Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ Cisneros, Gilbert R [electronically signed on 06/14/2023 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Raab, Dean, Certifying Official [electronically signed on 07/07/2023 by Raab, Dean in Integrity.gov]

Other review conducted by

/s/ Raab, Dean, Ethics Official [electronically signed on 07/07/2023 by Raab, Dean in Integrity.gov] /s/ Raab, Dean, Screener [electronically signed on 07/07/2023 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 08/04/2023 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Eastern Municipal Water District Financing Authority CA Revenue Bonds	Purchase	05/05/2023	No	\$250,001 - \$500,000
2	Los Angeles County CA Metropolitan Transportation Authority Sales Tax Revenue Bonds	Purchase	05/17/2023	No	\$250,001 - \$500,000
3	Texas Pacific Land Corporation Common Stock (TPL)	Purchase	05/16/2023	No	\$50,001 - \$100,000
4	ABBVIE INC	Sale	05/01/2023	No	\$1,001 - \$15,000
5	ACTIVISION BLIZZARD INC	Sale	05/01/2023	No	\$1,001 - \$15,000
6	AT&T INC	Sale	05/01/2023	No	\$1,001 - \$15,000
7	BOSTON PPTYS INC	Sale	05/01/2023	No	\$1,001 - \$15,000
8	CATALENT INC	Sale	05/01/2023	No	\$1,001 - \$15,000
9	DANAHER CORP	Sale	05/01/2023	No	\$1,001 - \$15,000
10	EXTRA SPACE STORAGE INC	Sale	05/01/2023	No	\$1,001 - \$15,000
11	ILLUMINA INC	Sale	05/01/2023	No	\$1,001 - \$15,000
12	INSULET CORP	Sale	05/01/2023	No	\$1,001 - \$15,000
13	QUEST DIAGNOSTICS INC	Sale	05/01/2023	No	\$1,001 - \$15,000
14	TESLA INC	Sale	05/01/2023	No	\$1,001 - \$15,000
15	VIATRIS INC	Sale	05/01/2023	No	\$1,001 - \$15,000
16	ALPHABET INC	Purchase	05/01/2023	No	\$1,001 - \$15,000
17	AMGEN INC	Purchase	05/01/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	BIO TECHNE CORP	Purchase	05/01/2023	No	\$1,001 - \$15,000
19	CAPITAL ONE FINL	Purchase	05/01/2023	No	\$1,001 - \$15,000
20	CHARLES RIVER LABS INTL	Purchase	05/01/2023	No	\$1,001 - \$15,000
21	CVS HEALTH CORP	Purchase	05/01/2023	No	\$1,001 - \$15,000
22	DIGITAL RLTY TR INC	Purchase	05/01/2023	No	\$1,001 - \$15,000
23	FIDELITY NATL INFO SVCS	Purchase	05/01/2023	No	\$1,001 - \$15,000
24	INTUIT INC	Purchase	05/01/2023	No	\$1,001 - \$15,000
25	MOLINA HEALTHCARE INC	Purchase	05/01/2023	No	\$1,001 - \$15,000
26	PAYCOM SOFTWARE INC	Purchase	05/01/2023	No	\$1,001 - \$15,000
27	SCHLUMBERGER LTD	Purchase	05/01/2023	No	\$1,001 - \$15,000
28	ELANCO ANIMAL HEALTH INC	Sale	05/08/2023	No	\$1,001 - \$15,000
29	NATL INSTRUMENTS CORP	Sale	05/08/2023	No	\$1,001 - \$15,000
30	DOLLAR TREE INC	Purchase	05/08/2023	No	\$1,001 - \$15,000
31	REVVITY INC	Purchase	05/08/2023	No	\$1,001 - \$15,000
32	ELANCO ANIMAL HEALTH INC	Sale	05/09/2023	No	\$1,001 - \$15,000
33	DOLLAR TREE INC	Purchase	05/09/2023	No	\$1,001 - \$15,000
34	LAM RESEARCH CORP	Sale	05/12/2023	No	\$1,001 - \$15,000
35	ILLUMINA INC	Purchase	05/12/2023	No	\$1,001 - \$15,000
36	UTZ BRANDS INC	Purchase	05/17/2023	No	\$1,001 - \$15,000
37	ON SEMICONDUCTOR CORP	Sale	05/19/2023	No	\$1,001 - \$15,000
38	ILLUMINA INC	Purchase	05/19/2023	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
39	REVVITY INC	Purchase	05/19/2023	No	\$1,001 - \$15,000
40	TELEFLEX INC	Purchase	05/19/2023	No	\$1,001 - \$15,000
41	LAM RESEARCH CORP	Sale	05/23/2023	No	\$1,001 - \$15,000
42	ILLUMINA INC	Purchase	05/23/2023	No	\$1,001 - \$15,000
43	U S PHYSICAL THERAPY INC	Purchase	05/26/2023	No	\$1,001 - \$15,000
44	Adobe, Inc. (ADBE)	Purchase	05/26/2023	No	\$100,001 - \$250,000
45	Advanced Micro Devices, Inc. (AMD)	Purchase	05/26/2023	Yes	\$100,001 - \$250,000
46	Alphabet, Inc. (GOOGL)	Purchase	05/26/2023	No	\$15,001 - \$50,000
47	Amazon.com, Inc. (AMZN)	Purchase	05/26/2023	No	\$15,001 - \$50,000
48	Apple, Inc. (AAPL)	Purchase	05/26/2023	No	\$50,001 - \$100,000
49	Applied Materials, Inc. (AMAT)	Purchase	05/26/2023	No	\$100,001 - \$250,000
50	Caterpillar, Inc. (CAT)	Purchase	05/26/2023	No	\$15,001 - \$50,000
51	Constellation Brands, Inc. (STZ)	Sale	05/26/2023	No	\$15,001 - \$50,000
52	Constellation Brands, Inc. (STZ)	Purchase	05/26/2023	No	\$15,001 - \$50,000
53	The Walt Disney Co. (DIS)	Purchase	05/26/2023	No	\$15,001 - \$50,000
54	EOG Resources, Inc. (EOG)	Purchase	05/26/2023	No	\$50,001 - \$100,000
55	Meta Platforms Inc. (FB)	Purchase	05/26/2023	No	\$100,001 - \$250,000
56	Meta Platforms Inc. (FB)	Sale	05/26/2023	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	Goldman Sachs Group Inc (GS)	Purchase	05/26/2023	No	\$50,001 - \$100,000
58	iShares Russell 2000 ETF (IWM)	Purchase	05/26/2023	No	\$50,001 - \$100,000
59	iShares US Oil & Gas Exploration & Production ETF (IEO)	Sale	05/26/2023	No	\$50,001 - \$100,000
60	iShares US Oil & Gas Exploration & Production ETF (IEO)	Purchase	05/26/2023	No	\$50,001 - \$100,000
61	JPMorgan Chase & Co. (JPM)	Purchase	05/26/2023	No	\$100,001 - \$250,000
62	lululemon athletica, Inc. (LULU)	Purchase	05/26/2023	No	\$1,001 - \$15,000
63	Mastercard, Inc. (MA)	Sale	05/26/2023	No	\$100,001 - \$250,000
64	McDonald's Corp. (MCD)	Purchase	05/26/2023	No	\$50,001 - \$100,000
65	McDonald's Corp. (MCD)	Sale	05/26/2023	No	\$50,001 - \$100,000
66	Netflix, Inc. (NFLX)	Purchase	05/26/2023	No	\$100,001 - \$250,000
67	NIKE, Inc. (NKE)	Purchase	05/26/2023	No	\$15,001 - \$50,000
68	NVIDIA Corp. (NVDA)	Purchase	05/26/2023	No	\$100,001 - \$250,000
69	PayPal Holdings, Inc. (PYPL)	Purchase	05/26/2023	No	\$100,001 - \$250,000
70	Pioneer Natural Resources Co. (PXD)	Sale	05/26/2023	No	\$250,001 - \$500,000
71	Roku, Inc. (ROKU)	Purchase	05/26/2023	No	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
72	SPDR S&P 500 ETF Trust (SPY)		Purchase	05/26/2023	No	\$100,001 - \$250,000
73	Schlumberger NV (SLB)		Purchase	05/26/2023	No	\$50,001 - \$100,000
74	Energy Select Sector SPDR Fund (XLE)		Purchase	05/26/2023	No	\$50,001 - \$100,000
75	Energy Select Sector SPDR Fund (XLE)		Sale	05/26/2023	No	\$50,001 - \$100,000
76	Block, Inc. (SQ)		Purchase	05/26/2023	No	\$15,001 - \$50,000
77	Starbucks Corp. (SBUX)		Purchase	05/26/2023	No	\$50,001 - \$100,000
78	Tesla, Inc. (TSLA)		Sale	05/26/2023	No	\$250,001 - \$500,000
79	VanEck Vectors Oil Services ETF (OIH)		Purchase	05/26/2023	No	\$15,001 - \$50,000
80	VanEck Vectors Oil Services ETF (OIH)		Sale	05/26/2023	No	\$15,001 - \$50,000
81	Visa, Inc. (V)		Purchase	05/26/2023	No	\$50,001 - \$100,000
82	Visa, Inc. (V)		Sale	05/26/2023	No	\$50,001 - \$100,000
83	Call Alphabet, Inc. (GOOG)	See Endnote		05/17/2023	No	\$1,001 - \$15,000
84	Call Alphabet, Inc. (GOOG)	See Endnote	Purchase	05/17/2023	No	\$1,001 - \$15,000
85	Put NVIDIA Corp. (NVDA)	See Endnote	Purchase	05/25/2023	No	\$15,001 - \$50,000
86	Put NVIDIA Corp. (NVDA)	See Endnote		05/25/2023	No	\$1,001 - \$15,000
87	Put SPDR S&P 500 ETF Trust (SPY)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
88	Put NVIDIA Corp. (NVDA)	See Endnote		05/30/2023	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
89	Put Goldman Sachs Group Inc (GS)	See Endnote	-	05/30/2023	Yes	\$1,001 - \$15,000
90	Put JPMorgan Chase & Co. (JPM)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
91	Put Block, Inc. (SQ)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
92	Put Advanced Micro Devices, Inc. (AMD)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
93	Put Caterpillar, Inc. (CAT)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
94	Put Applied Materials, Inc. (AMAT)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
95	Put Pioneer Natural Resources Co. (PXD)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
96	Put Amazon.com, Inc. (AMZN)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
97	Put Roku, Inc. (ROKU)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
98	Put Alphabet, Inc. (GOOG)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
99	Put lululemon athletica, Inc. (LULU)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
100	Put Netflix, Inc. (NFLX)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
101	Put Tesla, Inc. (TSLA)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
102	Put Starbucks Corp. (SBUX)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
103	Put EOG Resources, Inc. (EOG)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
104	Put Adobe, Inc. (ADBE)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
105	Put PayPal Holdings, Inc. (PYPL)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
106	Put Apple, Inc. (AAPL)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
107	Put The Walt Disney Co. (DIS)	See Endnote		05/30/2023	No	\$1,001 - \$15,000
108	Put Schlumberger NV (SLB)	See Endnote		05/30/2023	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	83	opened written call position, strike price \$130, exp. 7/13/2023
Transactions	84	strike price \$119, exp. 5/19/2023
Transactions	85	strike price \$280, exp. 10/20/2023
Transactions	86	opened written put position, strike price \$280, exp. 10/20/2023
Transactions	87	opened written put position, strike price \$400, exp. 6/16/2023
Transactions	88	opened written put position, strike price \$330, exp. 6/16/2023
Transactions	89	opened written put position, strike price \$310, exp. 6/16/2023
Transactions	90	opened written put position, strike price \$130, exp. 6/16/2023
Transactions	91	opened written put position, strike price \$50, exp. 6/16/2023
Transactions	92	opened written put position, strike price \$105, exp. 6/16/2023
Transactions	93	opened written put position, strike price \$195, exp. 6/16/2023
Transactions	94	opened written put position, strike price \$120, exp. 6/16/2023
Transactions	95	opened written put position, strike price \$190, exp. 6/16/2023
Transactions	96	opened written put position, strike price \$110, exp. 6/16/2023
Transactions	97	opened written put position, strike price \$45, exp. 6/16/2023
Transactions	98	opened written put position, strike price \$114, exp. 6/16/2023
Transactions	99	opened written put position, strike price \$290, exp. 6/16/2023
Transactions	100	opened written put position, strike price \$335, exp. 6/16/2023
Transactions	101	opened written put position, strike price \$165, exp. 6/16/2023
Transactions	102	opened written put position, strike price \$92.50, exp. 6/16/2023
Transactions	103	opened written put position, strike price \$99.20, exp. 6/16/2023

PART	#	ENDNOTE
Transactions	104	opened written put position, strike price \$355, exp. 6/16/2023
Transactions	105	opened written put position, strike price \$52.50, exp. 6/16/2023
Transactions	106	opened written put position, strike price \$162.50, exp. 6/16/2023
Transactions	107	opened written put position, strike price \$82, exp. 6/16/2023
Transactions	108	opened written put position, strike price \$39, exp. 6/16/2023

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate

agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.