

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hutchison III, Malcolm Clark

director, Commodity Futures Trading Commission

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hutchison III, Malcolm Clark [electronically signed on 06/24/2024 by Hutchison III, Malcolm Clark in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 06/25/2024

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Starbucks Corp. (SBUX)(brok 16)	Sale	05/30/2024	No	\$15,001 - \$50,000
2	CVS Health Corp. (CVS)(brok 16)	Sale	05/30/2024	No	\$15,001 - \$50,000
3	QUALCOMM, Inc. (QCOM)(brok 16)	Purchase	05/30/2024	No	\$50,001 - \$100,000
4	Skyworks Solutions, Inc. (SWKS)(brok 16)	Sale	05/30/2024	No	\$50,001 - \$100,000
5	Google alphabet (GOOGL) (brok 16)	Purchase	05/30/2024	No	\$50,001 - \$100,000
6	SS&C Technologies Holdings, Inc. (SSNC)(brok 7)	Purchase	05/30/2024	No	\$1,001 - \$15,000
7	Amdocs Limited Ordinary Shares (DOX)(brok 7)	Purchase	05/29/2024	No	\$1,001 - \$15,000
8	CVS Health Corp. (CVS)(brok 7)	Purchase	05/28/2024	No	\$15,001 - \$50,000
9	Target Corp. (TGT)(brok 7)	Purchase	05/22/2024	No	\$1,001 - \$15,000
10	LKQ Corp. (LKQ)(brok7)	Sale	05/21/2024	No	\$50,001 - \$100,000
11	Dell Technologies, Inc. (DELL)(brok 7)	Sale	05/16/2024	No	\$50,001 - \$100,000
12	CACI International, Inc. (CACI)(brok 7)	Sale	05/09/2024	No	\$15,001 - \$50,000
13	WisdomTree US LargeCap Dividend Fund (DLN)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
14	Invesco High Yield Equity Dividend Achievers ETF (PEY)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
15	Schwab US Dividend Equity ETF (SCHD)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
16	iShares Select Dividend ETF (DVY)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
17	Capital Group Growth ETF Share Class (CGGR)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	Capital Group Dividend Value ETF Share Class (CGDV)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
19	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
20	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)(ira6)	Purchase	05/28/2024	No	\$1,001 - \$15,000
21	CME Group, Inc. (CME)(brok 18)	Sale	05/21/2024	No	\$1,001 - \$15,000
22	JP Morgan (JPM) (brok 18)	Sale	05/21/2024	No	\$1,001 - \$15,000
23	Vanguard 500 Index Fund ETF Shares (VOO)(brok18)	Purchase	05/21/2024	No	\$1,001 - \$15,000
24	Intercontinental Exchange, Inc. (ICE)(ira6)	Sale	05/21/2024	No	\$1,001 - \$15,000
25	JP Morgan (JPM) (ira6)	Sale	05/21/2024	No	\$1,001 - \$15,000
26	Vanguard 500 Index Fund ETF Shares (VOO)(ira6)	Purchase	05/21/2024	No	\$1,001 - \$15,000
27	Microsoft Corp. (MSFT)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
28	NXP Semiconductors N.V. Common Stock (NXPI)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
29	Advanced Micro Devices, Inc. (AMD)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
30	DexCom, Inc. (DXCM)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
31	Alphabet, Inc. (GOOG)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
32	Meta Platforms Inc. Class A Common Stock (META)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
33	Fiserv, Inc. (FISV)(ira 6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
34	salesforce.com, inc. (CRM)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
35	Visa, Inc. (V)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
36	Trane Technologies plc (TT)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
37	Halliburton Co. (HAL)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
38	S&P Global, Inc. (SPGI)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
39	Amazon.com, Inc. (AMZN)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
40	The Home Depot, Inc. (HD)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
41	IQVIA Holdings, Inc. (IQV)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
42	JP Morgan (JPM) (ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
43	Diamondback Energy, Inc. (FANG)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
44	Intercontinental Exchange, Inc. (ICE)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
45	Allstate (ALL)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
46	Estee Lauder Cos. (EL)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
47	L3Harris Technologies, Inc. (LHX)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
48	STERIS Plc (Ireland) (STE)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
49	Edwards Lifesciences Corp. (EW)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
50	United health group (unh) (ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
51	The TJX Cos., Inc. (TJX)(ira6)	Purchase	05/20/2024	No	\$1,001 - \$15,000
52	NextEra Energy, Inc. (NEE)(Brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
53	Best Buy Co., Inc. (BBY)	Purchase	05/16/2024	No	\$1,001 - \$15,000
54	Walmart, Inc. (WMT)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
55	Marathon Petroleum Corp. (MPC)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
56	Microsoft Corp. (MSFT)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	The Home Depot, Inc. (HD)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
58	Darden Restaurants, Inc. (DRI)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
59	Verizon Communications, Inc. (VZ)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
60	Motorola Solutions, Inc. (MSI)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
61	Broadcom, Inc. (AVGO)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
62	Medtronic Plc (MDT)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
63	Comcast Corp. (CMCSA)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
64	eaton corporation (etn)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
65	Chubb Ltd. (CB)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
66	Union Pacific Corp. (UNP)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
67	Public Service Enterprise Group, Inc. (PEG)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
68	Johnson & Johnson (JNJ)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
69	Cisco Systems, Inc. (CSCO)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
70	CME Group, Inc. (CME)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
71	Devon Energy Corp. (DVN)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
72	JP MOrgan Chase(jpm)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
73	Johnson Controls International Plc (JCI)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
74	Merck & Co., Inc. (MRK)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
75	Apple, Inc. (AAPL)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000
76	Air Products and Chemicals, Inc. (APD)(brok 18)	Purchase	05/16/2024	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
77	Starbucks Corp. (SBUX)(brok 6)	Purchase	05/07/2024	No	\$50,001 - \$100,000
78	Broadcom, Inc. (AVGO)(brok 6)	Sale	05/07/2024	No	\$15,001 - \$50,000
79	NetApp, Inc. (NTAP)(brok 6)	Sale	05/07/2024	No	\$15,001 - \$50,000
80	Caterpillar, Inc. (CAT)(brok6)	Sale	05/07/2024	No	\$15,001 - \$50,000
81	Merck & Co., Inc. (MRK)(brok 6)	Sale	05/07/2024	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5. U.S.C § 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an

inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
