

# Executive Branch Personnel

## Public Financial Disclosure Report:

### Periodic Transaction Report (OGE Form 278-T)

#### Filer's Information

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Silverberg, Samantha E

Special Assistant to the President for Transportation and Infrastructure, White House - Biden-Harris Administration

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Silverberg, Samantha E [electronically signed on 06/07/2021 by Silverberg, Samantha E in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olorunnipa, Funmi, Certifying Official [electronically signed on 06/25/2021 by Olorunnipa, Funmi in Integrity.gov]

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Other review conducted by

/s/ Olorunnipa, Funmi, Ethics Official [electronically signed on 06/25/2021 by Olorunnipa, Funmi in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 06/22/2021

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(06/22/2021, Kaplan, Kimberley H): The filer inadvertently omitted the shares of the following stocks held in her spouse's taxable brokerage account from the New Entrant report she submitted on March 15, 2021: Amazon, Apple, Lockheed, Medtronic, Microsoft, Raytheon, Salesforce.com, Taiwan Semiconductor. For each, EIF was N/A, Value \$1,001-\$15,000, and Income Amount None (or less than \$201). Shares of these stocks were held both in her spouse's Roth IRA and in the taxable brokerage account, but the shares were listed only once in the Roth IRA. The aggregate values of the shares as of March 15, 2021 was \$1,001-\$15,000 for each stock, the same as the values reported in the Roth IRA. Additionally, the filer inadvertently omitted Facebook and Marsh & McLennan Co. stock, held in the spouse's Roth IRA, from the New Entrant report; for each, EIF was N/A, Value \$1,001-\$15,000, and Income Amount None (or less than \$201). All of these stocks have now been sold.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Medtronic Plc (MDT)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
2	Sanofi ADR	Sale	05/27/2021	No	\$1,001 - \$15,000
3	Comcast Corp. (CMCSA)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
4	Taiwan Semiconductor (TSM)	Sale	04/22/2021	Yes	\$1,001 - \$15,000
5	Service Corp. International (SCI)	Sale	05/27/2021	No	\$1,001 - \$15,000
6	The Sherwin-Williams Co. (SHW)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
7	Rockwell Automation, Inc. (ROK)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
8	Dollar General Corp. (DG)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
9	L3Harris Technologies, Inc. (LHX)	Sale	05/27/2021	No	\$1,001 - \$15,000
10	Medtronic Plc (MDT)	Sale	05/27/2021	No	\$1,001 - \$15,000
11	Honeywell International, Inc. (HON)	Sale	04/19/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
12	Marsh & McLennan Cos., Inc. (MMC)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
13	CVS Health Corp. (CVS)	Sale	05/27/2021	No	\$1,001 - \$15,000
14	Masimo Corp. (MASI)	Sale	05/27/2021	No	\$1,001 - \$15,000
15	The Home Depot, Inc. (HD)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
16	Novartis ADR (NVS)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
17	Phillips 66 (PSX)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
18	Laboratory Corp. of America Holdings (LH)	Sale	05/27/2021	No	\$1,001 - \$15,000
19	Raytheon Co. (RTN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
20	Analog Devices, Inc. (ADI)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
21	United Parcel Service, Inc. (UPS)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
22	Diageo ADR (DEO)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
23	Lowe's Companies (LOW)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
24	Thermo Fisher Scientific, Inc. (TMO)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
25	American Tower Corporation (REIT) (AMT)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
26	Procter & Gamble Co. (PG)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
27	Ameriprise Financial, Inc. (AMP)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
28	Apple, Inc. (AAPL)	Sale	05/27/2021	No	\$1,001 - \$15,000
29	Fidelity National Information Services, Inc. (FIS)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
30	Danaher Corp. (DHR)	Sale	05/27/2021	No	\$1,001 - \$15,000
31	Lockheed Martin Corp. (LMT)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
32	Adobe, Inc. (ADBE)	Sale	04/19/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
33	Truist Financial Corp. (TFC)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
34	Parker-Hannifin Corp. (PH)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
35	O'Reilly Automotive, Inc. (ORLY)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
36	The TJX Cos., Inc. (TJX)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
37	Loreal Co. ADR France (LRLCY)	Sale	05/27/2021	No	\$1,001 - \$15,000
38	VF Corp. (VFC)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
39	Yum China Holdings (YUMC)	Sale	05/27/2021	No	\$1,001 - \$15,000
40	salesforce.com, inc. (CRM)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
41	BlackRock, Inc. (BLK)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
42	Skyworks Solutions, Inc. (SWKS)	Sale	05/27/2021	No	\$1,001 - \$15,000
43	Texas Instruments Incorporated (TXN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
44	Accenture Plc (ACN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
45	Linde Plc (LIN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
46	PulteGroup, Inc. (PHM)	Sale	05/27/2021	No	\$1,001 - \$15,000
47	Union Pacific Corp. (UNP)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
48	Amgen Inc. (AMGN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
49	McDonald's Corp. (MCD)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
50	Intel Corp. (INTC)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
51	Bank of America Corp. (BAC)	Sale	05/27/2021	No	\$1,001 - \$15,000
52	AbbVie, Inc. (ABBV)	Sale	05/27/2021	No	\$1,001 - \$15,000
53	Chubb Ltd. (CB)	Sale	04/19/2021	Yes	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
54	Lockheed Martin Corp. (LMT)	Sale	05/27/2021	No	\$1,001 - \$15,000
55	Microsoft Corp. (MSFT)	Sale	05/27/2021	No	\$1,001 - \$15,000
56	salesforce.com, inc. (CRM)	Sale	05/27/2021	No	\$1,001 - \$15,000
57	Carrier Global Corp (CARR)	Sale	05/27/2021	No	\$1,001 - \$15,000
58	Jazz Pharmaceuticals Plc (JAZZ)	Sale	05/27/2021	No	\$1,001 - \$15,000
59	Citigroup, Inc. (C)	Sale	05/27/2021	No	\$1,001 - \$15,000
60	The Coca-Cola Co. (KO)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
61	Vodafone Group Plc ADR (VOD)	Sale	05/27/2021	No	\$1,001 - \$15,000
62	UnitedHealth Group, Inc. (UNH)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
63	JPMorgan Chase & Co. (JPM)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
64	Cisco Systems, Inc. (CSCO)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
65	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	05/27/2021	No	\$1,001 - \$15,000
66	Johnson & Johnson (JNJ)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
67	PayPal Holdings, Inc. (PYPL)	Sale	05/27/2021	No	\$1,001 - \$15,000
68	Freeport-McMoRan, Inc. (FCX)	Sale	05/27/2021	No	\$1,001 - \$15,000
69	Visa, Inc. (V)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
70	Raytheon Co. (RTN)	Sale	05/27/2021	No	\$1,001 - \$15,000
71	Schlumberger NV (SLB)	Sale	05/27/2021	No	\$1,001 - \$15,000
72	Newmont Corp. (NEM)	Sale	05/27/2021	No	\$1,001 - \$15,000
73	Amazon.com, Inc. (AMZN)	Sale	05/27/2021	No	\$1,001 - \$15,000
74	Taiwan Semiconductor (TSM)	Sale	05/27/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
75	Amazon.com, Inc. (AMZN)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
76	Apple, Inc. (AAPL)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
77	Facebook, Inc. (FB)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
78	Alphabet, Inc. (GOOGL)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
79	Microsoft Corp. (MSFT)	Sale	04/19/2021	Yes	\$1,001 - \$15,000
80	Bristol-Myers Squibb (BMY)	Sale	05/27/2021	No	\$1,001 - \$15,000

## Endnotes

## Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public

financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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