

# Preview

## REVIEW REPORT

*Annual Report 2022 for Calendar Year 2021 | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated Nov. 2021)*

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Ahuja, Kiran

Director, Office of Personnel Management

Report Year: 2022

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Ahuja, Kiran [electronically signed on 05/14/22 by Ahuja, Kiran in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Mizer, Benjamin, Certifying Official [electronically signed on 08/11/22 by Mizer, Benjamin in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/27/22 by Granahan, Megan in Integrity.gov]

Data Revised 10/20/2022

Data Revised 10/19/2022

Data Revised 06/28/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust	Arlington, Virginia	Trust	Trustee	6/2015	Present
2	Philanthropy Northwest	Seattle, Washington	Non-Profit	CEO	6/2017	5/2021
3	United Philanthropy Forum	Washington, District of Columbia	Non-Profit	Board Member	7/2020	4/2021
4	Asian Americans/Pacific Islanders in Philanthropy	Oakland, California	Non-Profit	Board Member	6/2020	4/2021
5	Wing Luke Museum of the Asian Pacific American Experience	Seattle, Washington	Non-Profit	Board Member	3/2018	1/2021
6	PT Fund, Inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	OPM Agency Lead	10/2020	1/2021

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	SEP-IRA	No			
1.1	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2	Philanthropy Northwest 403b	No			
2.1	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VSMGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Tides Foundation 403b	No			
3.1	CREF Money Market Account (RI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	CREF Social Choice Account (RI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	ROTH IRA	No			
4.1	TIAA-CREF Social Choice Equity Fund Retirement Class Shares (TRSCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	IRA	No			
5.1	TIAA-CREF Social Choice Equity Fund Retirement Class Shares (TRSCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	University of South Florida 401(A)	No			
6.1	Vanguard Institutional Target Retirement 2035 Fund Institutional Class Shares (VITFX)	Yes	None (or less than \$1,001)		None (or less than \$201)
7	Philanthropy Northwest	N/A		Salary	\$112,165

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY/STATE	STATUS AND TERMS	DATE
1	Philanthropy Northwest	Seattle, Washington	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	12/2017
2	Tides Foundation	San Francisco, California	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	3/2003
3	UNIVERSITY OF SOUTH FLORIDA	TAMPA, Florida	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2009

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Harvard University	N/A		salary, bonus	
2	IRA	No			
2.1	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3	HARVARD UNIVERSITY 403b	No			
3.1	Vanguard FTSE Social Index Fund Institutional Shares (VFTNX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.2	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	Vanguard Total Bond Market Index Fund Institutional Plus Shares (VBMPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard Total International Stock Index Fund Institutional Plus Shares (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Harvard Defined Contribution Plan	No			
4.1	Vanguard FTSE Social Index Fund Institutional Shares (VFTNX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.3	Vanguard Total Bond Market Index Fund Institutional Plus Shares (VBMPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.4	Vanguard Total International Stock Index Fund Institutional Plus Shares (VTPSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Harvard 457(b) Plan	No			
5.1	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
2	Residential real estate, Savannah, GA	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
3	Family Revocable Trust	No			
3.1	Residential real estate, Washington, DC	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$15,001 - \$50,000
4	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	Vanguard Municipal Money Market Fund Investor Shares (VMSXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Virginia 529 Plan	No		Cash Distribution	\$7,200
8.1	Stable Value Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Massachusetts 529 Plan (underlying assets do not meet the reporting threshold)	No	\$1,001 - \$15,000		None (or less than \$201)
10	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Vanguard Health Care Fund Admiral Shares (VGHAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
13	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$15,001 - \$50,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Purchase	07/26/2021	\$1,001 - \$15,000
2	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Purchase	08/19/2021	\$1,001 - \$15,000
3	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Purchase	09/27/2021	\$1,001 - \$15,000
4	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Purchase	10/11/2021	\$1,001 - \$15,000
5	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Purchase	11/01/2021	\$1,001 - \$15,000
6	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Purchase	05/14/2021	\$1,001 - \$15,000
7	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Purchase	05/26/2021	\$1,001 - \$15,000
8	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Purchase	06/17/2021	\$1,001 - \$15,000
9	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Purchase	08/19/2021	\$1,001 - \$15,000
10	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	07/06/2021	\$15,001 - \$50,000
11	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	07/26/2021	\$1,001 - \$15,000
12	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	08/19/2021	\$1,001 - \$15,000
13	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	09/27/2021	\$1,001 - \$15,000
14	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	10/11/2021	\$1,001 - \$15,000
15	Vanguard Health Care Fund Admiral Shares (VGHAX)	Purchase	11/15/2021	\$1,001 - \$15,000
16	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	05/14/2021	\$1,001 - \$15,000
17	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	06/02/2021	\$1,001 - \$15,000
18	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	07/06/2021	\$1,001 - \$15,000
19	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	08/19/2021	\$1,001 - \$15,000
20	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	10/14/2021	\$1,001 - \$15,000
21	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Purchase	11/15/2021	\$1,001 - \$15,000
22	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Purchase	05/14/2021	\$1,001 - \$15,000
23	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Purchase	05/26/2021	\$1,001 - \$15,000
24	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Purchase	06/17/2021	\$1,001 - \$15,000
25	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Purchase	08/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
26	Vanguard LifeStrategy Growth Fund Investor Shares (VASGX)	Purchase	09/27/2021	\$1,001 - \$15,000
27	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Purchase	07/26/2021	\$1,001 - \$15,000
28	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Purchase	08/19/2021	\$1,001 - \$15,000
29	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Purchase	10/11/2021	\$1,001 - \$15,000
30	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Purchase	11/01/2021	\$15,001 - \$50,000
31	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Purchase	08/19/2021	\$1,001 - \$15,000
32	Vanguard 500 Index Fund ETF Shares (VOO)	Purchase	11/29/2021	\$1,001 - \$15,000
33	Vanguard Institutional Target Retirement 2030 Fund Institutional Class Shares (VTTWX)	Sale	07/26/2021	\$100,001 - \$250,000
34	Vanguard Target Retirement 2030 Fund Investor Class Shares (VTHRX)	Purchase	07/26/2021	\$100,001 - \$250,000
35	Vanguard Wellesley Income Fund Investor Shares (VWINX)	Sale	07/26/2021	\$15,001 - \$50,000
36	Vanguard Wellesley Income Fund Admiral Shares (VWIAX)	Purchase	07/26/2021	\$50,001 - \$100,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	2.75	30 year
2	Guaranteed Rate	Mortgage (investment/rental property)	\$100,001 - \$250,000	2021	2.99	30 yr
3	Caliber Home Loans	See Endnote	Mortgage (investment/rental property)	\$250,001 - \$500,000	2015	4.125% 30 years

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
8.	3	This mortgage was refinanced with Guaranteed Rate (Item #2 in Part 8) in 2021.