

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

Berger, William

United States Marshal, Department of Justice - U.S. Marshals Service

Report Year: 2023

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Berger, William [electronically signed on 04/20/2023 by Berger, William in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dickinson, Lisa, Certifying Official [electronically signed on 06/20/2023 by Dickinson, Lisa in Integrity.gov]

---

Other review conducted by

/s/ Choi, Amanda, Ethics Official [electronically signed on 06/15/2023 by Choi, Amanda in Integrity.gov]

---

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/17/2023 by Granahan, Megan in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

None

## 2. Filer's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION  | EIF         | VALUE               | INCOME TYPE                   | INCOME AMOUNT   |
|-----|--|-------------|---------------------|-------------------------------|-----------------|
| 1   | Miami Fire and Police Retirement Trust defined benefit (value not readily ascertainable)         | N/A         |                     | Retirement Payments (monthly) | \$2,400         |
| 2   | Palm Bay Police and Firefighter Pension Fund (defined benefit - value not readily ascertainable) | N/A         |                     | Retirement Payments (monthly) | \$1,800         |
| 3   | North Miami Beach Police Pension (defined benefit - value not readily ascertainable)             | See Endnote | N/A                 | Retirement Payments(monthly)  | \$6,850         |
| 4   | ICMA RHS Premier Services Program (457 account through Palm Bay Police)                          | See Endnote |                     |                               |                 |
| 4.1 | Vantagepoint Milestone 2015  | Yes         | \$15,001 - \$50,000 |                               | \$201 - \$1,000 |

## 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY                      | CITY, STATE                | STATUS AND TERMS  | DATE    |
|---|--|----------------------------|---|---------|
| 1 | Miami Fire and Police Retirement Trust | Miami , Florida            | I will continue to participate in this defined benefit plan.. | 1/1974  |
| 2 | North Miami Beach Police Pension       | North Miami Beach, Florida | I will continue to participate in this defined benefit plan.. | 11/1989 |

| # | EMPLOYER OR PARTY                             | CITY, STATE       | STATUS AND TERMS   | DATE   |
|---|---|-------------------|--|--------|
| 3 | Palm Bay Police and Firefighters Pension Fund | Palm Bay, Florida | I will continue to participate in this defined benefit plan.   | 4/2004 |
| 4 | ICMA RC account through the city of Palm Bay  | Palm Bay, Florida | I will continue to participate in this defined contribution plan. The plan sponsor has not made further contributions since my separation. | 4/2004 |

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

#### 6. Other Assets and Income

| # | DESCRIPTION                                       | EIF         | VALUE              | INCOME TYPE           | INCOME AMOUNT             |
|---|---|-------------|--------------------|-----------------------|---------------------------|
| 1 | Intel Corp  | N/A         | \$1,001 - \$15,000 |                       | None (or less than \$201) |
| 2 | BHP Billiton LTD ADR                              | N/A         | \$1,001 - \$15,000 |                       | None (or less than \$201) |
| 3 | Boeing Co   | N/A         | \$1,001 - \$15,000 |                       | None (or less than \$201) |
| 4 | Merrill-Lynch Bank Deposit Program (cash account) | See Endnote | N/A                | \$250,001 - \$500,000 | None (or less than \$201) |
| 5 | MetLife Performance Premier B Class Qualified IRA |             |                    |                       |                           |

| #    | DESCRIPTION  | EIF         | VALUE                 | INCOME TYPE | INCOME AMOUNT     |
|------|--|-------------|-----------------------|-------------|-------------------|
| 5.1  | American Funds Global Capitalization Fund            | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.2  | Fixed Interest Account                               | N/A         | \$100,001 - \$250,000 | Interest    | \$1,001 - \$2,500 |
| 5.3  | Harris Oakmark International Portfolio               | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.4  | JPMorgan Core Bond Portfolio                         | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.5  | Metlife Mid Cap Stock Index Portfolio                | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.6  | Metlife Stock Index Portfolio                        | Yes         | \$100,001 - \$250,000 |             | \$1,001 - \$2,500 |
| 5.7  | MFS Research International Portfolio                 | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.8  | PIMCO Total Return Portfolio                         | Yes         | \$100,001 - \$250,000 |             | \$1,001 - \$2,500 |
| 5.9  | T. ROWE Price Large Cap Growth Portfolio             | Yes         | \$50,001 - \$100,000  |             | \$1,001 - \$2,500 |
| 5.10 | Western Asset Management US Government Port          | Yes         | \$50,001 - \$100,000  |             | \$201 - \$1,000   |
| 5.11 | WMC Core Equity Opportunities Portfolio              | See Endnote | \$100,001 - \$250,000 |             | \$1,001 - \$2,500 |
| 5.12 | Clarion Global Real Estate Portfolio                 | Yes         | \$50,001 - \$100,000  |             | \$1,001 - \$2,500 |
| 6    | Lincoln American Legacy C. Shares (variable annuity) |             |                       |             |                   |
| 6.1  | American Blue Chip Income and Growth Fund            | Yes         | \$1,001 - \$15,000    |             | \$201 - \$1,000   |
| 6.2  | American Growth Fund                                 | Yes         | \$15,001 - \$50,000   |             | \$201 - \$1,000   |

| #    | DESCRIPTION  |             | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|------|--|-------------|-----|-----------------------|-------------|---------------------------|
| 6.3  | American Growth and Income                                   |             | Yes | \$1,001 - \$15,000    |             | \$201 - \$1,000           |
| 6.4  | American New World Fund                                      |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.5  | American Global Small Cap                                    |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.6  | American International Fund                                  |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.7  | Global Growth -INC   |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.8  | AmGlobal Growth  |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.9  | AM Bond Fund   |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.10 | AM Global Bond   |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.11 | Gov't /AAA sec   |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.12 | High Income Bond   |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 6.13 | BH/Wellington Core Equity Portfolio                          | See Endnote | Yes | \$100,001 - \$250,000 |             | \$201 - \$1,000           |
| 7    | Genworth Financial Inc Commonwealth extra (variable annuity) |             |     |                       |             |                           |
| 7.1  | ClearBridge Variable Equity Income Part I                    | See Endnote | Yes | \$1,001 - \$15,000    |             | \$201 - \$1,000           |
| 7.2  | Alger Sm cap growth Part C1 1-2                              |             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 7.3  | Fidelity VIP Eq-inc Port -int CI                             |             | Yes | \$1,001 - \$15,000    |             | \$201 - \$1,000           |

| #    | DESCRIPTION                                  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|-----------------------------|-------------|---------------------------|
| 7.4  | Fidelity VIP Contra Part-Init C1             | Yes | \$15,001 - \$50,000         |             | \$201 - \$1,000           |
| 7.5  | Janus Aspen Series Int shs                   | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 7.6  | GE Invest Funds S&P 500 Index Fund           | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 7.7  | GE Invest Funds RI est sec Fd C1 1           | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 7.8  | Goldman sachs VIT Money MKT SER SH           | N/A | \$1,001 - \$15,000          | Dividends   | \$201 - \$1,000           |
| 7.9  | GE Invest Funds Sm cap Eqty FD C             | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 7.10 | Templeton Foreign VIP FND C1 1 SHS           | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 8    | Merrill Lynch mutual Funds /closed end funds |     |                             | Dividends   |                           |
| 8.1  | Blackrock Eqty Dividend                      | Yes | \$1,001 - \$15,000          |             | \$201 - \$1,000           |
| 8.2  | Deutsche Global/DWSRREEF                     | Yes | None (or less than \$1,001) |             | \$1,001 - \$2,500         |
| 8.3  | Dreyfus Appreciation FD                      | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 8.4  | Franklin Rising Dividends Adv                | Yes | \$1,001 - \$15,000          |             | \$1,001 - \$2,500         |
| 8.5  | IVY Global Natural                           | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 8.6  | John Hancock Classic                         | Yes | None (or less than \$1,001) |             | \$201 - \$1,000           |
| 8.7  | Mainstay Large Cap                           | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 8.8  | MFS Research Bond Fund                       | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |
| 8.9  | Neuberger German High Income Bond            | Yes | \$1,001 - \$15,000          |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF         | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|------|--|-------------|-----------------------|-------------|---------------------------|
| 8.10 | Oppenheimer Rising Dividends Y                     | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 8.11 | PIMCO Total Return P                               | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 8.12 | TCW TOTAL RETURN Bond Fund                         | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 8.13 | THE OAKMARK Intl Fund                              | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 8.14 | Vanguard Information                               | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 9    | Space Coast Credit Union                           | N/A         | \$100,001 - \$250,000 | Interest    | \$201 - \$1,000           |
| 10   | Florida Pre Paid College Plan for minor grandchild | See Endnote | \$1,001 - \$15,000    | Interest    | \$201 - \$1,000           |
| 11   | American Euro Pacific Growth Fund CL F2            | Yes         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 12   | Lockheed Martin                                    | N/A         | \$1,001 - \$15,000    | Dividends   | \$201 - \$1,000           |
| 13   | Microsoft Corp                                     | N/A         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 14   | Merck & Co Inc                                     | N/A         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 15   | Pfizer Inc   | N/A         | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 16   | MFS Value FD CL 1                                  | Yes         | \$1,001 - \$15,000    |             | \$201 - \$1,000           |
| 17   | United Police CU (cash account)                    | N/A         | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 18   | AT&T   | N/A         | \$1,001 - \$15,000    |             | \$201 - \$1,000           |



| #  | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT             |
|----|---|-----|-----------------------|-------------|---------------------------|
| 19 | Midland National (universal life insurance)         | N/A | \$100,001 - \$250,000 |             | None (or less than \$201) |
| 20 | American Equity qualified IRA (fixed index annuity) | No  | \$250,001 - \$500,000 | Interest    | \$5,001 - \$15,000        |
| 21 | MFS Value Fund CI 1                                 | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 22 | Delaware Small Cap Core                             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 23 | Vanguard FTSE Emerging Markets ETF                  | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 24 | Amazon.com Inc (AMZN)                               | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 25 | Oakmark International Fund CI Adv.                  | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 26 | Adobe Inc.  | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 27 | Vanguard Communications Services ETF                | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 28 | Vanguard Financials ETF                             | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 29 | Alphabet Inc.                                       | N/A | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 30 | Mainstay Large Cap Growth                           | Yes | \$1,001 - \$15,000    |             | None (or less than \$201) |
| 31 | The Walt Disney Co. (DIS)                           | N/A | \$15,001 - \$50,000   |             | None (or less than \$201) |
| 32 | Wal- Mart   | No  | \$1,001 - \$15,000    | Dividends   | None (or less than \$201) |

| #  | DESCRIPTION                                  | EIF         | VALUE              | INCOME TYPE             | INCOME AMOUNT             |
|----|--|-------------|--------------------|-------------------------|---------------------------|
| 33 | Southwest Airlines Co. (LUV)                 | N/A         | \$1,001 - \$15,000 | Dividends               | None (or less than \$201) |
| 34 | Energy Select Sector SPDR Fund (XLE)         | N/A         | \$1,001 - \$15,000 | Dividends               | None (or less than \$201) |
| 35 | J P Morgan Chase&co                          | N/A         | \$1,001 - \$15,000 | Dividends               | None (or less than \$201) |
| 36 | SPDR S&P Biotech ETF (XBI)                   | N/A         | \$1,001 - \$15,000 | Dividends               | None (or less than \$201) |
| 37 | Vanguard Financials ETF                      | N/A         | \$1,001 - \$15,000 | Dividends               | None (or less than \$201) |
| 38 | The Kraft Heinz Co. (KHC)                    | N/A         | \$1,001 - \$15,000 | Dividends               | \$201 - \$1,000           |
| 39 | General Motors Co. (GM)                      | N/A         | \$1,001 - \$15,000 |                         | None (or less than \$201) |
| 40 | Jackson Advantage Variable and fixed Annuity | See Endnote | No                 | \$500,001 - \$1,000,000 | None (or less than \$201) |
| 41 | Allstate Corp (ALL)                          | N/A         | \$1,001 - \$15,000 | Dividends               | \$201 - \$1,000           |
| 42 | SPDR S&P Biotech ETF (XBI)                   | N/A         | \$1,001 - \$15,000 |                         | None (or less than \$201) |
| 43 | Allstate Corp Del Com                        | N/A         | \$1,001 - \$15,000 | Dividends               | \$201 - \$1,000           |
| 44 | CSX Corp. (CSX)                              | N/A         | \$1,001 - \$15,000 |                         | None (or less than \$201) |
| 45 | Costco Wholesale Corp. (COST)                | N/A         | \$1,001 - \$15,000 |                         | None (or less than \$201) |
| 46 | McDonald's Corp. (MCD)                       | N/A         | \$1,001 - \$15,000 | Dividends               | \$201 - \$1,000           |
| 47 | Johnson & Johnson (JNJ)                      | N/A         | \$1,001 - \$15,000 |                         | None (or less than \$201) |
| 48 | Duke Energy Corp. (DUK)                      | N/A         | \$1,001 - \$15,000 | Dividends               | \$201 - \$1,000           |

| #  | DESCRIPTION   | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|----|---|-----|----------------------|-------------|---------------------------|
| 49 | Honeywell International, Inc. (HON)                   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 50 | United Parcel Service, Inc. (UPS)                     | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 51 | Exxon Mobil Corp. (XOM)                               | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 52 | Kinder Morgan, Inc. (KMI)                             | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 53 | J P Morgan Chase & CO                                 | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 54 | Pfizer Inc. (PFE)                                     | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 55 | The Williams Cos., Inc. (WMB)                         | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 56 | Vanguard Financials Index Fund Admiral Shares (VFAIX) | Yes | \$1,001 - \$15,000   |             | \$201 - \$1,000           |
| 57 | JN/MC S&P500 index                                    | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 58 | JNL/American Wash Mutinv                              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 59 | JNL/American Growth INC                               | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 60 | JNL/Clearbridge Lg Cap GR                             | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 61 | JNL/American Growth                                   | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 62 | JNL/MC Sm cap Index                                   | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 63 | JNL/T Rowe PRICE Mid Cap                              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 64 | JNL/American Bal                                      | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |

| #  | DESCRIPTION   | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|----|---|-----|----------------------|-------------|---------------------------|
| 65 | JNL/American New World                                      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 66 | JNL/MC Bond Index   | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 67 | JNL/PPM AmericanFIRatenic                                   | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 68 | JNL/T Rowe PriceShTmBD                                      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 69 | Viatis inc  | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 70 | NVIDIA Corp. (NVDA)   | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 71 | International Paper Co. (IP)                                | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 72 | Amgen Inc. (AMGN)   | N/A | \$1,001 - \$15,000   | Dividends   | \$1,001 - \$2,500         |
| 73 | Vanguard Financials Index Fund ETF Shares (VFH)             | Yes | \$1,001 - \$15,000   |             | \$201 - \$1,000           |
| 74 | Vanguard Communication Services Index Fund ETF Shares (VOX) | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 75 | Adobe, Inc. (ADBE)  | N/A | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 76 | Cisco Systems, Inc. (CSCO)                                  | N/A | \$1,001 - \$15,000   | Dividends   | \$201 - \$1,000           |
| 77 | The Home Depot, Inc. (HD)                                   | N/A | \$15,001 - \$50,000  | Dividends   | \$201 - \$1,000           |
| 78 | General Electric Co. (GE)                                   | N/A | \$15,001 - \$50,000  |             | None (or less than \$201) |

## 7. Transactions

| #  | DESCRIPTION                         | TYPE     | DATE       | AMOUNT             |
|----|-------------------------------------|----------|------------|--------------------|
| 1  | Allstate Corp Del Com               | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 2  | Adobe INC                           | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 3  | Amazon.com, Inc. (AMZN)             | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 4  | Alphabet, Inc. (GOOGL)              | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 5  | AT&T INC                            | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 6  | Amgen Inc. (AMGN)                   | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 7  | CSX Corp. (CSX)                     | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 8  | Duke Energy Corp. (DUK)             | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 9  | Exxon Mobil Corp. (XOM)             | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 10 | General Motors Co. (GM)             | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 11 | Honeywell International, Inc. (HON) | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 12 | INTL Paper Co                       | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 13 | JP Morgan Chase &CO                 | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 14 | The Kraft Heinz Co. (KHC)           | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 15 | Kinder Morgan, Inc. (KMI)           | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 16 | Lockheed Martin Corp                | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 17 | Merck & Co., Inc. (MRK)             | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 18 | NVIDIA Corp. (NVDA)                 | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 19 | ORGANON and CO                      | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 20 | VIATRIS INC                         | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 21 | United Parcel Service, Inc. (UPS)   | Purchase | 12/09/2021 | \$1,001 - \$15,000 |
| 22 | Williams Companies Del (WMB)        | Purchase | 12/09/2021 | \$1,001 - \$15,000 |

| #  | DESCRIPTION                                 |             | TYPE     | DATE       | AMOUNT               |
|----|---|-------------|----------|------------|----------------------|
| 23 | Walmart, Inc. (WMT)                         |             | Sale     | 12/09/2021 | \$15,001 - \$50,000  |
| 24 | Comcast Corp. (CMCSA)                       |             | Purchase | 12/09/2021 | \$1,001 - \$15,000   |
| 25 | Costco Wholesale Corp. (COST)               |             | Purchase | 12/09/2021 | \$15,001 - \$50,000  |
| 26 | Cisco Systems, Inc. (CSCO)                  |             | Purchase | 12/09/2021 | \$1,001 - \$15,000   |
| 27 | The Walt Disney Co. (DIS)                   |             | Purchase | 12/20/2021 | \$50,001 - \$100,000 |
| 28 | Walmart, Inc. (WMT)                         | See Endnote | Sale     | 04/12/2022 | \$15,001 - \$50,000  |
| 29 | Southwest Airlines Co. (LUV)                |             | Sale     | 04/21/2022 | \$1,001 - \$15,000   |
| 30 | Texas Instruments Incorporated (TXN)        |             | Purchase | 04/21/2022 | \$1,001 - \$15,000   |
| 31 | CVS Health Corp. (CVS)                      |             | Purchase | 04/21/2022 | \$1,001 - \$15,000   |
| 32 | DuPont de Nemours, Inc. (DD)                |             | Purchase | 04/21/2022 | \$1,001 - \$15,000   |
| 33 | International Business Machines Corp. (IBM) |             | Purchase | 04/21/2022 | \$1,001 - \$15,000   |
| 34 | Reality INCM Crp MD PV\$1 REIT              |             | Purchase | 04/21/2022 | \$1,001 - \$15,000   |
| 35 | Warner Bros Discovery                       | See Endnote | Exchange | 04/13/2022 | \$1,001 - \$15,000   |
| 36 | Emerson Electric Co. (EMR)                  |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 37 | International Business Machines Corp. (IBM) |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 38 | Johnson & Johnson (JNJ)                     |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 39 | McDonald's Corp. (MCD)                      |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 40 | Texas Instruments Incorporated (TXN)        |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 41 | Verizon Communications, Inc. (VZ)           |             | Purchase | 05/20/2022 | \$1,001 - \$15,000   |
| 42 | GE  |             | Sale     | 12/21/2022 | \$1,001 - \$15,000   |

| #  | DESCRIPTION                            | TYPE     | DATE       | AMOUNT             |
|----|--|----------|------------|--------------------|
| 43 | General Motors Co. (GM)                | Sale     | 11/21/2022 | \$1,001 - \$15,000 |
| 44 | Ford Motor Co. (F)                     | Purchase | 12/21/2022 | \$1,001 - \$15,000 |
| 45 | Blackstone Mortgage Trust, Inc. (BXMT) | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 46 | Eaton Corp. Plc (ETN)                  | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 47 | Fifth Third Bancorp (FITB)             | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 48 | SUNCOR Energy INC NEW                  | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 49 | RIO TINTO PLC SPNSRD ADR               | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 50 | Janus Henderson Group Plc (JHG)        | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 51 | The Southern Co. (SO)                  | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 52 | Union Pacific Corp. (UNP)              | Purchase | 06/03/2022 | \$1,001 - \$15,000 |
| 53 | Wells Fargo & Co. (WFC)                | Purchase | 06/03/2022 | \$1,001 - \$15,000 |

## 8. Liabilities

None

---

## 9. Gifts and Travel Reimbursements

None

---

## Endnotes

| PART | #    | ENDNOTE   |
|------|------|---|
| 2.   | 3    | cola increase   |
| 2.   | 4    | Health care reimbursement investment                                    |
| 6.   | 4    | Increase in value due to sale of homestead.                             |
| 6.   | 5.11 | Formerly Davis Venture.   |
| 6.   | 6.13 | Formerly WMC Core Equity Opportunities Portfolio.                       |
| 6.   | 7.1  | Filer inadvertently left off earlier forms.                             |
| 6.   | 10   | Filer inadvertently left off prior forms.                               |
| 6.   | 40   | Replaced Met Life Annuity   |
| 7.   | 28   | Sold 40% of of shares owned.  |
| 7.   | 35   | Acquired as a dividend spin off from ATT did not purchase the position. |



# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---