Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

DeVries, Bailey

Associate Administrator OII, SBA

Date of Appointment: 08/16/2021

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bailey DeVries [electronically signed by Bailey DeVries on 2021-09-15 23:26:16 in FDonline]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

/s/ Marilyn Barnes, Super Administrator [electronically signed by Marilyn Barnes on 2021-12-23 11:41:36 in FDonline]

Other review conducted by:

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	ORG TYPE	POSITION	FROM	TO
1	Greenspring Associates	Owings Mills, MD, US	Asset Management Firm	Director	10/04/2019	01/04/2021
2	Trail Mix Ventures (TMV)	New York, NY, US	VC Fund	Venture Partner	04/01/2021	08/12/2021
3	TMX (Carlin Haynes LLC)	New York, NY, US	Media Startup	Advisor	03/16/2021	07/30/2021

2. Filer's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(Stock) TROW T Rowe Price Group	N/A	$15,001 - $50,000	Dividends	$2,501 - $5,000
2	T. Rowe Price Associates (401k) T. Rowe Price Associates	No			
2.1	(Other) New Horizons Trust Class C	Yes	$15,001 - $50,000		None (or less than $201)
2.2	(Other) T. Rowe Price Retirement 2045 Trust C	Yes	$250,001 - $500,000		None (or less than $201)
2.3	(Mutual Fund) TSBLX-T. Rowe Price Qm U.S. Bond Index Fund I Class	Yes	$15,001 - $50,000		None (or less than $201)
2.4	(Mutual Fund) RPOIX-T. Rowe Price Global High Income Bond Fund - I C	Yes	$15,001 - $50,000		None (or less than $201)
3	Greenspring Associates (401k) T. Rowe Price	No			
3.1	(Mutual Fund) TRRKX-T.Rowe Price Retirement 2045 Fund	Yes	$15,001 - $50,000		None (or less than $201)
4	(Self EI) Greenspring Associates Compensation from employment with Greenspring Associates. Employment ended at the end of 2020. Owings Mills, MD, US	N/A		Salary, Commission	$900,306.50
5	(Self EI) Carlin Haynes LLC (dba "TMX") one-time payment for advisory support New York, NY, US	N/A		Honoraria	$10,000.00

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR OTHER PARTY TO AGREEMENT /ARRANGEMENT	CITY, STATE	STATUS AND TERMS	DATE
1	T. Rowe Price Associates	Baltimore, MD, US	Retirement assets held in T. Rowe Price 401(k) plan	08 /2019
2	Greenspring Associates	Owings Mills, MD, US	Retirement assets held in Greenspring Associates 401(k) plan	12 /2020

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE	DESCRIPTION
1	Carlin Haynes, LLC (dba "TMX"); New York, NY, US	$10,000 received in June 2021 for advisory services provided.
2	Greenspring Associates; Owings Mills, MD, US	I was previously an employee of the firm. My last paycheck was at the end of 2020.
3	Penn State Health System; Hershey, PA, US	A new client of Greenspring's I was directly responsible for bringing in while employed by the firm. Penn State paid management fees on the assets managed by Greenspring.

5. Spouse's Employment Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(S) (Other) Grotech Capital Group Fund VII	Yes	$250,001 - $500,000		$100,001 - $1,000,000
2	(S) (Other) Grotech Venture Fund II	Yes	$1,000,001 - $5,000,000		$50,001 - $100,000
3	(S) (Other) Grotech Ventures III	Yes	$5,000,001 - $25,000,000		$100,001 - $1,000,000

4	(S) Grotech Management Company (401k) T. Rowe Price	N/A			
4.1	(Mutual Fund) TRRKX-T.Rowe Price Retirement 2045 Fund	Yes	$1,001 - $15,000		None (or less than $201)
4.2	(Mutual Fund) TIREX-Tiaa-Cref Real Estate Securities Fund Inst	Yes	$50,001 - $100,000		None (or less than $201)
4.3	(Mutual Fund) PRFDX-T. Rowe Price Equity Income Fund	Yes	$100,001 - $250,000		None (or less than $201)
4.4	(Mutual Fund) PRGTX-T. Rowe Price Global Technology Fd Inc	Yes	$250,001 - $500,000		None (or less than $201)
4.5	(Mutual Fund) NBGNX-Neuberger Berman Genesis Fd	Yes	$15,001 - $50,000		None (or less than $201)
4.6	(Mutual Fund) PRGFX-T. Rowe Price Growth Stock Fund	Yes	$100,001 - $250,000		None (or less than $201)
4.7	(Mutual Fund) TRMCX-T. Rowe Price Mid-Cap Value Fund	Yes	$100,001 - $250,000		None (or less than $201)
4.8	(Mutual Fund) BEARX-Federated Prudent Bear Fund - A	Yes	$15,001 - $50,000		None (or less than $201)
4.9	(Mutual Fund) ARTMX-Artisan Mid Cap Fund Inv Shs	Yes	$100,001 - $250,000		None (or less than $201)
4.10	(Mutual Fund) FXAIX-Fidelity 500 Index Fund	Yes	$50,001 - $100,000		None (or less than $201)
4.11	(Mutual Fund) PRSVX-T. Rowe Price Small-Cap Value Fund	Yes	$100,001 - $250,000		None (or less than $201)
4.12	(Mutual Fund) TRRCX-T. Rowe Price Retirement 2030 Fund	Yes	$500,001 - $1,000,000		None (or less than $201)
5	(S) (Partnership) Grotech Ventures IV Hunt Valley, MD, US diversified early-stage venture capital minority equity investments	N/A	$50,001 - $100,000		$0.00
5.1	(Other) Parallax/Right Team	No	$50,001 - $100,000	Capital Gains	None (or less than $201)
6	(S) (Spouse EI) Grotech Management Company Hunt Valley, MD, US	N/A			

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	(Stock) ABBV ABBVIE INC COM USD0.01	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
2	(Stock) ABT Abbott Laboratories	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
3	(Stock) BWA Borgwarner Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
4	(Stock) C Citigroup Inc	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5	(Stock) CMPS Compass Pathways Plc ADR	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
6	(Stock) CTVA Corteva Inc	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
7	(Stock) DOW DOW INC COM	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
8	(Stock) DD Du Pont De.Nemours Inc	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
9	(Stock) DIN Dineequity Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
10	(J) (Stock) FSK FS KKR Capital Corp	N/A	$15,001 - $50,000	Dividends	$1,001 - $2,500
11	(Stock) GEVO Gevo Inc	N/A	$1,001 - $15,000	Capital Gains	$2,501 - $5,000
12	(Stock) GRA W.R. Grace & Company	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
13	(Stock) IRM Iron Mountain Inc	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
14	(Stock) LWAY Lifeway Foods Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
15	(Stock) NCMI National Cinemedia	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
16	(Stock) PFE Pfizer Inc	N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
17	(Stock) SFHY Wisdomtree U.S. Short Term High Yield Corp Bond	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
18	(Stock) SYY Sysco Corp	N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
19	(Stock) T AT&T Inc	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
20	(Stock) TATYY TATE LYLE ADR	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
21	(Stock) VTRS Viatris Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)

22	(Stock) WMC Western Asset Mortgage Capital	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
23	(Stock) XOM Exxon Mobil Corp	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
24	(Stock) VEOEY Veolia Environnement Sponsored ADR	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
25	(Stock) OWL Blue Owl Capital Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
26	(Stock) COP Conocophillips	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
27	(Stock) BP BP Plc ADR	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
28	(Stock) CVX Chevron Corp	N/A	$15,001 - $50,000	Dividends	$2,501 - $5,000
29	(Stock) PSX Phillips 66	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
30	(Stock) CNDU Concord Acquisition Corp Unit 1 CL A & 1.2 WT EXP	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
31	(S) (Stock) AMZN Amazon.com	N/A	$50,001 - $100,000	Dividends	None (or less than $201)
32	(S) (Stock) T AT&T	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
33	(S) (Stock) OWL Blue Owl Capital Inc Class A Common Stock	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
34	(S) (Stock) BP BP Plc ADR	N/A	$15,001 - $50,000	Dividends	$2,501 - $5,000
35	(S) (Stock) CAT Caterpillar Inc	N/A	$15,001 - $50,000	Dividends, Capital Gains	$2,501 - $5,000
36	(S) (Stock) CVX Chevron Corp	N/A	$50,001 - $100,000	Dividends	$5,001 - $15,000
37	(S) (Stock) COP Conocophillips	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
38	(S) (Stock) DNMR Danimer Scientific Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
39	(S) (Stock) XOM Exxon Mobil Corp	N/A	$15,001 - $50,000	Dividends	$2,501 - $5,000
40	(S) (Stock) FB Facebook Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
41	(S) (Stock) GXO Gxo Logistics Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
42	(S) (Stock) IS Ironsource Ltd Cl A	N/A	$15,001 - $50,000	Dividends	None (or less than $201)

43	(S) (Stock) JOBY Joby Aviation Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
44	(S) (Stock) MSFT Microsoft Corp	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
45	(S) (Stock) PSTH Pershing Square Tontine Holdings	N/A	$15,001 - $50,000	Dividends	None (or less than $201)
46	(S) (Stock) XLE S&P 500 Energy Sector SPDR	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
47	(S) (Stock) SHOP Shopify Inc	N/A	$100,001 - $250,000	Dividends	None (or less than $201)
48	(S) (Stock) TGT Target Corp	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
49	(S) (Stock) AGCUU Altimeter Growth Corp	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
50	(S) (Stock) VEOEY Veolia Environnement Sponsored ADR	N/A	$50,001 - $100,000	Dividends	$2,501 - $5,000
51	(S) (Stock) VZ Verizon Communications	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
52	(S) (Stock) OWLWS Blue Owl Capital Inc WTS EXP 5/19/2026	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
53	(S) (Stock) XPO Xpo Logistics Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
54	(DC) (Stock) HASI Hannon Armstrong Sustainable IN	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
55	(S) (Stock) DE Deere & Company	N/A	$15,001 - $50,000	Dividends, Capital Gains	$5,001 - $15,000
56	(S) (Stock) PG Procter & Gamble Company	N/A	$50,001 - $100,000	Dividends, Capital Gains	$15,001 - $50,000
57	(J) (Cash Deposit/Savings) SVB Financial Menlo Park, CA, US Checking	N/A	$500,001 - $1,000,000	Interest	$201 - $1,000
58	(Cash Deposit/Savings) Northern Trust Chicago, IL, US Personal Checking	N/A	$100,001 - $250,000	Interest	None (or less than $201)
59	(Cash Deposit/Savings) Northern Trust Chicago, IL, US Checking	N/A	$100,001 - $250,000	Interest	None (or less than $201)
60	(J) (Cash Deposit/Savings) Northern Trust Chicago, IL, US Joint Checking Account	N/A	$1,001 - $15,000	Interest	None (or less than $201)
61	(DC) (Cash Deposit/Savings) Northern Trust Chicago, IL, US Child's UTMA Savings Account	N/A	$1,001 - $15,000	Interest	None (or less than $201)

62	(DC) (Cash Deposit/Savings) Northern Trust Chicago, IL, US Child's UTMA Savings Account	N/A	$15,001 - $50,000	Interest	None (or less than $201)
63	(S) (Cash Deposit/Savings) ETRADE FINANCIAL Arlington, VA, US Spouse's Savings Account	N/A	$500,001 - $1,000,000	Interest	$201 - $1,000
64	(S) (Cash Deposit/Savings) ETRADE FINANCIAL Arlington, VA, US Spouse's checking account	N/A	$250,001 - $500,000	Interest	$201 - $1,000
65	(S) (Cash Deposit/Savings) Northern Trust Chicago, IL, US Spouse's Savings Account	N/A	$1,001 - $15,000	Interest	None (or less than $201)
66	(Trade or Business) Carlin Haynes LLC (dba "TMX") Tech Startup in the media industry	N/A	$15,001 - $50,000	Interest	None (or less than $201)
67	(S) (Trade or Business) SEP Rhino Holdings Inc. B2B marketing tech startup dba as "Ceros"	N/A	$100,001 - $250,000	Dividends, Capital Gains	$100,001 - $1,000,000
68	(S) (Trade or Business) OpenDrives B2B software tech startup	N/A	$15,001 - $50,000	Capital Gains	None (or less than $201)
69	(DC) (Mutual Fund) BIASX Brown Advisory Small-Cap Growth Fd Investor Shs	Yes	$1,001 - $15,000		None (or less than $201)
70	(DC) (Mutual Fund) GTLLX Glenmede Large Cap Growth Ptf	Yes	$1,001 - $15,000		None (or less than $201)
71	(DC) (Mutual Fund) RPIHX T. Rowe Price Global High Income Bond Fund	Yes	$1,001 - $15,000		None (or less than $201)
72	(Mutual Fund) PATFX T. Rowe Price Tax-Free High Yield Fund	Yes	$1,001 - $15,000		None (or less than $201)
73	(J) (Mutual Fund) QNTSQ Northern Trust Bank Deposit Sweep for Brokerage Accounts	Yes	$15,001 - $50,000		None (or less than $201)
74	(DC) (Mutual Fund) Portfolio 2036 Maryland 529 College Plan Portfolio 2036	Yes	$1,001 - $15,000		None (or less than $201)
75	(DC) (Mutual Fund) TQGEX T. Rowe Price Qm Global Equity Fund	Yes	$1,001 - $15,000		None (or less than $201)
76	(DC) (Mutual Fund) Portfolio 2033 Maryland College 529 Portfolio 2033	Yes	$15,001 - $50,000		None (or less than $201)
77	(S) (WLI) MassMutual Whole Life Insurance	N/A	$100,001 - $250,000	Dividends, Interest	None (or less than $201)
78	(IRA) T. Rowe Price	No			
					None (or less than

78.1	(Mutual Fund) PRDSX-T. Rowe Price Qm U.S. Small-Cap Growth Equity Fu	Yes	$15,001 - $50,000	$201)
78.2	(Mutual Fund) T. Rowe Price Qm U.S. Small-Cap Growth Equity Fu-T.Rowe Price Retirement 2045 Fund	Yes	$50,001 - $100,000	None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
2	1	Stock purchased through an Employee Stock Purchase Plan while employed by T. Rowe Price.
2	2	Ended employment with T. Rowe Price August of 2019.
2	2.1	Collective Investment Trust (CIT) vehicle
2	2.2	Collective Investment Trust (vehicle)
2	3.1	Last contribution was during Q4 2020
5	1	My husband's minority equity position in the fund. The fund does not take control ownership positions in portfolio investments, it is broadly diversified, and widely held.
5	2	My husband's minority equity position in the fund. The fund does not take control ownership positions in portfolio investments, it is broadly diversified, and widely held.
5	3	My husband's minority equity position in the fund. The fund does not take control ownership positions in portfolio investments, it is broadly diversified, and widely held.
5	5	My husband's position in the GP GAAP NAV as of last quarterly reporting period (6/30/21) = $52,468. This fund held a first close in early summer. While this is a broadly diversified investment strategy without control positions, unlike the mature funds, it is not widely held at present. The fund is not likely to be income producing for 5-7 years.
5	5.1	Unrealized minority equity investment in a startup company in Minnesota.
6	10	This stock is held in my brokerage account and my daughter's UTMA brokerage account. ~$11,500 in my brokerage account and ~$4,600 in my daughter's UTMA brokerage account.
6	17	Market value as of 9/4/2021 equal to $14,958 USD.
6	35	partial sale of long-term position in 2019 for a capital gain of $4,895.24.
6	55	My husband sold this long-term position in 2019 for a gain of $8,486.14.
6	56	My husband sold a long-term position in P&G in 2019 for a gain of $25,967.13.
6	57	primary family checking account
6	58	x0040
6	59	x3864
6	66	$30,000 convertible seed note with 6% interest rate invested in a friend's company.
		Angel investment made by my husband in 2018 a B2B marketing tech startup. Initial investment of $50K.

6	67	Received $108K in 2020 upon a financing and $98K (inclusive of a distribution) in September 2021.
6	68	angel investment made by my husband in 2020. Invested $50K. Still valued at 50K.
6	73	sweep funds in Northern Trust brokerage accounts
6	74	My daughter, Blake DeVries', college 529 holding.
6	75	My daughter, Blake DeVries', college 529 holdings.
6	76	My daughter, Bryant DeVries', college 529 holdings.
6	77	Whole Life Insurance Policies with MassMutual Held By My Husband. Current cash (face) value ~$201,000.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses the following: Positions held by the filer at any time during the reporting period (excluding positions with the United States Government). Reportable positions include those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any for-profit or non-profit organization (whether compensated or uncompensated).

2. Filer's Employment Assets and Income

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities if the value of the asset at the end of the reporting period exceeded $1,000 or if more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, anticipated payments such as severance payments, deferred compensation, and intellectual property, such as book deals and patents).

  This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses the following: Agreements or arrangements that the filer had during the reporting period for the following:

- Continuing participation in an employee welfare or benefit plan maintained by a former employer
- Leave of absence
- Future employment
- Continuation of payments by a former employer (e.g., severance payments)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets and Income

Part 5 discloses the following:

- Source of earned income (excluding honoraria) for the filer's spouse over $1,000 during the reporting period.
- Sources of honoraria for the filer's spouse over $200 during the reporting period.

- Assets related to the spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents).

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

Part 9 discloses the following:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items:

1. anything received from relatives;
2. anything received from the United States Government or from the District of Columbia, state, or local governments;
3. bequests and other forms of inheritance;
4. gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel;
5. gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and

6. anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made:

1. to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
2. to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
3. to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena;
4. to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
5. to the National Archives and Records Administration or the General Services Administration in records management inspections;
6. to the Office of Management and Budget during legislative coordination on private relief legislation;
7. to the Department of Justice or in certain legal proceedings when OGE, an employee of OGE, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation;
8. to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
9. to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record;
10. to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records;
11. on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
12. on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE;
13. on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order;
14. to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm;
15. to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security.

See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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Reviewer Comments and Submission History

for Bailey DeVries

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