 - \$50,000
3	Apple, Inc. (AAPL)	Sale	11/09/2021	\$50,001 - \$100,000
4	Upstart (UPST)	Sale	11/05/2021	\$50,001 - \$100,000
5	Inmode (INMD)	Sale	11/09/2021	\$15,001 - \$50,000
6	Okta, Inc. (OKTA)	Sale	11/09/2021	\$50,001 - \$100,000
7	eXp World Holdings, Inc. (EXPI)	Sale	11/09/2021	\$100,001 - \$250,000
8	Microsoft Corp. (MSFT)	Sale	11/09/2021	\$50,001 - \$100,000
9	MongoDB, Inc. (MDB)	Sale	11/09/2021	\$100,001 - \$250,000
10	NVIDIA Corp. (NVDA)	Sale	11/09/2021	\$100,001 - \$250,000
11	Shopify (SHOP)	Sale	11/09/2021	\$100,001 - \$250,000
12	Square, Inc. (SQ)	Sale	11/09/2021	\$50,001 - \$100,000
13	The Trade Desk, Inc. (TTD)	Sale	11/09/2021	\$100,001 - \$250,000
14	Twilio, Inc. (TWLO)	Sale	11/09/2021	\$50,001 - \$100,000
15	Zscaler, Inc. (ZS)	Sale	11/09/2021	\$50,001 - \$100,000
16	Redfin Corp. (RDFN)	Sale	11/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	AbbVie, Inc. (ABBV)	Sale	11/12/2021	\$15,001 - \$50,000
18	Zendesk, Inc. (ZEN)	Sale	11/11/2021	\$15,001 - \$50,000
19	Zillow Group, Inc. (Z)	Sale	11/11/2021	\$15,001 - \$50,000
20	Abbott Laboratories (ABT)	Sale	11/12/2021	\$15,001 - \$50,000
21	Align Technology, Inc. (ALGN)	Sale	11/12/2021	\$50,001 - \$100,000
22	Amazon.com, Inc. (AMZN)	Sale	11/12/2021	\$50,001 - \$100,000
23	Avid Technology, Inc. (AVID)	Sale	11/12/2021	\$50,001 - \$100,000
24	Coupa Software, Inc. (COUP)	Sale	11/12/2021	\$15,001 - \$50,000
25	CrowdStrike (CRWD)	Sale	11/12/2021	\$15,001 - \$50,000
26	Datadog (DDOG)	Sale	11/12/2021	\$15,001 - \$50,000
27	DocuSign, Inc. (DOCU)	Sale	11/12/2021	\$50,001 - \$100,000
28	EPAM Systems, Inc. (EPAM)	Sale	11/12/2021	\$50,001 - \$100,000
29	Fiverr Intl (FVRR)	Sale	11/12/2021	\$50,001 - \$100,000
30	Fulgent Genomics (FLGT)	Sale	11/12/2021	\$15,001 - \$50,000
31	Five9, Inc. (FIVN)	Sale	11/12/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	HubSpot, Inc. (HUBS)	Sale	11/12/2021	\$15,001 - \$50,000
33	MercadoLibre (MELI)	Sale	11/12/2021	\$50,001 - \$100,000
34	Palo Alto Networks, Inc. (PANW)	Sale	11/12/2021	\$50,001 - \$100,000
35	Sea Limited (SE)	Sale	11/12/2021	\$100,001 - \$250,000
36	Shockwave Medical, Inc. (SWAV)	Sale	11/12/2021	\$15,001 - \$50,000
37	Atlassian (TEAM)	Sale	11/12/2021	\$50,001 - \$100,000
38	Varonis Systems, Inc. (VRNS)	Sale	11/12/2021	\$15,001 - \$50,000
39	Veeva Systems, Inc. (VEEV)	Sale	11/12/2021	\$50,001 - \$100,000
40	Air Products and Chemicals, Inc. (APD)	Sale	11/16/2021	\$15,001 - \$50,000
41	Appian Corporation (APPN)	Sale	11/16/2021	\$15,001 - \$50,000
42	Cerence (CRNC)	Sale	11/16/2021	\$15,001 - \$50,000
43	Arista Networks, Inc. (ANET)	Sale	11/16/2021	\$50,001 - \$100,000
44	salesforce.com, inc. (CRM)	Sale	11/16/2021	\$15,001 - \$50,000
45	Endava PLC (DAVA)	Sale	11/16/2021	\$15,001 - \$50,000
46	Elastic NV (ESTC)	Sale	11/16/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	Edwards Lifesciences Corp. (EW)	Sale	11/16/2021	\$50,001 - \$100,000
48	Farfetch (FTCH)	Sale	11/16/2021	\$15,001 - \$50,000
49	Fortinet, Inc. (FTNT)	Sale	11/16/2021	\$15,001 - \$50,000
50	Alphabet, Inc. (GOOG)	Sale	11/16/2021	\$50,001 - \$100,000
51	Illumina, Inc. (ILMN)	Sale	11/16/2021	\$15,001 - \$50,000
52	JP Morgan (JPM)	Sale	11/16/2021	\$15,001 - \$50,000
53	L3Harris Technologies, Inc. (LHX)	Sale	11/16/2021	\$15,001 - \$50,000
54	Cloudflare (NET)	Sale	11/16/2021	\$15,001 - \$50,000
55	Match Group, Inc. (MTCH)	Sale	11/16/2021	\$15,001 - \$50,000
56	ServiceNow, Inc. (NOW)	Sale	11/16/2021	\$15,001 - \$50,000
57	Roku, Inc. (ROKU)	Sale	11/16/2021	\$15,001 - \$50,000
58	Teladoc Health, Inc. (TDOC)	Sale	11/16/2021	\$15,001 - \$50,000
59	Accenture Plc (ACN)	Sale	11/18/2021	\$15,001 - \$50,000
60	Advanced Micro Devices, Inc. (AMD)	Sale	11/18/2021	\$15,001 - \$50,000
61	AppFolio, Inc. (APPF)	Sale	11/18/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
62	ASML Holding (ASML)	Sale	11/18/2021	\$15,001 - \$50,000
63	Brookfield Asset Management (BAM)	Sale	11/18/2021	\$15,001 - \$50,000
64	Crispr Therapeutics (CRSP)	Sale	11/18/2021	\$15,001 - \$50,000
65	Baxter International, Inc. (BAX)	Sale	11/18/2021	\$15,001 - \$50,000
66	Quest Diagnostics, Inc. (DGX)	Sale	11/18/2021	\$15,001 - \$50,000
67	Gravity Co. (GRVY)	Sale	11/18/2021	\$1,001 - \$15,000
68	II-IV, Inc. (IIVI)	Sale	11/18/2021	\$15,001 - \$50,000
69	Magnite (MGNI)	Sale	11/18/2021	\$15,001 - \$50,000
70	Netflix, Inc. (NFLX)	Sale	11/18/2021	\$15,001 - \$50,000
71	Novocure (NVCR)	Sale	11/18/2021	\$15,001 - \$50,000
72	Pinterest, Inc. (PINS)	Sale	11/18/2021	\$15,001 - \$50,000
73	PayPal Holdings, Inc. (PYPL)	Sale	11/18/2021	\$15,001 - \$50,000
74	Q2 Holdings, Inc. (QTWO)	Sale	11/18/2021	\$15,001 - \$50,000
75	Synopsys, Inc. (SNPS)	Sale	11/18/2021	\$15,001 - \$50,000
76	Shutterstock, Inc. (SSTK)	Sale	11/18/2021	\$15,001 - \$50,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Splunk, Inc. (SPLK)	Sale	11/18/2021	\$15,001 - \$50,000
78	Trimble, Inc. (TRMB)	Sale	11/18/2021	\$1,001 - \$15,000
79	Teradyne, Inc. (TER)	Sale	11/18/2021	\$15,001 - \$50,000
80	Zoom Video Communications, Inc. (ZM)	Sale	11/18/2021	\$15,001 - \$50,000
81	Adobe, Inc. (ADBE)	Sale	11/19/2021	\$15,001 - \$50,000
82	Axon Enterprise, Inc. (AXON)	Sale	11/19/2021	\$15,001 - \$50,000
83	Dermtek (DTMK)	Sale	11/19/2021	\$1,001 - \$15,000
84	Boston Omaha Corporation (BOMN)	Purchase	11/22/2021	\$15,001 - \$50,000
85	Celsius Holdings, Inc. (CELH)	Purchase	11/22/2021	\$15,001 - \$50,000
86	Activision Blizzard, Inc. (ATVI)	Sale	11/23/2021	\$1,001 - \$15,000
87	Amgen Inc. (AMGN)	Sale	11/23/2021	\$15,001 - \$50,000
88	ANSYS, Inc. (ANSS)	Sale	11/23/2021	\$15,001 - \$50,000
89	The Walt Disney Co. (DIS)	Sale	11/23/2021	\$15,001 - \$50,000
90	Peleton (PTON)	Sale	11/23/2021	\$1,001 - \$15,000
91	Take-Two Interactive Software, Inc. (TTWO)	Sale	11/23/2021	\$15,001 - \$50,000
92	Pagseguro Digital (PAGS)	Sale	11/24/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
93	RH (RH)	Purchase	11/29/2021	\$1,001 - \$15,000
94	LeMaitre Vascular, Inc. (LMAT)	Purchase	11/29/2021	\$1,001 - \$15,000
95	Prgogyny (PGNY)	Purchase	11/29/2021	\$1,001 - \$15,000
96	Shift4 Payments (FOUR)	Purchase	11/29/2021	\$1,001 - \$15,000
97	Asana, Inc. (ASAN)	Purchase	12/30/2021	\$1,001 - \$15,000
98	Chewy, Inc. (CHWY)	Purchase	12/30/2021	\$1,001 - \$15,000
99	Paycom Software, Inc. (PAYC)	Purchase	12/30/2021	\$15,001 - \$50,000
100	Progyny, Inc. (PRGY)	Sale	12/30/2021	\$1,001 - \$15,000
101	RH (RH)	Sale	12/30/2021	\$1,001 - \$15,000
102	Revolve Group (RVLV)	Purchase	12/30/2021	\$1,001 - \$15,000
103	Shift4 Payments Inc. (FOUR)	Sale	12/30/2021	\$1,001 - \$15,000
104	Williams-Sonoma, Inc. (WSM)	Purchase	12/30/2021	\$1,001 - \$15,000
105	Silergate Cap Corp. (SI)	Purchase	12/30/2021	\$15,001 - \$50,000
106	iShares Core Dividend Growth ETF (DGRO)	Purchase	11/09/2021	\$100,001 - \$250,000
107	Vanguard Russell 3000 Index Fund ETF Shares (VTHR)	Purchase	11/12/2021	\$1,000,001 - \$5,000,000
108	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Purchase	11/16/2021	\$1,000,001 - \$5,000,000
109	Vanguard 500 Index Fund ETF Shares (VOO)	Purchase	11/18/2021	\$500,001 - \$1,000,000
110	Vanguard Small-Cap Index Fund ETF Shares (VB)	Purchase	11/23/2021	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
111	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	11/23/2021	\$250,001 - \$500,000
112	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	11/24/2021	\$100,001 - \$250,000
113	TrueShares Structured Outcome (December) ETF (DECZ)	Purchase	12/30/2021	\$250,001 - \$500,000
114	TrueShares Structured Outcome (December) ETF (DECZ)	Purchase	12/28/2021	\$250,001 - \$500,000
115	Fidelity Contrafund (FCNTX)	Purchase	12/10/2021	\$1,001 - \$15,000
116	Tweedy, BrowneGlobal Value Fund (TBGVX)	Purchase	12/13/2021	\$1,001 - \$15,000
117	Fidelity Government Money Market Fund (SPAXX)	Purchase	10/18/2021	\$1,000,001 - \$5,000,000
118	Fidelity Government Money Market Fund (SPAXX)	Purchase	10/01/2021	\$500,001 - \$1,000,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Fairway Independent Mortgage Corp.	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2020	2.625%	30 years (refi)
2	Fidelity Rewards VISA card	Credit Card	\$10,001 - \$15,000	2021	13.99%	revolving
3	Motley Fool Venture Fund	Remaining Capital Calls	\$100,001 - \$250,000	2018	None	On demand

## 9. Gifts and Travel Reimbursements

None

---

### Endnotes

PART	#	ENDNOTE
1.	6	Holds Trust listed at line 6, Part 1
6.	180	The 2021 distribution may be a return of capital; final K-1 has not yet been received.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---