Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Grundmann, Susan Tsui

Member, Federal Labor Relations Authority

Other Federal Government Positions Held During the Preceding 12 Months:

Executive Director: Office of Congressional Workplace Rights (1/2017 - Present)

Names of Congressional Committees Considering Nomination:

• Committee on Homeland Security and Governmental Affairs

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Grundmann, Susan Tsui [electronically signed on 06/22/2021 by Grundmann, Susan Tsui in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Osborne, Rebecca J, Certifying Official [electronically signed on 08/11/2021 by Osborne, Rebecca J in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification /s/ Apol, David, Certifying Official [electronically signed on 08/19/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	Family Trust #1	MANASSAS, Virginia	Trust	Co-Trustee	11/2003	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE INCOME TY		INCOME AMOUNT
1	District No. 1 Pacific Coast MEBA Staff Pension Plan, defined benefit plan (value not readily ascertainable): eligible for \$1,169.05 /mo at age 65.	N/A			None (or less than \$201)
2	AFL-CIO Staff Retirement Plan, defined benefit plan (value not readily ascertainable): eligible for \$1,233.12/mo. at age 65.	N/A			None (or less than \$201)
3	Ohio National Finance ONCORE Lite III, Variable Annuity	No			
3.1	TOPS MR Moderate Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	TOPS Mng Risk Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	PIMCO Glb Div Alloc	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	JanusHend Low Vol VIT	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Prudential Premier B Series, Variable Annuity	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	AST Capitol Growth Asset Allocation	Yes	\$50,001 - \$100,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	District No. 1 Pacific Coast MEBA Staff Pension Plan	Baltimore, Maryland	I will continue to participate in this defined benefit plan.	12/1990
2	AFL-CIO Staff Retirement Plan	Washington, District of Columbia	I will continue to participate in this defined benefit plan.	6/1997

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Aviation Management Associates (Consultation)	N/A		consulting fees	
2	Spouse's IRA	No			
2.1	American Funds Bond Fund of America F2 (ABNFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.2	Goldman Sachs Financial Square Treasury Instruments Fund Institutional Class Shares (FTIXX)	Yes	\$1,001 - \$15,000	\$1,001 - \$15,000	
2.3	Growth Fund of America Class F2 Shares (GFFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.4	New World Fund, Inc Class F2 Shares (NFFFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	Washington Mutual Investors Fund Class F2 Shares (WMFFX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.6	Janus Henderson Small Cap Value Fund Class I Shares (JSCOX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	Jensen Quality Growth Fund Class I Shares (JENIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	John Hancock Funds III Disciplined Value Mid Cap Fund Institutional Class Shares (JVMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	Wells FargoEmerging Markets Equity Fund Institutional Class Shares (EMGNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	EuroPacific Growth Fund Class F2 Shares (AEPFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.11	PIMCOEmerging Markets Bond Fund Institutional Class Shares (PEBIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.13	PGIM Total Return Bond Fund Class Z Shares (PDBZX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	American SMALLCAP World Fund, Inc Class F2 Shares (SMCFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.15	T Rowe Price Mid-Cap Growth Fund, Inc (RPMGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF			INCOME AMOUNT
2.16	Artisan Small Cap Fund Advisor Shares (APDSX)	Yes	\$1,001 - \$15,000	-	None (or less than \$201)
	er Assets and Income		=		
#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S Bank #2 (cash)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
2	Dominion Energy, Inc. (D)	N/A	\$15,001 - \$50,000		
3	Exxon Mobil Corp. (XOM)	N/A	\$1,001 - \$15,000	\$1,001 - \$15,000 Dividends	
4	First Trust Dow Jones Internet Index Fund (FDN)	Yes	\$50,001 - \$100,000	\$50,001 - \$100,000	
5	International Business Machines Corp. (IBM)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	JPMorgan Global Allocation Fund Class C Shares (GAOCX)	Yes	\$15,001 - \$50,000		
10	MFS International Diversification Fund Class C Shares (MDIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Lord Abbett Growth Leaders Fund Class C Shares (LGLCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
12	Thornburg Investment Income Builder Fund Class C Shares (TIBCX)	Yes	\$50,001 - \$100,000		
13	VoyaGlobal Equity Dividend & Premium Opportunity Fund (IGD)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
14	U.S. Bank #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	U.S. Bank #3 (cash)	N/A	\$50,001 - \$100,000		
16	Wells Fargo (bank sweep)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	U.S. Bank #5 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	U.S. Bank #6 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	BlackRock College Advantage 529 Plan (Enrollment Option -A)	Yes	\$100,001 - \$250,000		
20	Lord Abbett Growth Leaders Fund Class C Shares (LGLCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
21	Thornburg Investment Income Builder Fund Class C Shares (TIBCX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
22	VoyaGlobal Equity Dividend & Premium Opportunity Fund (IGD)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
23	Separately Managed Portfolio Account	No			
23.1	Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23.2	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23.3	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
23.4	iShares Russell 2000 ETF (IWM)	Yes	\$15,001 - \$50,000	\$15,001 - \$50,000	
23.5	iShares Core S&P Total US Stock Market ETF (ITOT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23.6	iShares Core S&P 500 ETF (IVV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23.7	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000	\$1,001 - \$15,000	
23.8	iShares Core Total USD Bond Market ETF (IUSB)	Yes	\$15,001 - \$50,000		
23.9	iShares Core US Aggregate Bond ETF (AGG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23.10	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23.11	iShares Core MSCIEmerging Markets ETF (IEMG)	Yes	\$15,001 - \$50,000		
23.12	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23.13	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
23.14	Xtrackers USD High Yield Corporate Bond ETF (HYLB)	Yes	\$1,001 - \$15,000	\$1,001 - \$15,000	
23.15	iSharesJPMorgan USDEmerging Markets Bond ETF (EMB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
23.16	iShares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23.17	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE INCOME TY	PE INCOME AMOUNT
23.18	iShares core U.S.aggregate bond (AGG)	Yes	None (or less than \$1,001)	\$2,501 - \$5,000
23.19	iShares Short Treasury Bond ETF (SHV)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.20	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.21	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.22	iShares Core S&P Mid-Cap ETF (IJH)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.23	iShares Russell 2000 ETF (IWM)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.24	Financial Select Sector SPDR Fund (XLF)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.25	iShares MBS ETF (MBB)	Yes	None (or less than \$1,001)	\$201 - \$1,000
23.26	iShares Core MSCIEmerging Markets ETF (IEMG)	Yes	None (or less than \$1,001)	None (or less than \$201)
23.27	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	None (or less than \$1,001)	\$201 - \$1,000
23.28	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	None (or less than \$1,001)	\$1,001 - \$2,500
23.29	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	None (or less than \$1,001)	\$201 - \$1,000
23.30	iShares iBoxx \$ High Yield Corporate Bond ETF (HYG)	Yes	None (or less than \$1,001)	None (or less than \$201)
24	Wells Fargo (bank sweep)	N/A	\$1,001 - \$15,000 Interest	None (or less than \$201)
25	Family Trust #1	No		

#	DESCRIPTION	EIF	VALUE INCOME TYPE		INCOME AMOUNT
25.1	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		
25.2	Eversource Energy (ES)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25.3	Exxon Mobil Corp. (XOM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
25.4	iShares Core High Dividend ETF (HDV)	No	\$15,001 - Dividends \$50,000		\$1,001 - \$2,500
25.5	JPMorgan Chase & Co. (JPM)	No	\$15,001 - Dividends \$50,000		\$201 - \$1,000
25.6	Pfizer Inc. (PFE)	N/A	\$15,001 - Dividends \$50,000		\$1,001 - \$2,500
25.7	Philip Morris International, Inc. (PM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
25.8	Tupperware Brands Corp. (TUP)	N/A	None (or less than \$1,001)	Dividends	None (or less than \$201)
25.9	Unit Hutton Telephone Trust 1st Tax Free Exchange Series	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
25.10	United Airlines Holdings, Inc. (UAL)	N/A	None (or less than \$1,001)	None (or less than \$1,001)	
25.11	Morgan Stanley (bank sweep)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
26	Morgan Stanley Portfolios, Series 29, Stocks for 2021 Cash (MSEQTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	USAlliance	Airplane loan	\$100,001 - \$250,000	2017	5.49%	10 years
2	Wells Fargo	Exercised Line of Credit	\$50,001 - \$100,000	2017	4.375	On demand

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub, L, 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGÉ Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order: (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).