

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Grosso, Gina M

Assistant Secretary HRA/OSP, Department of Veterans Affairs

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Grosso, Gina M [electronically signed on 06/25/2023 by Grosso, Gina M in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gina Roth Contributory (4056)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.3	ISHS MSCI EMERG MRKT FCT ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	JPMorgan BetaBuilders International Equity ETF (BBIN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.5	SCHWAB US SMALL CAP ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.6	WISDOMTREE US MIDCAP DIVIDEND ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.7	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Gina Roll Over IRA (6205 Saratoga)	No	\$250,001 - \$500,000	Capital Gains Dividends	\$2,501 - \$5,000
2.1	3M CO	No	\$1,001 - \$15,000		None (or less than \$201)
2.2	ACCENTURE PLC F CLASS A	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
2.3	ALPHABET INC. CLASS A	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
2.4	APPLE INC	No	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	BECTON DICKINSON&CO	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.6	BERKSHIRE HATHAWAY CLASS B	No	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
2.7	BIOGEN INC	No	\$1,001 - \$15,000		None (or less than \$201)
2.8	C H ROBINSON WORLDWD	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
2.9	CISCO SYSTEMS INC	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2.10	COGNIZANT TECH SOLU CLASS A	No	\$1,001 - \$15,000		None (or less than \$201)
2.11	GENERAL DYNAMICS CO	No	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
2.12	HOME DEPOT INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
2.13	INTL FLAVORS& FRAGRA	No	\$1,001 - \$15,000		None (or less than \$201)
2.14	JOHNSON & JOHNSON	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.15	MEDTRONIC PLC F	No	\$1,001 - \$15,000		None (or less than \$201)
2.16	MICROSOFT CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
2.17	NIKE INC CLASS B	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.18	NOVO-NORDISK A S F SPONSORED ADR 1 ADR REPS 1 ORD SHS	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
2.19	ORACLE CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.20	PEPSICO INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.21	PROCTER & GAMBLE	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.22	RAYTHEON TECHNOLOGIES CO	No	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
2.23	RECKITT BENCKISER GROU F SPONSORED ADR 1 ADR REPS 0.2 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.24	ROCHE HLDG AG F SPONSORED ADR 1 ADR RE 0.125 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.25	STARBUCKS CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.26	UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS	No	\$1,001 - \$15,000		None (or less than \$201)
2.27	WALMART INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
2.28	WALT DISNEY CO	No	\$1,001 - \$15,000		None (or less than \$201)
2.29	Cash	No	\$15,001 - \$50,000		None (or less than \$201)
2.30	Adobe, Inc. (ADBE)	No	\$1,001 - \$15,000		None (or less than \$201)
2.31	Visa Inc Class A	No	\$1,001 - \$15,000		None (or less than \$201)
2.32	Schwab US Treasury Money Fund Investor Class Shares (SNSXX)	No	\$50,001 - \$100,000		
3	Gina Individual (7956 St James)	No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	AGNICO EAGLE MINES F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.2	BARRICK GOLD CORP F	No	\$1,001 - \$15,000		None (or less than \$201)
3.3	BERKSHIRE HATHAWAY CLASS B	No	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
3.4	CISCO SYSTEMS INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.5	COMCAST CORP CLASS A	No	\$1,001 - \$15,000		None (or less than \$201)
3.6	CORTEVA INC	No	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
3.7	DOMINION ENERGY INC	No	\$1,001 - \$15,000		None (or less than \$201)
3.8	DUPONT DE NEMOURS INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.9	ENBRIDGE INC F	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.10	EQUITY COMMONWEALTH	No	\$1,001 - \$15,000		None (or less than \$201)
3.11	INGREDION INC	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.12	JONES LANG LASALLE	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
3.13	KIRKLAND LAKE GOLD LIM F	See Endnote	None (or less than \$1,001)		None (or less than \$201)
3.14	LOEWS CORP	No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	MEDTRONIC PLC	F	No	\$1,001 - \$15,000		None (or less than \$201)
3.16	STARBUCKS CORP		No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
3.17	TJX COMPANIES INC		No	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
3.18	TRAVELERS COMPANIES		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.19	UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS		No	\$1,001 - \$15,000		None (or less than \$201)
3.20	EOG Resources Inc		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.21	Merck & Co., Inc. (MRK)		No	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
3.22	Verizon Communications, Inc. (VZ)		No	\$1,001 - \$15,000		None (or less than \$201)
3.23	Cash			\$1,001 - \$15,000		
4	Gina Belle Haven Municipal Bond Fund	See Endnote	No	\$250,001 - \$500,000	Dividends Interest	\$5,001 - \$15,000
4.1	AK HSG FIN CORP 1.85%31 SING HSG DUE 12/01/31 XTRO		No	\$1,001 - \$15,000		None (or less than \$201)
4.2	ANDERSON IN RDA 5%28 TAXI TAX DUE 02/01/28		No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.3	BELLWOOD IL 3%29 GO UTX DUE 12/01/29 OID		No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.4	BLACK BELT ENERGY G 4%51 OILG IDR DUE 06/01/51 XTRO		No	None (or less than \$1,001)	Interest	\$201 - \$1,000
4.5	BLAIR CNTY PA 4%29 GO UTX DUE 10/01/29 BUILD AMERICA MUTUAL		No	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.6	COLORADO HEALTH FAC 5%27 SYST HLTH DUE 11/15/27	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.7	COOK CNTY IL D84-1/ 5%26 GO LTX DUE 12/01/26	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.8	COOK CNTY IL SD #16 4%34 GO UTX DUE 12/01/34	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.9	CT ST HSG FIN AUT 3.2%25 MULT HSG DUE 06/15/25 XTRO	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.10	DETROIT MICHIGAN DO 5%33 TAXI TAX DUE 07/01/33 XTRO	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.11	FAIRFAX CNTY VA IDA 5%31 SYST HLTH DUE 05/15/31 XTRO	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.12	HAMMOND IN SANI DST 5%26 GO UTX DUE 01/15/26	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.13	HIGHLANDS AT MAYF 5.5%24 GO UTX DUE 09/01/24	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.14	KENTUCKY ASSET / LI 5%26 HWY TRAN DUE 09/01/26	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.15	LOUISIANA PUBLIC FA 5%28 FAC EDUC DUE 11/01/28	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.16	PA ST TPK COMMN TPK 5%32 TPK TRAN DUE 06/01/32	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.17	SANGAMON IL CUSD #1 5%32 GO UTX DUE 12/01/32	No	\$1,001 - \$15,000	Interest	None (or less than \$201)
4.18	ST LOUIS MO MFC 5%30 LEAS BLDG DUE 06/01/30 XTRO	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.19	TRIVIEW COLORADO ME 5%27 COMB UTIL DUE 12/01/27	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.20	UNION CITY NJ 2.25%32 GO UTX DUE 08/01/32	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.21	UNIVERSITY PK REC 2.5%25 SPAS DUE 05/01/25 XTRO	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.22	VT HSG FIN AGY 2.25%35 REV UTX DUE 11/01/35 XTRO	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.23	WAUKEGAN IL 4%34 GO UTX DUE 12/30/34	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.24	Cinco SW TX Mun #1 2%30	No	\$1,001 - \$15,000		None (or less than \$201)
4.25	IL ST SLS TAX 4%/33 EXCISE TAX DUE 6/15/33	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.26	SUFFOLK VA 3%/30 GO UTX DUE 02/01/30 OID	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.27	ALABAMA ST UNIV REV 5%/34 PUB EDUC DUE 09/01/34	No	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.28	CENT TX REG MOBILIT 5%/35 TPK TRAN DUE 01/01/35	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.29	Cash		\$1,001 - \$15,000		
4.30	Virginia St Res Aut 3%33	See Endnote	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5	Gina Individual 9780 (Triad Hybrid)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
5.1	First Trust Capital Strength ETF IV	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
5.2	First Trust Value Dividend in ETF IV	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.3	ISHS MSCI Emerg MRKT FCT ETF IV	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	Schwab US Small Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	Vanguard Intermediate Term BO ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.6	Wisdom Tree US MIDCAP Dividend ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.7	T. Rowe Price Spectrum Moderate ALLC	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Cash		\$1,001 - \$15,000		
5.9	FLEX SHARES REAL ASTS ALCTN INDX ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	JPMORGAN BETABUILD INTERL EQY ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Gina Individual 8872	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
6.3	SCHWAB US SMALL CAP ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.4	DOUBLELINE TOTAL RETURN BOND I	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.5	EATON VANCE ATLANTA CAPITAL SMID-CAP I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.6	JPMORGAN US LARGE CAP CORE PLUS I	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.7	VANGUARD INTERM-TERM INVESTMENT-GRDE ADM	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	WCM FOCUSED EMERGING MAR KETS INSTL		Yes	\$1,001 - \$15,000		None (or less than \$201)
6.9	Cash & Cash Investments		No	\$1,001 - \$15,000		None (or less than \$201)
6.10	JP MORGAN BETABUILD INTERL EQY ETF		Yes	\$50,001 - \$100,000		None (or less than \$201)
6.11	JP MORGAN HEDGED EQUITY I		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.12	T Rowe Price Real Assets Fund, Inc Class I Shares (PRIKX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
6.13	Thornburg Strategic Municipal Income Fund Class I Shares (TSSIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Golden Key Group (401K) flexPATH Index+ Moderate 2025 Fund Class		Yes	\$50,001 - \$100,000		None (or less than \$201)
8	Allianz Alterity	See Endnote	No	\$1,001 - \$15,000	Allianz Alterity is a deferred variable annuity that I inherited from my Mother's estate.	\$1,409
9	Transamerica Variable Annuity Series L	See Endnote	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	IL ST SLS TAX 4%/33 EXCISE TAX DUE 06/15/33		Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Golden Key Group		Reston, Virginia	I no longer have a relationship with my former employer. However, I still have money in the 401K account that I contributed to and the company matched up to a certain amount. I plan to transfer the money from this account to my government TSP account but have not had the chance to do this yet. I do not have a working relationship with my former employer.	7/2019
2	United States Air Force		Washington DC, Virginia	I will continue to participate in this defined benefit plan.	11/2018
3	BetterUp	See Endnote	San Francisco, California	Independent Consultant	6/2019
4	Inca Digital Advisor	See Endnote	Miami, Florida	Independent Contractor	5/2020
5	Eduworks Corporation	See Endnote	Corvallis, Oregon	I participate in corporate strategy meetings 2 - 3 times a year as an advisor. There is no compensation for these meetings. I also have done some consulting work for the company on contracts they have been awarded. This work is compensated but I have not done any consulting work in the last 18-months.	4/2019
6	Clearforce Inc	See Endnote	Vienna, Virginia	I serve as a member of the advisory board.	7/2019
7	Buildings Anywhere	See Endnote	Palm Beach Gardens, Florida	Advisor	1/2020
8	Orchestra Macrosystems	See Endnote	Austin, Texas	Consultant	1/2020
9	Rand Corporation	See Endnote	Santa Monica, California	Review RAND document DRR-1905-1 within the allotted time in accordance with RAND's review guidance.	2/2022

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brian Roth Contributory IRA	No			
1.1	Brian 8477	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
1.1.1	ADOBE INC	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
1.1.2	Google ALPHABET INC. CLASS A	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.3	AMAZON.COM INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.4	AMERN TOWER CORP REIT	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.5	ATLASSIAN CORP PLC F CLASS A	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.6	AUTODESK INC	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.7	BANK OF AMERICA CORP	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.8	BERKSHIRE HATHAWAY CLASS B	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.9	CHURCHILL DOWNS INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.10	COSTAR GROUP INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.11	COSTCO WHOLESALE CO	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
1.1.12	CYBERARK SOFTWARE LTD F	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.13	DOLLAR GENERAL CORP	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.14	EOG RESOURCES INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.15	GLOBAL PAYMENTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.16	HEICO CORP	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.17	ILLUMINA INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.18	INTUITIVE SURGICAL	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.19	JPMORGAN CHASE & CO	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.20	KEYSIGHT TECH INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.21	KINDER MORGAN INC	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.22	MASTERCARD INC CLASS A	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000
1.1.23	NASDAQ INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.24	NEXTERA ENERGY INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.25	NIKE INC CLASS B	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.26	NOVANTA INC F	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.27	O REILLY AUTOMOTIVE	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.28	PAYCOM SOFTWARE INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.29	PROGRESSIVE CO OHIO	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.30	ROPER TECHNOLOGIES	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.1.31	S&P GLOBAL INC	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.32	SALESFORCE COM	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.33	SERVICE NOW INC	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
1.1.34	STRYKER CORP	N/A	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.35	UNION PACIFIC CORP	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.36	UNITEDHEALTH GRP INC	Yes	\$1,001 - \$15,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.37	VISA INC CLASS A	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
1.1.38	WALT DISNEY CO	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.39	Cash		\$1,001 - \$15,000		
2	Brian's Individual Account 7806	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.1	FIRST TRUST CAPITAL STRENGTH ETF IV	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	FIRST TRUST VALUE DIVIDEND IN ETF IV	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.3	ISHS MSCI EMERG MRKT FCT ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	SCHWAB US SMALL CAP ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	VANGUARD INTERMEDIATE TERM BO ETF IV	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	WISDOMTREE US MIDCAP DIVIDEND ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.7	Cash		\$1,001 - \$15,000		
2.8	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	JPMorgan BetaBuilders International Equity ETF (BBIN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	Brian Reg IRA 1936	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.1	First Trust Capital	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.2	First Trust Value	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.3	ISHS MSCI Emerg Mrtk	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	Schwab Small Cap ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	Wisdom Tree US Midcap Dividend ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.6	FlexShares Real Assets Allocation Index Fund (ASET)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	JPMorgan BetaBuilders International Equity ETF (BBIN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Cash		\$1,001 - \$15,000		

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gina U.S. Bank #1 (primary)	No	\$50,001 - \$100,000		None (or less than \$201)
2	Gina U.S. Bank #2 Savings	No	\$15,001 - \$50,000	Dividends Interest	\$201 - \$1,000
3	Condo Rental Yorktown VA	No	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
4	Condo Rental in Arlington VA	No	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	T Rowe Price Spectrum Moderate Allocation Fund (TRPBX)	Sale	11/10/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
2	T Rowe Price Real Assets Fund, Inc (PRAFX)		Sale	11/10/2022	\$15,001 - \$50,000
3	Novo-Nordisk A S ADR	See Endnote	Sale	01/03/2023	\$1,001 - \$15,000
4	Schwab US Treasury Money Fund Investor Class Shares (SNSXX)	See Endnote	Purchase	01/04/2023	\$1,001 - \$15,000
5	Oracle Corp. (ORCL)		Sale	01/20/2023	\$1,001 - \$15,000
6	Marion Or Sd #29J GO 0% 06/15/2027		Purchase	01/10/2023	\$1,001 - \$15,000
7	Accenture Plc A		Purchase	02/09/2023	\$1,001 - \$15,000
8	Adobe Inc		Purchase	02/09/2023	\$1,001 - \$15,000
9	Alphabet, Inc. (GOOGL)		Purchase	02/09/2023	\$1,001 - \$15,000
10	Apple Inc		Purchase	02/09/2023	\$1,001 - \$15,000
11	Berkshire Hathaway Inc. (BRKB)		Purchase	02/09/2023	\$1,001 - \$15,000
12	CH Robinson Worldwide Inc (CHRW)		Purchase	02/09/2023	\$1,001 - \$15,000
13	Cisco Systems, Inc. (CSCO)		Sale	02/09/2023	\$1,001 - \$15,000
14	Colgate-Palmolive Co. (CL)		Purchase	02/09/2023	\$1,001 - \$15,000
15	General Dynamics Corp. (GD)		Purchase	02/09/2023	\$1,001 - \$15,000
16	The Home Depot, Inc. (HD)		Purchase	02/18/2023	\$1,001 - \$15,000
17	International Flavors & Fragrances, Inc. (IFF)		Sale	02/09/2023	\$1,001 - \$15,000
18	Johnson & Johnson		Purchase	02/09/2023	\$1,001 - \$15,000
19	Medtronic Plc (MDT)		Purchase	02/09/2023	\$1,001 - \$15,000
20	Microsoft Corp		Purchase	02/09/2023	\$1,001 - \$15,000
21	Oracle Corp. (ORCL)		Purchase	02/09/2023	\$1,001 - \$15,000
22	PepsiCo, Inc. (PEP)		Sale	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Procter & Gamble	Sale	02/09/2023	\$1,001 - \$15,000
24	Raytheon Technologie	Purchase	02/09/2023	\$1,001 - \$15,000
25	Roche Hldg Ag ADR (RHHBY)	Purchase	02/09/2023	\$1,001 - \$15,000
26	Reckitt Benckiser Gr ADR (RBGLY)	Sale	02/09/2023	\$1,001 - \$15,000
27	Starbucks Corp. (SBUX)	Purchase	02/09/2023	\$1,001 - \$15,000
28	Unilever Plc ADR	Purchase	02/09/2023	\$1,001 - \$15,000
29	Visa Inc A	Purchase	02/09/2023	\$1,001 - \$15,000
30	The Walt Disney Co. (DIS)	Purchase	02/09/2023	\$1,001 - \$15,000
31	Walmart, Inc. (WMT)	Purchase	02/09/2023	\$1,001 - \$15,000
32	3M Co. (MMM)	Sale	02/09/2023	\$1,001 - \$15,000
33	Schwab US Treasury Money Fund Investor Class Shares (SNSXX)	Sale	02/09/2023	\$50,001 - \$100,000
34	Barrick Gold Corporation Common Stock (BC) (GOLD)	Sale	02/24/2023	\$1,001 - \$15,000
35	Dominion Energy, Inc. (D)	Sale	02/24/2023	\$1,001 - \$15,000
36	Goldman Sachs ACCS TRS 0 1 YR ETF IV	Purchase	03/02/2023	\$1,001 - \$15,000
37	Microsoft Corp. (MSFT)	Purchase	02/09/2023	\$1,001 - \$15,000
38	Booz Allen Hamilton Class A	Purchase	02/09/2023	\$1,001 - \$15,000
39	McKesson Corp. (MCK)	Purchase	02/09/2023	\$1,001 - \$15,000
40	Canadian Pacific Railway Limited Common Stock (CP)	Purchase	02/09/2023	\$1,001 - \$15,000
41	Thermo Fisher Scientific, Inc. (TMO)	Purchase	02/09/2023	\$1,001 - \$15,000
42	MSCI, Inc. (MSCI)	Purchase	02/09/2023	\$1,001 - \$15,000
43	Old Dominion Freight Line, Inc. (ODFL)	Purchase	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	ICICI Bank Limited Common Stock (IBN)	Purchase	02/09/2023	\$1,001 - \$15,000
45	Visa, Inc. Class A	Purchase	02/09/2023	\$1,001 - \$15,000
46	LVMUY LVMH Moet Hennessy Lou FSponsored ADR 1 ADR REPS 0.2 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
47	Corteva, Inc. (CTVA)	Purchase	02/09/2023	\$1,001 - \$15,000
48	Linde Plc (LIN)	Purchase	02/09/2023	\$1,001 - \$15,000
49	Bill.com Holdings Inc. Common Stock (BILL)	Purchase	02/09/2023	\$1,001 - \$15,000
50	Evolution AB FSponsored ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
51	LPL Financial Holdings, Inc. (LPLA)	Purchase	02/09/2023	\$1,001 - \$15,000
52	Snowflake Inc. Class A Common Stock (SNOW)	Purchase	02/09/2023	\$1,001 - \$15,000
53	Arthur J. Gallagher & Co. (AJG)	Purchase	02/09/2023	\$1,001 - \$15,000
54	Entegris, Inc. (ENTG)	Purchase	02/09/2023	\$1,001 - \$15,000
55	HEICO Corporation (HEIA)	Purchase	02/09/2023	\$1,001 - \$15,000
56	Floor & Decor Holdings ICLASS A	Purchase	02/09/2023	\$1,001 - \$15,000
57	Waste Connections Inc. Common Shares (WCN)	Purchase	02/09/2023	\$1,001 - \$15,000
58	Adyen N V Fun-sponsored ADR 1 ADR REP 0.01 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
59	Atlas Copco AB FSponsored ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
60	Pernod Ricard S A FSponsored ADR 1 ADR REPS 0.2 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
61	Ferrari N.V. Common Shares (RACE)	Purchase	02/09/2023	\$1,001 - \$15,000
62	Repligen Corp. (RGEN)	Purchase	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
63	Novo Nordisk A S FSponsored ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
64	Techtronic INDS LTD FSponsored ADR 1 ADR REPS 5 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
65	Amphenol Corporation (APH)	Purchase	02/09/2023	\$1,001 - \$15,000
66	Stryker Corp. (SYK)	Purchase	02/09/2023	\$1,001 - \$15,000
67	ASML Holding N V FSponsored ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
68	Mettler-Toledo International, Inc. (MTD)	Purchase	02/09/2023	\$1,001 - \$15,000
69	Datadog Inc. Class A Common Stock (DDOG)	Purchase	02/09/2023	\$1,001 - \$15,000
70	Lam Research Corp. (LRCX)	Purchase	02/09/2023	\$1,001 - \$15,000
71	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	02/24/2023	\$1,001 - \$15,000
72	ICICI Bank Limited Common Stock (IBN)	Purchase	02/24/2023	\$1,001 - \$15,000
73	Repligen Corp. (RGEN)	Sale	02/24/2023	\$1,001 - \$15,000
74	Union Pacific Corp. (UNP)	Sale	02/21/2023	\$1,001 - \$15,000
75	Mettler-Toledo International, Inc. (MTD)	Sale	02/21/2023	\$1,001 - \$15,000
76	Amphenol Corporation (APH)	Sale	02/13/2023	\$1,001 - \$15,000
77	Visa, Inc. (V)	Sale	02/10/2023	\$1,001 - \$15,000
78	NextEra Energy, Inc. (NEE)	Sale	02/09/2023	\$1,001 - \$15,000
79	Autodesk, Inc. (ADSK)	Sale	02/09/2023	\$1,001 - \$15,000
80	Paycom Software, Inc. (PAYC)	Sale	02/09/2023	\$1,001 - \$15,000
81	Novanta, Inc. (NOVT)	Sale	02/09/2023	\$1,001 - \$15,000
82	Mastercard, Inc. (MA)	Sale	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
83	Kinder Morgan, Inc. (KMI)	Sale	02/09/2023	\$1,001 - \$15,000
84	The Walt Disney Co. (DIS)	Sale	02/09/2023	\$1,001 - \$15,000
85	Adobe Inc	Sale	02/09/2023	\$1,001 - \$15,000
86	Bank America Corp	Sale	02/09/2023	\$1,001 - \$15,000
87	Amazon.com, Inc. (AMZN)	Sale	02/09/2023	\$1,001 - \$15,000
88	Roper Technologies, Inc. (ROP)	Sale	02/09/2023	\$1,001 - \$15,000
89	Dollar General Corp. (DG)	Sale	02/09/2023	\$1,001 - \$15,000
90	JPMorgan Chase & Co. (JPM)	Sale	02/09/2023	\$1,001 - \$15,000
91	Costco Wholesale Corp. (COST)	Sale	02/09/2023	\$1,001 - \$15,000
92	Amern Tower Corp Reit (AMT)	Sale	02/09/2023	\$1,001 - \$15,000
93	Illumina, Inc. (ILMN)	Sale	02/09/2023	\$1,001 - \$15,000
94	S&P Global, Inc. (SPGI)	Sale	02/09/2023	\$1,001 - \$15,000
95	Nasdaq, Inc. (NDAQ)	Sale	02/09/2023	\$1,001 - \$15,000
96	Alphabet, Inc. (GOOGL)	Sale	02/09/2023	\$1,001 - \$15,000
97	O'Reilly Automotive, Inc. (ORLY)	Sale	02/09/2023	\$1,001 - \$15,000
98	EOG Resources, Inc. (EOG)	Sale	02/09/2023	\$1,001 - \$15,000
99	Intuitive Surgical, Inc. (ISRG)	Sale	02/09/2023	\$1,001 - \$15,000
100	NIKE, Inc. (NKE)	Sale	02/09/2023	\$1,001 - \$15,000
101	Berkshire Hathaway Inc. (BRKB)	Sale	02/09/2023	\$1,001 - \$15,000
102	CoStar Group, Inc. (CSGP)	Sale	02/09/2023	\$1,001 - \$15,000
103	CyberArk Software Ltd. Ordinary Shares (CYBR)	Sale	02/09/2023	\$1,001 - \$15,000
104	Service Now Inc (NOW)	Sale	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
105	Progressive Corp. (PGR)	Sale	02/09/2023	\$1,001 - \$15,000
106	Churchill Downs, Inc. (CHDN)	Sale	02/09/2023	\$1,001 - \$15,000
107	Union Pacific Corp. (UNP)	Sale	02/09/2023	\$1,001 - \$15,000
108	salesforce.com, inc. (CRM)	Sale	02/09/2023	\$1,001 - \$15,000
109	HEICO Corp. (HEI)	Sale	02/09/2023	\$1,001 - \$15,000
110	Global Payments, Inc. (GPN)	Sale	02/09/2023	\$1,001 - \$15,000
111	Keysight Technologies, Inc. (KEYS)	Sale	02/09/2023	\$1,001 - \$15,000
112	Dominion Energy, Inc. (D)	Sale	03/08/2023	\$1,001 - \$15,000
113	Starbucks Corp. (SBUX)	Sale	03/09/2023	\$1,001 - \$15,000
114	Medtronic Plc (MDT)	Purchase	03/31/2023	\$1,001 - \$15,000
115	Microsoft Corp. (MSFT)	Sale	04/11/2023	\$1,001 - \$15,000
116	Apple, Inc. (AAPL)	Sale	05/05/2023	\$1,001 - \$15,000
117	The Walt Disney Co. (DIS)	Purchase	05/05/2023	\$1,001 - \$15,000
118	Oracle Corp. (ORCL)	Sale	05/05/2023	\$1,001 - \$15,000
119	Raytheon Technologies Corporation Common Stock (RTX)	Purchase	05/05/2023	\$1,001 - \$15,000
120	Accenture Plc (ACN)	Purchase	05/05/2023	\$1,001 - \$15,000
121	ADYEN N V FUNSPONSORED ADR 1 ADR REP .01 ORD SHS	Purchase	03/16/2023	\$1,001 - \$15,000
122	ICICI Bank Limited Common Stock (IBN)	Purchase	02/24/2023	\$1,001 - \$15,000
123	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	02/24/2023	\$1,001 - \$15,000
124	Lam Research Corp. (LRCX)	Purchase	02/09/2023	\$1,001 - \$15,000
125	Datadog Inc. Class A Common Stock (DDOG)	Purchase	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
126	Mettler-Toledo International, Inc. (MTD)	Purchase	02/09/2023	\$1,001 - \$15,000
127	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	02/09/2023	\$1,001 - \$15,000
128	Stryker Corp. (SYK)	Purchase	02/09/2023	\$1,001 - \$15,000
129	Amphenol Corporation (APH)	Purchase	02/09/2023	\$1,001 - \$15,000
130	TECHTRONIC INDS LTD FSPONSORED ADR 1 ADR REPS 5 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
131	Novo Nordisk A/S Common Stock (NVO)	Purchase	02/09/2023	\$1,001 - \$15,000
132	Repligen Corp. (RGEN)	Purchase	02/09/2023	\$1,001 - \$15,000
133	Ferrari N.V. Common Shares (RACE)	Purchase	02/09/2023	\$1,001 - \$15,000
134	PERNOD RICARD S A FSPONSORED ADR 1 ADR REPS 0.2 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
135	ATLAS COPCO AB FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
136	ADYEN N V FUNSPONSORED ADR 1 ADR REP .01 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
137	Waste Connections Inc. Common Shares (WCN)	Purchase	02/09/2023	\$1,001 - \$15,000
138	Floor & Decor Holdings, Inc. (FND)	Purchase	02/09/2023	\$1,001 - \$15,000
139	HEICO Corporation (HEIA)	Purchase	02/09/2023	\$1,001 - \$15,000
140	Entegris, Inc. (ENTG)	Purchase	02/09/2023	\$1,001 - \$15,000
141	Arthur J. Gallagher & Co. (AJG)	Purchase	02/09/2023	\$1,001 - \$15,000
142	Snowflake Inc. Class A Common Stock (SNOW)	Purchase	02/09/2023	\$1,001 - \$15,000
143	LPL Financial Holdings, Inc. (LPLA)	Purchase	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
144	EVOLUTION AF FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
145	Bill.com Holdings Inc. Common Stock (BILL)	Purchase	02/09/2023	\$1,001 - \$15,000
146	LINDE PLC F	Purchase	02/09/2023	\$1,001 - \$15,000
147	LVMH MOET HENNESSY LOU FSPONSORED ADR 1 ADR REPS 0.2 ORD SHS	Purchase	02/09/2023	\$1,001 - \$15,000
148	Visa, Inc. (V)	Purchase	02/09/2023	\$1,001 - \$15,000
149	ICICI Bank Limited Common Stock (IBN)	Purchase	02/09/2023	\$1,001 - \$15,000
150	Old Dominion Freight Line, Inc. (ODFL)	Purchase	02/09/2023	\$1,001 - \$15,000
151	MSCI, Inc. (MSCI)	Purchase	02/09/2023	\$1,001 - \$15,000
152	Thermo Fisher Scientific, Inc. (TMO)	Purchase	02/09/2023	\$1,001 - \$15,000
153	Canadian Pacific Railway Limited Common Stock (CP)	Purchase	02/09/2023	\$1,001 - \$15,000
154	McKesson Corp. (MCK)	Purchase	02/09/2023	\$1,001 - \$15,000
155	Booz Allen Hamilton Holding Corp. (BAH)	Purchase	02/09/2023	\$1,001 - \$15,000
156	Microsoft Corp. (MSFT)	Purchase	02/09/2023	\$1,001 - \$15,000
157	Corteva, Inc. (CTVA)	Purchase	02/09/2023	\$1,001 - \$15,000
158	Repligen Corp. (RGEN)	Sale	02/24/2023	\$1,001 - \$15,000
159	Union Pacific Corp. (UNP)	Sale	02/21/2023	\$1,001 - \$15,000
160	Mettler-Toledo International, Inc. (MTD)	Sale	02/21/2023	\$1,001 - \$15,000
161	Amphenol Corporation (APH)	Sale	02/13/2023	\$1,001 - \$15,000
162	Visa, Inc. (V)	Sale	02/10/2023	\$1,001 - \$15,000
163	NextEra Energy, Inc. (NEE)	Sale	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
164	Autodesk, Inc. (ADSK)	Sale	02/09/2023	\$1,001 - \$15,000
165	Paycom Software, Inc. (PAYC)	Sale	02/09/2023	\$1,001 - \$15,000
166	Novanta, Inc. (NOVT)	Sale	02/09/2023	\$1,001 - \$15,000
167	Mastercard, Inc. (MA)	Sale	02/09/2023	\$1,001 - \$15,000
168	Kinder Morgan, Inc. (KMI)	Sale	02/09/2023	\$1,001 - \$15,000
169	The Walt Disney Co. (DIS)	Sale	02/09/2023	\$1,001 - \$15,000
170	Adobe, Inc. (ADBE)	Sale	02/09/2023	\$1,001 - \$15,000
171	Bank of America Corp. (BAC)	Sale	02/09/2023	\$1,001 - \$15,000
172	Amazon.com, Inc. (AMZN)	Sale	02/09/2023	\$1,001 - \$15,000
173	Roper Technologies, Inc. (ROP)	Sale	02/09/2023	\$1,001 - \$15,000
174	Dollar General Corp. (DG)	Sale	02/09/2023	\$1,001 - \$15,000
175	JPMorgan Chase & Co. (JPM)	Sale	02/09/2023	\$1,001 - \$15,000
176	Costco Wholesale Corp. (COST)	Sale	02/09/2023	\$1,001 - \$15,000
177	AMERN TOWER CORP REIT	Sale	02/09/2023	\$1,001 - \$15,000
178	Illumina, Inc. (ILMN)	Sale	02/09/2023	\$1,001 - \$15,000
179	S&P Global, Inc. (SPGI)	Sale	02/09/2023	\$1,001 - \$15,000
180	Nasdaq, Inc. (NDAQ)	Sale	02/09/2023	\$1,001 - \$15,000
181	Alphabet, Inc. (GOOG)	Sale	02/09/2023	\$1,001 - \$15,000
182	O'Reilly Automotive, Inc. (ORLY)	Sale	02/09/2023	\$1,001 - \$15,000
183	EOG Resources, Inc. (EOG)	Sale	02/09/2023	\$1,001 - \$15,000
184	Intuitive Surgical, Inc. (ISRG)	Sale	02/09/2023	\$1,001 - \$15,000
185	NIKE, Inc. (NKE)	Sale	02/09/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
186	Berkshire Hathaway Inc. (BRKB)	Sale	02/09/2023	\$1,001 - \$15,000
187	CoStar Group, Inc. (CSGP)	Sale	02/09/2023	\$1,001 - \$15,000
188	CyberArk Software Ltd. Ordinary Shares (CYBR)	Sale	02/09/2023	\$1,001 - \$15,000
189	SERVICE NOW INC	Sale	02/09/2023	\$1,001 - \$15,000
190	PROGRESSIVE CO OHIO	Sale	02/09/2023	\$1,001 - \$15,000
191	Churchill Downs, Inc. (CHDN)	Sale	02/09/2023	\$1,001 - \$15,000
192	Union Pacific Corp. (UNP)	Sale	02/09/2023	\$1,001 - \$15,000
193	salesforce.com, inc. (CRM)	Sale	02/09/2023	\$1,001 - \$15,000
194	HEICO Corp. (HEI)	Sale	02/09/2023	\$1,001 - \$15,000
195	Global Payments, Inc. (GPN)	Sale	02/09/2023	\$1,001 - \$15,000
196	Keysight Technologies, Inc. (KEYS)	Sale	02/09/2023	\$1,001 - \$15,000
197	The Walt Disney Co. (DIS)	Purchase	05/24/2023	\$1,001 - \$15,000
198	Amphenol Corporation (APH)	Sale	06/01/2023	\$1,001 - \$15,000
199	ISHS MSCI EMERG MRKT MIN VOL FCT ETF EEMV	Sale	05/31/2023	\$1,001 - \$15,000
200	FT Cboe Vest U.S. Equity Deep Buffer ETF - May FT Cboe Vest U.S. Equity Deep Buffer ETF - May (DMAY)	Purchase	05/31/2023	\$1,001 - \$15,000
201	Ferrari N.V. Common Shares (RACE)	Sale	06/15/2023	\$1,001 - \$15,000
202	Entegris, Inc. (ENTG)	Purchase	06/01/2023	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Mr. Cooper	See Endnote	Mortgage (investment/rental property)	\$250,001 - \$500,000	2013	4.25	30-years
2	Lakeview		Mortgage (investment/rental property)	\$50,001 - \$100,000	2019	5.375	30 years
3	Pentagon Federal Credit Union	See Endnote	Exercised Line of Credit		2019	3.75	N/A

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3.13	Kirkland Lake Gold Mine Limited Merged with Agnico Eagle Gold Mines 9 Feb 2022. Agnico is the sole business now and is already reported under this heading.
2.	4	This is a managed fund by Belle Haven Investments. The assets in this fund are all tax-free municipal bonds.
2.	4.30	Purchased 5/24/2022
2.	8	The income from this annuity is the minimum amount that must be paid per year.
2.	9	I have had this annuity for many years and have not taken any funds from it nor added any funds since its original purchase.

PART	#	ENDNOTE
3.	3	<p>My compensation for this consulting is non-qualified stock option to purchase an additional 27,000 shares of the company's common stock at the then-current fair market value (the "Option Grant"). The Option grant shall vest and become exercisable over a two-year period, beginning on the date hereof (9 Jun 2019), vesting on the 6-month anniversary of the date hereof and the remainder vesting in equal monthly installments for the following 18-months and will be subject to the terms and conditions of the Company's 2015 Equity Incentive Plan.</p> <p>Given my new position I am no longer consulting for this company. This relationship ended on 1 August 2021.</p>
3.	4	<p>Option pursuant to its Equity Incentive Plan to purchase 50,000 shares of common stock in Company (shares) of common stock at a per share exercise price equal to the Fair Market Value at a per share exercise price equal to the Fair Market Value of a share of the Company's common stock.</p> <p>I have not done any consulting since I started at the VA but have not formally ended the relationship as I have not had time to connect with them to complete the action. I consulted on an as needed basis and will no longer do that.</p>
3.	5	<p>I have not received any consulting income since 2019. I no longer serve as an advisor to this company. This relationship ended shortly after I started working for the VA.</p>
3.	6	<p>In this role I am granted the option to purchase 20,000 shares at \$.08 exercise price. I have not purchased any options as of the date of this document. I no longer perform advisory services for this company. I ended this relationship just before I started working at the VA.</p>
3.	7	<p>I have two kinds of shares for this work: CS - 6 with 30,000 shares at par value of .0001 and CS - 21 with 210,000 shares at a par value of .0001. I no longer provide advisory services for this position. This service ended when I began working at the VA.</p>
3.	8	<p>Per the consultant contract I am entitled to 0.250% of the Company common stock that is outstanding after the Initial Compensation. I shared my new role with the CEO and am waiting his feedback. At this time I have not been issued any common stock from the company since I joined and will update my financial disclosure form if I do receive any stock. I have not provided any consulting services to this company since I started with the VA and will not provide any consulting services in the future.</p>
3.	9	<p>The RAND Corporation asked me to review a draft report entitled The Military Demographic Equity Machine: A Tool for Helping Decision-makers Address Disparities in Career Success on Feb 9th, 2022. I had 3-weeks to complete my review of the report and provide a review in accordance with their guidance. I ran this request through the OGC ethics team and got approval to move forward. I sent my assessment to RAND on 23 February and received \$500 compensation on 10 March 2022.</p>
7.	3	Account ending in 205
7.	4	Account ending in 205

PART	#	ENDNOTE
8.	1	I am in the process of refinancing this property but have not closed on the new loan yet.
8.	3	This is a home equity line of credit that was used to purchase property in North Carolina. We have twice the equity in the property than in the HELOC. We sold this property in July 2022 and paid off the HELOC 30 Aug 2022.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
