

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Schafer, Zachary

Senior Advisor, Office of Water, Environmental Protection Agency

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Schafer, Zachary [electronically signed on 06/30/2022 by Schafer, Zachary in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Fugh, Justina, Certifying Official [electronically signed on 08/21/2022 by Fugh, Justina in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	United for Infrastructure	Washington, District of Columbia	Non-Profit	CEO	11/2017	6/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	United for Infrastructure	N/A		Salary/Bonus	\$83,500
2	Inherited Roth IRA	No			
2.1	DFA Emerging Markets Core Equity Portfolio Institutional Class (DFCEX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.2	DFA Emerging Markets Portfolio Institutional Class (DFEMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.3	DFA One-Year Fixed Income Portfolio Institutional Class (DFIHX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.4	DFA International Small Company Portfolio Institutional Class (DFISX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.5	DFA International Value Portfolio Institutional Class (DFIVX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.6	DFA U.S. Large Cap Value Portfolio Institutional Class (DFLVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2.7	DFA Real Estate Securities Portfolio Institutional Class (DFREX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.8	DFA U.S. Micro Cap Portfolio Institutional Class (DFSCX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
2.9	DFA U.S. Small Cap Value Portfolio Institutional Class (DFSVX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.10	DFA International Small Cap Value Portfolio Institutional Class (DISVX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.11	U.S. financial institution (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Vanguard European Stock Index Fund Admiral Shares (VEUSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.13	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.14	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.15	Vanguard Short-Term Investment-Grade Fund Admiral Shares (VFSUX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.16	Vanguard Pacific Stock Index Fund Admiral Shares (VPADX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	IRA #1	See Endnote	No	None (or less than \$1,001)	None (or less than \$201)
4	Roth IRA		No		
4.1	DFA Emerging Markets Portfolio Institutional Class (DFEMX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.2	DFA International Value Portfolio Institutional Class (DFIVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.3	DFA U.S. Large Cap Value Portfolio Institutional Class (DFLVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
4.4	DFA Real Estate Securities Portfolio Institutional Class (DFREX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	DFA U.S. Micro Cap Portfolio Institutional Class (DFSCX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.6	DFA International Small Cap Value Portfolio Institutional Class (DISVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4.7	U.S. financial institution (cash account)	N/A	None (or less than \$1,001)		None (or less than \$201)
4.8	Vanguard European Stock Index Fund Admiral Shares (VEUSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.9	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares (VFIDX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.10	Vanguard Short-Term Investment-Grade Fund Admiral Shares (VFSUX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.11	Vanguard Pacific Stock Index Fund Admiral Shares (VPADX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
4.12	Vanguard FTSE Pacific ETF (VPL)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.13	Vanguard FTSE Europe ETF (VGK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	SEP IRA (cash)	See Endnote	N/A		None (or less than \$201)
6	Solo 401(k) plan:	No			
6.1	US Small Cap Portfolio Institutional Class Shares (DFSTX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.2	DFA One-Year Fixed Income Portfolio Institutional Class Shares (DFIHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
6.3	US Micro Cap Portfolio Institutional Class Shares (DFSCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.4	DFA International Value Portfolio Institutional Class Shares (DFIVX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.5	Vanguard European Stock Index Fund Admiral Shares (VEUSX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.6	Vanguard Pacific Stock Index Fund Admiral Shares (VPADX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.7	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	None (or less than \$1,001)		None (or less than \$201)
6.8	U.S. Financial Institution (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Solo Roth 401(k) plan:	No			
7.1	US Small Cap Value Portfolio Institutional Class Shares (DFSVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.2	US Micro Cap Portfolio Institutional Class Shares (DFSCX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7.3	US Large Cap Value Portfolio Institutional Class Shares (DFLVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.4	DFA International Small Cap Value Portfolio Institutional Class Shares (DISVX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7.5	DFA One-Year Fixed Income Portfolio Institutional Class Shares (DFIHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.6	DFA Real Estate Securities Portfolio Institutional Class Shares (DFREX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.7	Emerging Markets Core Equity Portfolio Institutional Class Shares (DFCEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.8	U.S. financial institution (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Plimoth Patuxet Museums	N/A		salary, bonus	
2	Roth IRA (Fidelity)	No			
2.1	Fidelity Government Cash Reserves (FDRXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Fidelity Contrafund (FCNTX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.3	Fidelity Emerging Markets Fund (FEMKX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.4	Fidelity GNMA Fund (FGMNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Fidelity Large Cap Stock Fund (FLCSX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.6	Fidelity Low Priced Stock Fund (FLPSX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.7	Fidelity Mid Cap Stock Fund (FMCSX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
2.8	Fidelity OTC Portfolio (FOCPX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.9	Fidelity Puritan Fund (FPURX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.10	Fidelity Small Cap Discovery Fund (FSCRX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	Fidelity Strategic Dividend and Income Fund (FSDIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3	IRA (Fidelity)	No			
3.1	Fidelity Government Money Market Fund (SPAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Fidelity Puritan Fund (FPURX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.3	Fidelity Zero Total Market Index Fund (FZROX)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
4	403(b) plan:	No			
4.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5	Roth IRA (TDA)	No			
5.1	TD Ameritrade Money Market Fund (Cash Account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	IRA (TDA)	No			
6.1	TD Ameritrade Money Market Fund (Cash Account)	N/A	None (or less than \$1,001)		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	my529 (Utah)	No			
1.1	DFA Global Equity Portfolio (UTDGX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2	U.S. bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	U.S. bank #3 (cash/MMA)	N/A	\$50,001 - \$100,000		\$201 - \$1,000
5	U.S. bank #4 (CD)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6	Filer Investment Account #1	No			
6.1	Vanguard 500 Index Admiral (VFIAX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
6.2	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	U.S. financial institution (cash account)	N/A	\$15,001 - \$50,000		None (or less than \$201)
6.4	DFA International Small Cap Value Portfolio Institutional Class Shares (DISVX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.5	International Small Company Portfolio Institutional Class Shares (DFISX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6.6	Dimensional U S Small Cap ETF (DFAS)	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
6.7	Vanguard European Stock Index Fund Admiral Shares (VEUSX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.8	Dimensional ETF TR International Value ETF (DFIV)	See Endnote	Yes	\$50,001 - \$100,000	\$15,001 - \$50,000
6.9	Emerging Markets Core Equity Portfolio Institutional Class Shares (DFCEX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.10	Vanguard Pacific Stock Index Fund Admiral Shares (VPADX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.11	US Micro Cap Portfolio Institutional Class Shares (DFSCX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.12	Dimensional U S Targeted Value ETF (DFAT)	See Endnote	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
6.13	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.14	Vanguard Short-Term Tax-Exempt Fund Admiral Shares (VWSUX)		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
6.15	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
6.16	Tax-Managed US Marketwide Value Portfolio Institutional Class Shares (DFMVX)	See Endnote	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
7	Filer Investment Account #2		No			
7.1	Vanguard Short-Term Tax-Exempt Fund Admiral Shares (VWSUX)		Yes	\$100,001 - \$250,000		\$201 - \$1,000
7.2	U.S. Financial Institution (cash account)		N/A	None (or less than \$1,001)		None (or less than \$201)
8	U.S. bank #5 (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
9	U.S. bank #6 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
10	Family Trust #1		No			
10.1	Cash		N/A	None (or less than \$1,001)		None (or less than \$201)
10.2	Vanguard Short-Term Tax-Exempt Fund Admiral Shares (VWSUX)		Yes	\$250,001 - \$500,000		None (or less than \$201)
10.3	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX)		Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
11	Spouse Investment Account (TDA)		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.1	TD Ameritrade Money Market Fund (Cash Account)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	06/22/2021	\$1,001 - \$15,000
2	Vanguard 500 Index Admiral - Dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	06/29/2021	\$1,001 - \$15,000
3	DFA Tax Managed US Marketwide Portfolio - Dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	06/29/2021	\$1,001 - \$15,000
4	DFA International Value Portfolio - Dividend to cash, cash reinvested (Inherited Roth IRA)	Purchase	06/29/2021	\$1,001 - \$15,000
5	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	06/30/2021	\$1,001 - \$15,000
6	Exchanged TDA Cash Fund for TDA Money Market Fund (Inherited Roth IRA)	Exchange	06/30/2021	\$1,001 - \$15,000
7	Exchange - DFA Tax Managed International Value Portfolio (Merged Out) for Dimensional International Value ETF (Merged In) (Filer Investment Account #1)	Exchange	09/13/2021	\$50,001 - \$100,000
8	Vanguard 500 Index Admiral - Dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	09/29/2021	\$1,001 - \$15,000
9	DFA Tax Managed U.S. Marketwide Value Portfolio - dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	09/29/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
10	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)		Exchange	09/30/2021	\$1,001 - \$15,000
11	Exchanged TDA Cash Fund for TDA Money Market Fund (Inherited Roth IRA)		Exchange	09/30/2021	\$1,001 - \$15,000
12	Exchange - DFA Tax Managed U.S. Marketwide Value Portfolio (Merged Out) for DFA Tax-Managed U.S. Marketwide Value II (Merged in) (Filer Investment Account #1)		Exchange	10/18/2021	\$250,001 - \$500,000
13	Exchanged TDA Cash Fund for Vanguard Short-Term Tax-Exempt Admiral (Filer Investment Account #1)		Exchange	10/29/2021	\$15,001 - \$50,000
14	Vanguard Short-Term Tax Exempt Admiral (Family Trust)	See Endnote	Purchase	10/29/2021	\$500,001 - \$1,000,000
15	Vanguard Limited-Term Tax Exempt Fund Admiral (Family Trust)	See Endnote	Purchase	10/29/2021	\$500,001 - \$1,000,000
16	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)		Exchange	11/01/2021	\$15,001 - \$50,000
17	Cash to cash exchange between accounts (Filer investment account to IRA)		Exchange	12/03/2021	\$1,001 - \$15,000
18	Cash to cash exchange between accounts (Spouse TDA Inv. account to IRA)		Exchange	12/03/2021	\$1,001 - \$15,000
19	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)		Exchange	12/06/2021	\$1,001 - \$15,000
20	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)		Exchange	12/06/2021	\$1,001 - \$15,000
21	Exchanged TDA Cash Fund for TDA Money Market Fund (Spouse IRA)		Exchange	12/06/2021	\$1,001 - \$15,000
22	Cash to cash rollover (SEP-IRA to Roth IRA)		Exchange	12/08/2021	\$1,001 - \$15,000
23	Exchange TDA Cash Fund for TDA Money Market Fund (Roth IRA)		Exchange	12/09/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	DFA International Small Cap Value Instl. - Dividend to cash, cash reinvested (Inherited Roth IRA)	Purchase	12/13/2021	\$1,001 - \$15,000
25	DFA U.S. Small Cap Instl. - Long term gain to cash, income reinvested (Solo 401k)	Purchase	12/13/2021	\$1,001 - \$15,000
26	Cash to cash rollover (Spouse TDA IRA to TDA Roth IRA)	Exchange	12/13/2021	\$1,001 - \$15,000
27	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	12/14/2021	\$1,001 - \$15,000
28	Exchanged TDA Cash Fund for TDA Money Market Fund (Inherited Roth IRA)	Exchange	12/14/2021	\$1,001 - \$15,000
29	Exchanged TDA Cash Fund for TDA Money Market Fund (Solo 401k)	Exchange	12/14/2021	\$1,001 - \$15,000
30	Exchanged TDA Cash Fund for TDA Money Market Fund (Roth IRA)	Exchange	12/14/2021	\$1,001 - \$15,000
31	Exchanged TDA Money Market Fund for TDA Cash Fund (Spouse TDA IRA)	Exchange	12/14/2021	\$1,001 - \$15,000
32	Exchanged TDA Cash Fund for TDA Money Market Fund (Spouse TDA Roth IRA)	Exchange	12/14/2021	\$1,001 - \$15,000
33	DFA U.S. Micro Cap - Long Term Gain to Cash, Income Reinvested (Filer Investment Account #1)	Purchase	12/15/2021	\$1,001 - \$15,000
34	DFA Tax-Managed U.S. Marketwide Value II - Dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	12/15/2021	\$1,001 - \$15,000
35	DFA International Small Company Institutional - Long Term Gain to Cash, Income Reinvested (Filer Investment Account #1)	Purchase	12/15/2021	\$1,001 - \$15,000
36	DFA U.S. Micro Cao - Long term gain to cash, income reinvested (Inherited Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
37	DFA U.S. Large Cap Value Instl - Long term gain to cash, income reinvested (Inherited Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000
38	DFA U.S. Small Cap Value Instl. - Long term gain to cash, income reinvested (Inherited Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000
39	DFA International Value Portfolio - Dividend to cash, cash reinvested (Inherited Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000
40	DFA Emerging Markets Institutional Class - Long term gain to cash, income reinvested (Inherited Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000
41	DFA US Micro Cap - Long term gain to cash, income reinvested (Roth IRA)	Purchase	12/15/2021	\$1,001 - \$15,000
42	DFA US Micro Cap - Long term gain to cash, income reinvested (Solo Roth 401k)	Purchase	12/15/2021	\$1,001 - \$15,000
43	DFA US Small Cap Value Instl. - Long term gain to cash, income reinvested (Solo Roth 401k)	Purchase	12/15/2021	\$1,001 - \$15,000
44	DFA US Micro Cap - Long term gain to cash, income reinvested (Solo 401k)	Purchase	12/15/2021	\$1,001 - \$15,000
45	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	12/16/2021	\$1,001 - \$15,000
46	Exchanged TDA Cash Fund for TDA Money Market Fund (Inherited Roth IRA)	Exchange	12/16/2021	\$15,001 - \$50,000
47	Exchanged TDA Cash Fund for TDA Money Market Fund (Roth IRA)	Exchange	12/16/2021	\$1,001 - \$15,000
48	Exchanged TDA Cash Fund for TDA Money Market Fund (Solo Roth 401k)	Exchange	12/16/2021	\$1,001 - \$15,000
49	Exchanged TDA Cash Fund for TDA Money Market Fund (Solo 401k)	Exchange	12/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	Vanguard Pacific Stock Index Admiral - dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	12/20/2021	\$1,001 - \$15,000
51	Dimensional US Small Cap ETF - long term gain to cash, income reinvested (Filer Investment Account #1)	Purchase	12/20/2021	\$1,001 - \$15,000
52	Dimensional International Value ETF - dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	12/20/2021	\$1,001 - \$15,000
53	Vanguard 500 Index Admiral - dividend to cash, cash reinvested (Filer Investment Account #1)	Purchase	12/21/2021	\$1,001 - \$15,000
54	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	12/21/2021	\$1,001 - \$15,000
55	Cash to cash rollover (Filer IRA to Roth IRA)	Exchange	12/21/2021	\$1,001 - \$15,000
56	Exchanged TDA Cash Fund for TDA Money Market Fund (Filer Investment Account #1)	Exchange	12/22/2021	\$1,001 - \$15,000
57	DFA Emerging Markets Institutional Class (Inherited Roth IRA)	Purchase	12/22/2021	\$15,001 - \$50,000
58	TDA Ameritrade Cash Fund (Inherited Roth IRA)	Sale	12/22/2021	\$15,001 - \$50,000
59	Vanguard Pacific Stock (Roth IRA)	Purchase	12/22/2021	\$15,001 - \$50,000
60	DFA International Small Cap Value Instl. (Roth IRA)	Purchase	12/22/2021	\$1,001 - \$15,000
61	Exchanged TDA Cash Fund for TDA Money Market Fund (Roth IRA)	Exchange	12/22/2021	\$1,001 - \$15,000
62	Vanguard European Stock (Roth IRA)	Purchase	12/22/2021	\$1,001 - \$15,000
63	TDA Cash Fund (Roth IRA)	Sale	12/22/2021	\$1,001 - \$15,000
64	TDA Cash Fund (Roth IRA)	Sale	12/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
65	TDA Cash Fund (Roth IRA)	Sale	12/22/2021	\$15,001 - \$50,000
66	Exchanged TDA Money Market Fund for TDA Cash Fund - IRA	Exchange	12/22/2021	\$1,001 - \$15,000
67	AQR Emerging Core Equity (Solo Roth 401k)	Purchase	12/22/2021	\$1,001 - \$15,000
68	TDA Cash Fund (Solo Roth 401k)	Sale	12/22/2021	\$1,001 - \$15,000
69	Exchanged TDA Money Market Fund for TDA Cash Fund (Inherited Roth IRA)	Exchange	12/23/2021	\$15,001 - \$50,000
70	Exchanged TDA Money Market Fund for TDA Cash Fund (Roth IRA)	Exchange	12/23/2021	\$1,001 - \$15,000
71	Exchanged TDA Money Market Fund for TDA Cash Fund (Roth IRA)	Exchange	12/27/2021	\$15,001 - \$50,000
72	Dimensional International Value ETF (Solo Roth 401k)	Purchase	12/30/2021	\$1,001 - \$15,000
73	TDA Cash Fund (Solo Roth 401k)	Sale	12/30/2021	\$1,001 - \$15,000
74	DFA Global Equity Portfolio (UTDGX) (529 Plan)	Purchase	12/20/2021	\$1,001 - \$15,000
75	Fidelity Contrafund (FCNTX) long term capital gain/dividend to cash, cash reinvested	Purchase	12/10/2021	\$1,001 - \$15,000
76	Fidelity OTC Portfolio (FOCPX) dividend to cash, cash reinvested	Purchase	09/10/2021	\$1,001 - \$15,000
77	Fidelity Low-Priced Stock Fund (FLPSX)	Sale	09/10/2021	\$1,001 - \$15,000
78	Fidelity OTC Portfolio (FOCPX)	Sale	09/10/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3	Individual assets are below the regulatory reporting threshold.
2.	5	Account still open but all assets converted to Roth IRA in 2021.
6.	6.6	DFAS (formerly DFTSX)
6.	6.8	DFA changed fund from DTMIX (previous filing) to DFIV.
6.	6.12	DFAT formerly DTMVX
6.	6.16	DFA changed fund name/symbol - formerly was DTMMX, now DFMVX.
7.	14	Merged into trust from grantor account
7.	15	Merged in from grantor account

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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